








Magnetic properties of hematite revealed by an *ab initio* parameterized spin model

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(Received 15 February 2023; accepted 31 March 2023; published 12 May 2023)

Hematite is a canted antiferromagnetic insulator, promising for applications in spintronics. Here we present *ab initio* calculations of the tensorial exchange interactions of hematite and use them to understand its magnetic properties by parametrizing a semiclassical Heisenberg spin model. Using atomistic spin dynamics simulations, we calculate the equilibrium properties and phase transitions of hematite, most notably the Morin transition. The computed isotropic and Dzyaloshinskii–Moriya interactions result in a Néel temperature and weak ferromagnetic canting angle that are in good agreement with experimental measurements. Our simulations show how dipole-dipole interactions act in a delicate balance with first and higher-order on-site anisotropies to determine the material’s magnetic phase. Comparison with spin-Hall magnetoresistance measurements on a hematite single crystal reveals deviations of the critical behavior at low temperatures. Based on a mean-field model, we argue that these differences result from the quantum nature of the fluctuations that drive the phase transitions.

DOI: [10.1103/PhysRevB.107.184426](https://doi.org/10.1103/PhysRevB.107.184426)

Konstanzer Online-Publikations-System (KOPS)
URL: <http://nbn-resolving.de/urn:nbn:de:bsz:352-2-1tj8ghzy6wof81>

I. INTRODUCTION

As a prototypical weak ferromagnet, the insulating iron oxide hematite ($\alpha\text{-Fe}_2\text{O}_3$), one of the main components of rust and the most common iron ore, has interested physicists for a long time. Despite its magnetic order being essentially antiferromagnetic, it was shown by Morin [1] that a small net magnetic moment emerges above a critical temperature $T_M \approx 250$ K. An anisotropic magnetic interaction would later explain this phase transition, the Dzyaloshinskii–Moriya interaction (DMI) [2,3], which induces a small canting between the magnetic sublattices. This canted antiferromagnetic state is known as the weak ferromagnetic (WF) phase. In contemporary research on antiferromagnetic spintronics, hematite has shown a remarkable propagation length of magnetic spin currents [4,5], among many exciting properties [6].

The main purpose of this paper is to provide a microscopic spin model for this important material. While earlier work exists that estimates Heisenberg interaction parameters both experimentally [7] and theoretically [8,9], our goal is both to provide a full and detailed parametrization for an atomistic spin model and to validate that model against measurements

by simulating critical phenomena but also to shed light on the microscopic origin of those phase transitions.

Our work begins by calculating tensorial Heisenberg interactions for 170 neighbors (up to the 34th coordination sphere) as well as the spin and orbital magnetic moment for each iron atom in the unit cell *ab initio*. Dipole-dipole interactions can then be computed from the crystal structure and the *ab initio* calculated magnetic moments. For the on-site anisotropy parameters, the accuracy of the *ab initio* calculations has proved insufficient. We solve this issue by fitting our model to angle-dependent measurements of the spin-flop fields instead.

The remainder of this paper is structured as follows: After introducing the crystal structure and magnetic properties of hematite, we begin by outlining the experimental methodology of our spin-Hall magnetoresistance (SMR) measurements in Sec. II. Section III then describes the *ab initio* calculations and their results. In Sec. IV, we apply these results in atomistic spin dynamics simulations to discuss the equilibrium properties of hematite and the origins of its phase transitions. We then compare these results to measurements. Finally, in Sec. V, we discuss how the quantum nature of the thermal fluctuations leads to a critical behavior in the low-temperature regime that is measurably different from a conventional classical prediction.

Hematite ($\alpha\text{-Fe}_2\text{O}_3$) crystallizes in the corundum structure (space group No. 167, $R\bar{3}c$), which belongs to the hexagonal crystal family. Figure 1(a) visualizes the structure within the hexagonal unit cell, whose c axis is the crystal’s highest symmetry axis. It also shows the primitive rhombohedral unit cell, whose diagonal lies along this symmetry axis. The

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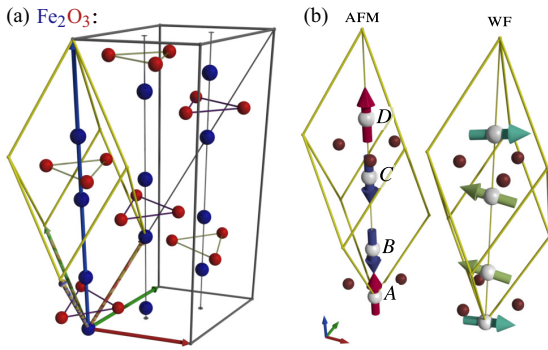


FIG. 1. (a) The crystal structure of hematite with the conventional hexagonal (gray) and the primitive rhombohedral (yellow) unit cell. The primitive basis consists of four iron atoms (blue) and six oxygen atoms (red). (b) Orientation of the spin vectors in the AFM (left) and WF (right) state. The four Fe sublattices in the primitive rhombohedral unit cell are labeled by A , B , C , and D .

oxygen atoms mediate the exchange interaction between the iron atoms but do not carry permanent magnetic moments themselves. Therefore, we do not treat them explicitly in the spin model. The magnetic iron atoms are lined up along the c axis and form four magnetic sublattices (labeled A – D). In the antiferromagnetic (AFM) ground state, illustrated in Fig. 1(b), the spins of all four Fe atoms are aligned collinearly along the c axis, with A and D being antiparallel to B and C . The resulting magnetic structure can be described as double layers of ferromagnetic alignment parallel to the c plane, stacked antiferromagnetically along the c direction. In the WF phase, the magnetic moments reorient into the basal plane and the DMI induces a small canting between the antiparallel sublattices. The resulting weak magnetization lies in the basal plane too, unless an external magnetic field with an out-of-plane component is applied.

II. MEASUREMENTS

The SMR technique can probe the magnetic state of bilayer systems consisting of hematite (antiferromagnet) and platinum (heavy metal). The spin-flop field is detectable using this method as a first-order transition [10]. The single crystal of hematite was obtained commercially with an R-cut orientation (i.e., a 33° tilting between the crystallographic c axis and the surface plane). The used Hall bars were patterned perpendicular to the projection of the Néel vector using electron beam lithography. A subsequent deposition and lift-off of 7 nm platinum were followed by a contacting procedure using a bilayer of chromium (6 nm) and gold (32 nm). The sample was coupled to a piezo-rotating element in a cryostat with a superconducting magnet capable of variable fields up to 17 T and cooled with liquid helium. The temperature stability during the measurements reached maximum variations of ± 0.05 K measured with a Cernox sensor element, and the SMR magnitude is in the previously reported order of 10^{-4} [11].

III. AB INITIO CALCULATIONS

A. Self-consistent calculations

We performed first-principles calculations for hematite in terms of the screened Korringa–Kohn–Rostoker (SKKR) multiple scattering theory [12] in the atomic-sphere approximation (ASA). The bulk crystal structure is assembled using the conventional hexagonal unit cell; see Fig. 1(a). The hexagonal lattice parameters $a = 5.067$ Å and $c = 13.882$ Å were chosen to match the structure optimized by Rohrbach *et al.* [13] using a generalized gradient approximation (GGA + U). According to the suggestion of Sandratskii *et al.* [14], to achieve sufficient space filling within the ASA, we added “empty” atomic spheres (ESs) between the Fe atoms labeled by B and C , as well as between A and D . The hexagonal unit cell in our calculations contained thus 36 atomic spheres (12 Fe, 6 ESs, and 18 O).

We carried out self-consistent field (SCF) calculations for the ordered AFM state of hematite with the magnetic orientation pointing along the c axis, as well as for the paramagnetic state by employing the disordered local moment (DLM) theory [15,16]. We then used the spin-cluster expansion (SCE) to extract spin model parameters from the DLM state by mapping the adiabatic energy surface of the fluctuating state onto a Heisenberg model [17,18]. This method has been used successfully to describe AFM-FM interfaces such as exchange bias systems [18,19], as well as bulk noncollinear antiferromagnets [20,21].

For the partial waves within the multiple scattering theory, we used an angular momentum cutoff of $\ell_{\max} = 2$. The effective potentials and fields were constructed within the GGA as parameterized according to Perdew, Burke, and Ernzerhof [22]. To account for the strong Coulomb repulsion of the Fe d electrons, we employed the Hartree–Fock approximation (GGA + U) with the parameters $U = 6$ eV and $J = 2$ eV. The value $U - J = 4$ eV is commonly accepted in the literature [8,13,23,24]. With this choice, we obtained a band gap of 2.29 eV in excellent agreement with experimental values of 2.14 eV to 2.2 eV [25]. The necessary energy integrations were performed by sampling 16 points along a semicircular contour in the upper complex semiplane. For reciprocal space summation, we use a \mathbf{k} grid built up as the Cartesian product of a triangular 2D grid in the k_x - k_y plane and a uniform 1D grid along the k_z axis. During the self-consistent iterations at every energy point, we used 9450 \mathbf{k} points (21 k_z values and 450 points in the k_x - k_y plane) in the hexagonal Brillouin zone, whereas for the calculations of the spin model parameters and the magnetic anisotropy, we gradually increased the number of \mathbf{k} points up to about 440000 (60 k_z values and 7320 points in the k_x - k_y plane) near the Fermi energy.

In our self-consistent calculations, the Fermi energy E_F was underestimated by about 0.5 eV compared to the bottom of the insulating gap. This is a well-known shortcoming of KKR Green’s function calculations due to the insufficient angular momentum convergence in the evaluation of the charge density. Unfortunately, using an angular momentum cutoff higher than $\ell_{\max} = 2$ was not possible, as the combination of a fully relativistic description and the very large unit cell led to a memory demand we could not increase further in our SKKR code. To tackle this problem, Zeller proposed a procedure

TABLE I. Spin (m_{spin}) and orbital (m_{orb}) magnetic moments of the Fe and O atoms from the SKKR GGA + U calculations in the AFM and DLM state using the SCF and the shifted Fermi level (see text). The results of earlier *ab initio* calculations are also shown for comparison. The value shared between an Fe and an O column refer to the spin magnetic moment per Fe atom for the given method. Experimental values refer to the total magnetic moment per Fe atom. All values are given in μ_{B} .

Source	$m_{\text{spin}}^{\text{Fe}}$	$m_{\text{spin}}^{\text{O}}$ ($\times 10^{-3}$)	$m_{\text{orb}}^{\text{Fe}}$ ($\times 10^{-2}$)	$m_{\text{orb}}^{\text{O}}$ ($\times 10^{-3}$)
AFM ($E_{\text{F}}^{\text{scf}}$)	4.04	7.08	0.49	0.31
AFM (E_{F}^{sh})	4.17	0.41	1.13	0.40
DLM ($E_{\text{F}}^{\text{scf}}$)	4.07	0.00	0.39	0.00
DLM (E_{F}^{sh})	4.23	0.00	1.06	0.00
AFM LSDA [14]	3.69		3	
AFM LSDA + U [8]		4.1		
AFM GGA + U [9]	4.09	0		
Experiment [27,28]		4.6 – 4.9		
Experiment [29]		4.22		

to rescale the energy-dependent contributions of the charge density by validating the total charge using Lloyd's formula [26]. However, for similar reasons as above, this approach is computationally not feasible for our SKKR implementation. As a consequence, our self-consistent KKR Green's function calculations resulted in a metallic ground state for hematite.

To mimic the insulating state of hematite, we simply shifted E_{F} to the bottom of the band gap by keeping the self-consistently calculated effective potentials and fields fixed. The validity of this choice of E_{F} is also supported by the fact that the spin model obtained using the self-consistent Fermi level ($E_{\text{F}}^{\text{scf}}$) turned out to have a ferromagnetic ground state, whereas the spin model derived by using the shifted Fermi level (E_{F}^{sh}) provided the correct AFM ground state as sketched in Fig. 1(b).

B. Atomic magnetic moments

The spin and orbital moments we obtained in terms of the SKKR GGA + U method are shown in the first four rows of Table I. Clearly, there is only a minor difference in the Fe spin moments between the ordered AFM and the DLM states, which may be attributed to the nearly occupied majority spin band of Fe in both cases. The rows labeled by $E_{\text{F}}^{\text{scf}}$ and E_{F}^{sh} correspond to calculations with the self-consistently calculated Fermi energy and to those where the Fermi level was shifted to the bottom of the gap, respectively, as explained above. The Fe spin moments calculated with $E_{\text{F}}^{\text{scf}}$ are in agreement with earlier LSDA + U , or GGA + U calculations [8,9]. They are further increased by about $0.15 \mu_{\text{B}}$ when shifting the Fermi level to the band bottom, bringing the result closer to the experimental values [27–29]. The underestimation of the magnetic moments seen in experiments is a common feature of existing theoretical works in the literature. But compared to more recent measurements by Hill *et al.* [29], our magnetic moment seems to be in excellent agreement with experiments.

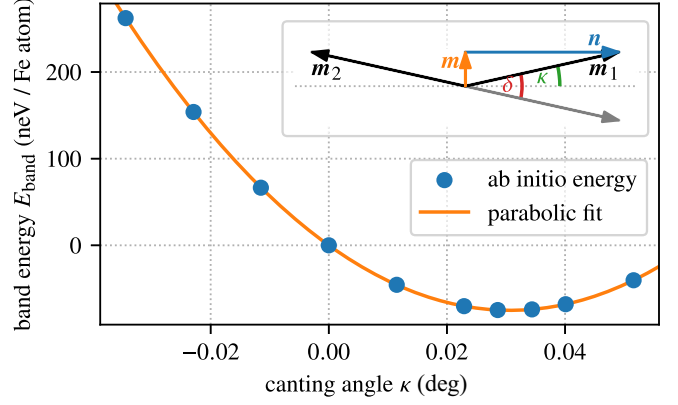


FIG. 2. Energy as a function of weak ferromagnetic distortion angle κ as obtained from magnetic force theorem calculations. Inset: Magnetization vectors \mathbf{m}_1 and \mathbf{m}_2 of the magnetic double layers, net magnetization \mathbf{m} , and Néel vector \mathbf{n} . The canting angle with respect to the collinear configuration is denoted by κ .

Regarding orbital moments, we find that they are at least two orders of magnitude smaller than spin moments.

Even with the SCF value of the Fermi level, our calculated spin moments come considerably closer to measured values than in early LSDA calculations [14], demonstrating the need for incorporating electron correlations to describe the magnetism of hematite correctly.

C. Ground state and weak ferromagnetism

By fixing the SCF effective potentials and fields in the AFM configuration with magnetization parallel to the c axis, in the spirit of the magnetic force theorem [30,31], we calculated the band energy by changing the angle ϑ of the magnetization relative to the c axis,

$$E_{\text{band}}(\vartheta) = \int_{\varepsilon_{\text{bott}}}^{E_{\text{F}}^{\text{sh}}} d\varepsilon (\varepsilon - E_{\text{F}}^{\text{sh}}) n(\varepsilon, \vartheta), \quad (1)$$

where $n(\varepsilon, \vartheta)$ is the density of states and $\varepsilon_{\text{bott}}$ is chosen below the bottom of the valence band. According to the trigonal symmetry of the lattice, our calculations showed an angle dependence $E_{\text{band}}(\vartheta) = -d_2 \cos^2(\vartheta)$ with high accuracy. We obtained a value for the uniaxial magnetocrystalline anisotropy (MCA) energy of $d_2 = E_{\text{band}}(90^\circ) - E_{\text{band}}(0^\circ) = 40.49 \mu\text{eV}$ per Fe atom favoring a magnetization parallel to the c axis. Thus, our calculations of the MCA predict an out-of-plane AFM order as the ground state of hematite, matching experimental findings.

Orienting the Fe moments in the plane allows us to further decrease the energy of the AFM configuration by canting the moments of the two Fe AFM sublattices into the perpendicular in-plane direction, forming a WF state. By varying the canting angle κ (cf. Fig. 2) we indeed obtain an energy minimum at $\kappa = 0.031^\circ$ (or 0.54 mrad), in excellent agreement with earlier theoretical findings [8,32]. The energy difference between the canted WF state and the collinear AFM state is only 74.7 neV per Fe atom, about three orders of magnitude smaller than the uniaxial anisotropy. These energy scales underpin the picture that the Morin transition is primarily a reorientation

transition from the out-of-plane AFM order to an in-plane orientation driven by the different temperature dependence of various contributions to the anisotropy, and once the system is in the in-plane state, the canting is induced by the DMI.

D. Exchange tensors

The SCE based on the relativistic DLM scheme [18] provides us with a bilinear tensorial Heisenberg model of the form

$$\mathcal{H} = -\frac{1}{2} \sum_{i \neq j} \mathbf{S}_i^T \mathcal{J}_{ij} \mathbf{S}_j - \sum_i \mathbf{S}_i^T \mathcal{K}_i \mathbf{S}_i, \quad (2)$$

where \mathcal{J}_{ij} is the exchange interaction tensor and \mathcal{K}_i is the on-site anisotropy matrix. The interaction term can be decomposed into three parts according to the spherical tensor components of \mathcal{J}_{ij} , namely,

$$\begin{aligned} \mathcal{J}_{ij} &= J_{ij}^{\text{iso}} \mathcal{I}_3 + \mathcal{J}_{ij}^A + \mathcal{J}_{ij}^S \\ &= \mathcal{I}_3 \frac{1}{3} \text{tr} \mathcal{J}_{ij} + \frac{1}{2} (\mathcal{J}_{ij} - \mathcal{J}_{ij}^T) \\ &\quad + \frac{1}{2} (\mathcal{J}_{ij} + \mathcal{J}_{ij}^T - \frac{2}{3} \mathcal{I}_3 \text{tr} \mathcal{J}_{ij}), \end{aligned} \quad (3)$$

where the first term is the isotropic part with the identity matrix \mathcal{I}_3 , the second term is the antisymmetric part, and the last term is the traceless symmetric part of the exchange tensor. These terms correspond to the isotropic Heisenberg interaction, the DMI [2,3], and the two-ion anisotropy, respectively. In particular, the DM vectors can be defined as the vector invariant of the exchange tensors,

$$\mathbf{D}_{ij} = (J_{ij,zy}^A, J_{ij,xz}^A, J_{ij,yx}^A), \quad (4)$$

corresponding to the energy term $\mathbf{D}_{ij} \cdot (\mathbf{S}_i \times \mathbf{S}_j)$. In line with the uniaxial MCA energy, d_2 , the site-dependent uniaxial two-ion anisotropy energy can be defined as

$$\Delta E_{\text{tia},ij} = -\sigma_{ij} (J_{ij,zz} - J_{ij,xx}), \quad (5)$$

where σ_{ij} denotes the sign resulting from the relative orientation of the interaction partners (+1 for parallel, -1 for antiparallel spins).

There are certain symmetry constraints that the exchange tensors should fulfill: they should be invariant under symmetry operations from the crystal's space group and the DMI component should satisfy Moriya's five symmetry rules [3]. Reassuringly, the results from our *ab initio* calculations possess all these symmetries but there are tiny inaccuracies in the neV order. These deviations, albeit small, can lead to artifacts in the later spin dynamics simulations, such as lifting the degeneracy between symmetrically equivalent states or a ground state that is ever so slightly tilted to the crystal axis (by 0.37°). To avoid these issues, we symmetrized the exchange tensors by enforcing Moriya's symmetry rules and taking the mean of all symmetric equivalents for each interaction pair.

The spatial distribution of the Fe-Fe interactions is shown in Fig. 3. The isotropic couplings are about a hundred times larger than the magnitudes of the DM vectors, and the two-ion anisotropy is another order of magnitude smaller. Among the abundance of isotropic exchange interactions the dominant ones are the third- and fourth-nearest-neighbor shells, which provide strong AFM couplings between Fe atoms on opposite magnetic sublattices, robustly preferring the AFM order seen in experiments. A mean-field estimate based on the Fourier

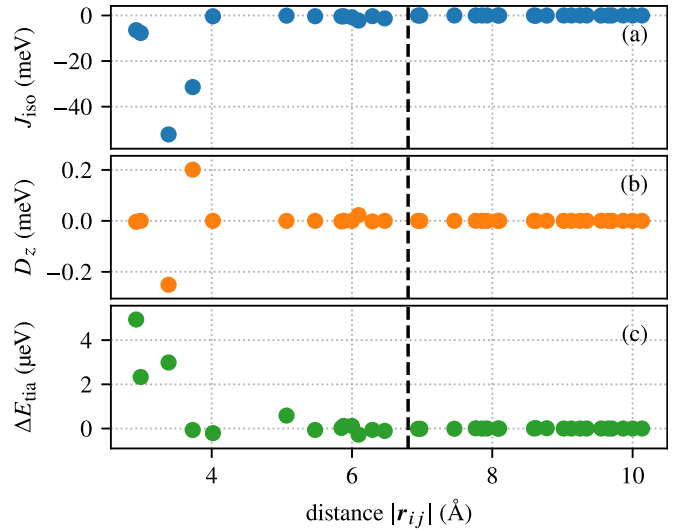


FIG. 3. *Ab initio* calculated interaction energy per atom, split into (a) the isotropic exchange energy, (b) the out-of-plane component of the DM vector, and (c) the two-ion anisotropy expressed as the energy difference between out-of-plane and in-plane alignment of the magnetic moments. The dashed vertical line marks the cutoff radius of 6.8 Å used for the spin dynamics simulations.

transform of the exchange tensors predicts the same AFM order with a mean-field Néel temperature of 1259 K.

The first five isotropic couplings are also collected in Table II for comparison with earlier theoretical results. We find a comparatively similar spatial dependence as Logemann *et al.* [9], but the values of the dominant J_3 and J_4 interactions are almost twice as large as what they found. This is also reflected in the mean-field Néel temperature of 878 K in Ref. [9], which is even lower than the experimental value. In contrast, our dominant interactions are very similar to those found by Mazurenko and Anisimov [8], however, the interactions for the first two shells are less than half of theirs (and both AFM) in our calculations.

The three-dimensional configuration of the DM vectors is rendered in Fig. 4. The dominant contributions come from the third and fourth shells surrounding the central atom, with three and six sites on the shells, respectively. The threefold rotational symmetry of the crystal is nicely reflected in the DM vector configuration, implying that the effective DM interaction only arises through the z component of the vectors. Since the DM interaction prefers canting of the corresponding

TABLE II. Calculated isotropic exchange interactions for the five nearest atomic shells, in meV units. The interactions are listed in the same order (in increasing order of interatomic distance) as in Table I of Ref. [8].

	Sublattices	This paper	Ref. [9]	Ref. [8]
J_1	A-B	-3.21	-3.5	-8.58
J_2	A-D	-3.84	-3.2	7.3
J_3	A-B	-26.10	-13.9	-25.22
J_4	A-C	-15.71	-9.8	-17.5
J_5	A-D	-0.17		0.07

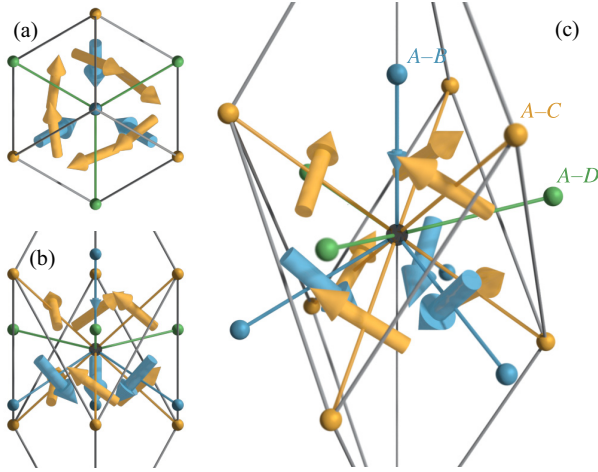


FIG. 4. Orientation of the *ab initio* computed Dzyaloshinskii–Moriya vectors. Shown are all neighbors belonging to the first four shells, relative to an atom of sublattice *A* (shown in black at the center). The DMI between atoms belonging to sublattices *A* and *D* is zero because there are inversion centers between the interaction partners. Depicted are orthographic projections from the (a) [001] and (b) [100] directions as well as a perspective projection (c).

spins in a plane perpendicular to the axis of the DM vector, this also explains why the WF distortion only appears in an in-plane state. We also note that there is an inversion center between atoms *B* and *C*, as well as between *A* and *D*, in the rhombohedral unit cell (cf. Fig. 1), implying that the DM interaction between atoms *B* – *C* and atoms *A* – *D* in the unit cell is exactly zero.

E. Magnetocrystalline anisotropy

As for the anisotropies, C_3 symmetry restricts the second-order on-site anisotropy to a uniaxial form, and further considering space-group symmetries connecting the four Fe sites in the rhombohedral cell, we only have

$$-\sum_i \mathbf{S}_i^T \mathcal{K}_i \mathbf{S}_i = -d_2 \sum_i S_{i,z}^2. \quad (6)$$

The total uniaxial two-site anisotropy is given by

$$\Delta E_{\text{tia}} = \sum_{j(\neq i)} \Delta E_{\text{tia},ij}, \quad (7)$$

and is the same for all sublattices.

From the SCE calculations, we obtain $d_2 = -2.24 \mu\text{eV}$ and $\Delta E_{\text{tia}} = -11.20 \mu\text{eV}$ normalized to one Fe atom. The sum of the second-order anisotropy arising from the on-site and two-ion contributions of the *ab initio* spin model is $-13.44 \mu\text{eV}$, i.e., it favors an in-plane orientation for the ground state magnetization. This contrasts with our magnetic force theorem calculations performed in the ordered AFM state (cf. Sec. III C), which predicts an easy *c* axis anisotropy for the ground state in agreement with the experiments.

This disagreement leads us to conclude that the SCE calculations lack the necessary accuracy on the energy scale of $10 \mu\text{eV}$ relevant for the MCA. Furthermore, we know that the description of the transversal spin-flop transition as a first-

order phase transition requires the presence of a fourth-order anisotropy term in the Hamiltonian [33], but in our *ab initio* force theorem calculations, this term has a completely negligible magnitude.

Instead, we find the following approach more promising. We parametrize the spin model with the tensorial interactions as calculated within the SCE, since they provide a Néel temperature and WF canting angle in good agreement with experiments, as we shall see in the following (the energy scales are also much larger here, in the meV range). The on-site anisotropy parameters d_2 and d_4 will be treated as adjustable parameters determined by comparison with experimental measurements of the spin-flop transition. The dipolar interactions are calculated from the *ab initio* lattice structure and atomic magnetic moments.

IV. SPIN DYNAMICS SIMULATIONS

Our atomistic spin dynamics simulations are based on an extended Heisenberg model of the form

$$\begin{aligned} \mathcal{H} = & -\frac{1}{2} \sum_{i \neq j} \mathbf{S}_i^T \mathcal{J}_{ij} \mathbf{S}_j - d_2 \sum_i S_{i,z}^2 \\ & - d_4 \sum_i S_{i,z}^4 - \mu_s \mathbf{B} \cdot \sum_i \mathbf{S}_i. \end{aligned} \quad (8)$$

Here, the \mathcal{J}_{ij} are exchange tensors that contain the isotropic exchange, DMI, and two-ion anisotropy from the SKKR calculations (cf. Sec. III D) as well as dipole-dipole interactions (see the following Sec. IV A). The next two terms model the second- and fourth-order on-site anisotropies as discussed in the previous section. The last term is the Zeeman energy from the external magnetic field \mathbf{B} and uses a magnetic moment per iron atom of $\mu_s = 4.2313 \mu_B$, which is the sum of the spin and orbital moments computed from the DLM state with the shifted Fermi level (see Table I).

The spin dynamics are then simulated by integrating the stochastic Landau–Lifshitz–Gilbert equation [34–37] with a damping parameter of $\alpha = 0.001$. This value is larger than what is usually assumed for hematite [10], but it leads to a faster relaxation toward equilibrium, and so long as we are only concerned with equilibrium states, the choice of α does not affect the results.

A. Dipole-dipole interaction

The energy contribution from dipole-dipole interactions between the atomic magnetic moments has the form

$$\mathcal{H}_{\text{ddi}} = -\frac{\mu_s^2 \mu_0}{8\pi} \sum_{i \neq j} \frac{3(\mathbf{S}_i \cdot \mathbf{r}_{ij})(\mathbf{r}_{ij} \cdot \mathbf{S}_j)}{|\mathbf{r}_{ij}|^5} - \frac{\mathbf{S}_i \cdot \mathbf{S}_j}{|\mathbf{r}_{ij}|^3}, \quad (9)$$

where \mathbf{r}_{ij} is the distance vector between two lattice sites *i* and *j*, and μ_0 is the vacuum permeability. The effect of this interaction is an energy difference, i.e., an effective two-site anisotropy, between the out-of-plane and in-plane orientation of the magnetic moments. Figure 5 shows the energy difference between the in-plane and the out-of-plane orientation of the Néel and magnetization vectors as a function of the cutoff radius. The sum of all interactions within a sphere of $1 \mu\text{m}$ radius amounts to $\Delta E_{\text{dd}} = -101.15 \mu\text{eV}$ and $-4.17 \mu\text{eV}$

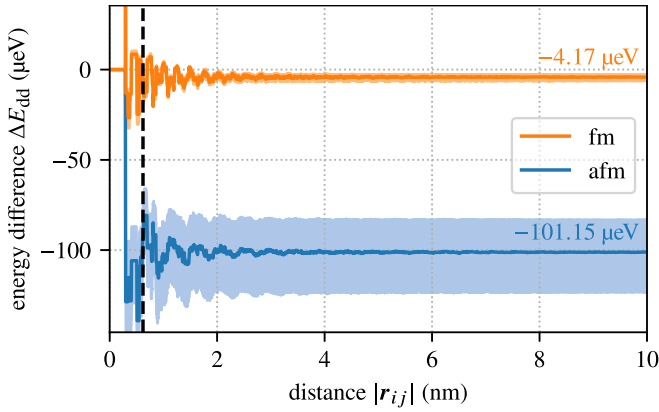


FIG. 5. Effective anisotropy due to dipole-dipole interactions. Shown is the energy difference per spin between the in-plane and out-of-plane state for both antiferromagnetic and ferromagnetic alignment of the sublattices, in dependence of the interaction range $|r_{ij}|$. The total bulk values given in the graph have been calculated from the cumulative dipole-dipole energies up to a distance of $1 \mu\text{m}$. The negative sign of both values indicates that the dipole-dipole interaction favors the in-plane orientation of both the Néel vector and the magnetization. The dashed vertical line marks the cutoff radius of 6.2 \AA used for the spin dynamics simulations. The shaded areas indicate the uncertainty interval resulting from a 1% relative uncertainty of the lattice parameters and a 10% relative uncertainty of the atomic magnetic moment.

for the AFM and FM states, respectively. These rather large values are the result of the magnetic structure of hematite consisting of double layers of ferromagnetic alignment. In each of these layers, the magnetic moments can minimize their energy by assuming a nose-to-tail rather than a broadside configuration. Hence, the dipole-dipole interaction leads to a preference for the in-plane state.

The effective anisotropy we calculated from the dipolar interactions is about 30% smaller than an earlier calculation by Artman *et al.* [38]. Most of this deviation comes from the assumed magnetic moment per Fe atom, which in our case is 10% smaller than for Artman *et al.* Their calculations were also based on a different set of lattice parameters [39] with lattice constants that are slightly smaller (by less than 1%). To illustrate the dependence of the dipole-dipole anisotropy with respect to these parameters, we also plotted in Fig. 5 the range of ΔE_{dd} for relative deviations in the magnetic moments and in the lattice parameters amounting to 10% and 1%, respectively.

For the spin dynamics simulations, dipole-dipole interactions were taken into account up to a range of 6.2 \AA to reduce the computational effort. The resulting deviation from the total dipole-dipole energy is below 8%. This deviation is acceptable when compared to the uncertainty of both the dipole-dipole energies and the two-site anisotropy that results from the anisotropic part of the SCE exchange tensors.

B. Anisotropy

We have seen that the two-ion anisotropies (dipole-dipole interaction and symmetric anisotropic exchange) energetically favor an in-plane alignment of the magnetic moments. These must be compensated by larger, positive on-site anisotropies

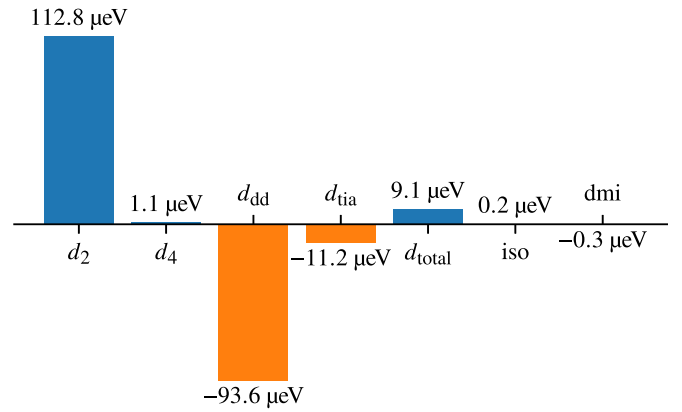


FIG. 6. Contributions to the internal energy difference between the WF and AFM state (without external fields). Both the dipole-dipole interaction d_{dd} and the two-ion anisotropy d_{tia} favor an in-plane orientation of the magnetic moments while the second- and fourth-order on-site anisotropies lead to a preference of the out-of-plane state in total. The isotropic exchange and DMI energy are also affected by the transition from the AFM to the WF state but their effect is much smaller than that of the anisotropy terms.

in the ground state, which has the magnetic moments aligned out of plane. As discussed in Sec. III E, we have the second- and fourth-order anisotropy energies, d_2 and d_4 , left as free parameters. There is also a sixth-order triaxial basal plane anisotropy [40,41], which we neglect because it is very small (around 1 neV) and it does not qualitatively alter any of the phase transitions.

To determine d_2 and d_4 , we look at SMR measurements of the spin-flop transition. The spin-flop field B_{sf} depends both on the angle ϑ between the applied magnetic field and the crystal's c axis and on the temperature T , becoming zero at the Morin temperature T_{M} .

By minimizing the Hamiltonian as defined in Eq. (8), one finds that the longitudinal spin-flop field ($\vartheta = 0^\circ$) depends mostly on the sum of the anisotropy energies, $d_2 + d_4$, while the transversal spin-flop field ($\vartheta = 90^\circ$) is very susceptible to the contribution of d_4 . Therefore, angle-dependent spin-flop measurements are ideally suited to determine these parameters.

Our approach is hence to first adjust our model's anisotropy parameters to angle-dependent measurements of the spin-flop field $B_{\text{sf}}(\vartheta)$ at temperatures closely below the Morin temperature T_{M} . Details on how the spin-flop transition is simulated can be found in Appendix A. Having fixed the free parameters, we can then evaluate the model's critical behavior across the whole temperature range $(0, T_{\text{M}})$ and compare it to temperature-dependent measurements of $B_{\text{sf}}(T)$.

The parameters we found are $d_2 = 112.8 \mu\text{eV}$ and $d_4 = 1.1 \mu\text{eV}$. In Fig. 6, we compare these values to the other terms in the Hamiltonian. In total, the energy difference between the AFM and WF state for $T = 0, B = 0$ is only $9 \mu\text{eV}$, which is the sum of several competing terms, by far the largest of which are the second-order on-site anisotropy and the dipole-dipole interaction. The competition between these two is what determines the equilibrium state of the material. The different temperature dependence of the free energy associated with

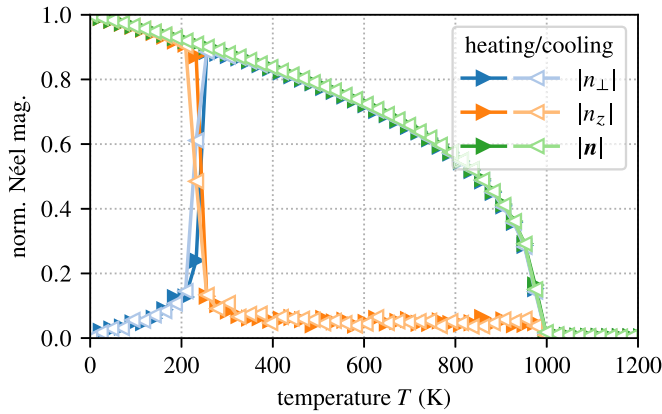


FIG. 7. Magnetization curve of the hematite spin model. The plot shows data from two simulations: heating up from the ground state (solid triangles pointing right) and cooling down from the paramagnetic state (white triangles pointing left). The Néel vector length $|n|$ displays the Néel transition at $T_N = 989$ K. The in-plane component $|n_{\perp}|$ and the out-of-plane component $|n_z|$ of the Néel vector reveal the Morin transition at a temperature of $T_M = 240(12)$ K.

each term leads to the Morin transition. The dipole-dipole energy is the only contribution that has the same order of magnitude as the second-order on-site anisotropy. Without it, the system would not exhibit a Morin transition.

Compared to earlier estimates based on antiferromagnetic resonance measurements [42], our fourth-order anisotropy energy is about 25 % smaller, while the total second-order anisotropy (the sum of the quadratic and bilinear terms) is about 50 % larger. Our total anisotropy energy is hence approximately 30 % lower. We cannot expect better agreement with those earlier estimates, since they are based on effective parameters derived from the comparison of experimental results with mean-field models, e.g., the calculation used by Morrison *et al.* is based on an effective exchange field that is 35 % lower than our *ab initio* result. While the resulting Néel temperature seems close to the expected value in the mean-field approximation, it would lead to an underestimation by a third with our atomistic spin dynamics simulations. This underlines the advantages of an *ab initio* approach, which greatly reduces the number of free parameters in the model and hence improves the estimation of the remaining parameter values.

C. Néel transition

To study the temperature-dependent phase transitions in our model, the system is initialized in the ground state at $T = 0$ K and then heated in steps of 23.2 K. In another simulation, the system is initialized in a paramagnetic state above the Néel temperature and then cooled down to 0 K. At each temperature step, the system is given 50 ps to equilibrate and, when equilibrium is reached, the relevant order parameters are averaged over another 50 ps. The results are given in Fig. 7.

The norm of the Néel vector, $|n|$, shows a clear Néel transition (from the WF to the paramagnetic state), at a temperature of $T_N = 989$ K. This is in good agreement with literature values that range from 950 K to 970 K [33]. As the Néel temperature is mainly determined by the total isotropic exchange interaction in the system (other contributions, like

the anisotropy constant, are several orders of magnitude lower and therefore negligible), we can be confident that this part of the *ab initio* calculation is indeed reasonably accurate.

D. Weak ferromagnetic canting angle

While the Néel temperature is a good indicator for the correctness of the isotropic part of the exchange interaction, the antisymmetric part, i.e., the DMI, is reflected in the WF canting angle κ . Based on the *ab initio* calculated isotropic exchange and DM energies, we can calculate the canting angle within our spin model to be

$$\kappa = \frac{1}{2} \arctan \left(\frac{D_{\text{eff}}}{J_{\text{eff}}} \right). \quad (10)$$

Here, the effective DM energy D_{eff} is defined as the sum of the xy components of all exchange tensors with respect to a given lattice site and the effective isotropic exchange energy J_{eff} is the sum of the xx components of all exchange tensors between lattice sites of opposite spin alignment. Therefore, the normalized magnetization in the WF state (without external field) is given by

$$m = \sin(\kappa) = \pm \sqrt{\frac{1}{2} \left(1 - \sqrt{\frac{J_{\text{eff}}^2}{J_{\text{eff}}^2 + D_{\text{eff}}^2}} \right)}. \quad (11)$$

The value produced by the spin model ($\kappa = 0.038^\circ$) is slightly larger than the *ab initio* result ($\kappa = 0.031^\circ$). It is in good agreement with earlier theoretical findings [8,32]. Experimental measurements, however, have previously reported somewhat larger values ($\kappa = 0.0554(8)^\circ$ [29]).

This shows that the *ab initio* calculated DMI values are also, at least in total, quantitatively accurate, since, as Eq. (10) shows, the canting angle in the WF phase is entirely determined by the ratio of the DM energy and the isotropic exchange energy.

E. Morin transition

To determine the Morin transition, we can look at a number of different order parameters. In theory, the magnetization m should be zero in the AFM phase and assume a finite value in the WF phase. However, we can calculate from Eq. (11) that the resulting weak magnetization in the absence of external magnetic fields is only 0.000669 (normalized to the saturation value), or $0.00283\mu_B$ per iron atom, and therefore too small to be visible in the simulation data without averaging over overly large systems or long simulation times.

Instead, we focus on the magnitude of the in-plane and out-of-plane components of the Néel vector (n_z and n_{\perp}). Since the Morin transition is connected with a reorientation of spins from the c axis into the basal plane, the transition should be clearly visible in these two parameters. As shown in Fig. 7, we observe the Morin transition at $T_M = 240(12)$ K, just slightly lower than the experimentally found value of 255 K [10].

F. Spin-flop transition

Figure 8 shows measurements of the angle-dependent spin-flop field $B_{\text{sf}}(\vartheta)$ both at low temperatures close to zero and at

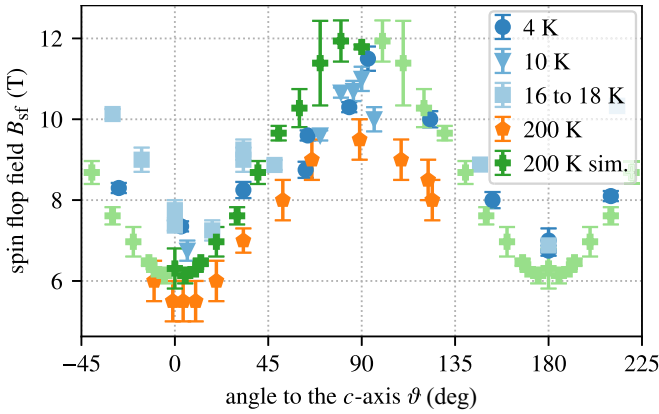


FIG. 8. Spin-flop field B_{sf} in dependence of the angle ϑ between the magnetic field and the crystal's symmetry axis. Shown are experimental measurements at various low temperatures and at 200 K as well as simulation results at 200 K. The experimental data at 200 K were taken from earlier measurements published in Ref. [10]. For the simulation data, the darker points represent the original data and the lighter points are copies of those data points based on symmetry considerations.

200 K. We expect that the spin-flop fields are largest at low temperatures and then decrease toward T_M . And, indeed, the measured low-temperature spin-flop fields are clearly higher than at 200 K, but only by a small amount. This indicates that the spin-flop fields remain largely constant over this temperature range and only drop off close to T_M , a behavior that has been observed before [10].

Simulation results for $B_{sf}(\vartheta)$ at 200 K are also shown in Fig. 8 for comparison. These results are generally in good agreement, although they slightly overestimate the transition field.

Simulation results for temperatures close to zero are not shown in Fig. 8, because here the critical fields range approximately from 15 T to 95 T. So while the theoretical model agrees well with experimentally measured spin-flop fields at higher temperatures, it overestimates the spin-flop field at low temperatures roughly by a factor of two in the longitudinal case ($\vartheta = 0^\circ$), and even more for transversal fields.

V. QUANTUM EFFECTS

To understand the reason for the large discrepancy of the critical fields at low temperatures, we look at measurements of the longitudinal spin-flop field as a function of temperature, which is shown in Fig. 9. As the temperature in the experiment is decreased below the Morin temperature, the critical field rises at first but then reaches a plateau below approximately 150 K. This behavior cannot be reproduced by the classical spin model, in which the critical field first rises in line with experiments at temperatures close to T_M but then continues to increase linearly and hence overestimates the spin-flop field at $T = 0$.

To ascertain the influence of quantum effects on the temperature dependence of the spin-flop field, we compute the spin-flop field within a mean-field approximation using both a classical model and a quantum model with spin quantum

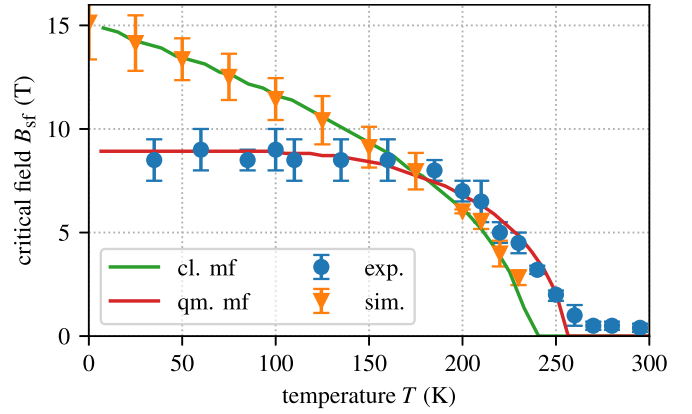


FIG. 9. Comparison of the temperature-dependent spin-flop fields measured and simulated at $\vartheta = 0^\circ$ with the rescaled theoretical predictions from a classical (cl.) and quantum (qm.) mean-field model. The experimental data were taken from earlier measurements published in Ref. [10].

number $S = 2$ (for details, see Appendix B). The mean-field models do not provide quantitative accuracy but they offer a good qualitative picture of the expected shape of the curve. For a direct comparison with our data, we therefore rescale the resulting mean-field curves to match the respective Morin temperatures and spin-flop fields, see Fig. 9. That way it becomes apparent that only the quantum-model curve can be brought into agreement with experimental data for lower temperatures. On the other hand, the classical mean-field curve follows the simulation's behavior. Above 150 K, the classical model is in reasonable agreement with the experiment.

VI. CONCLUSIONS

We have presented *ab initio* calculations of the exchange interactions in hematite and how they can be used to parametrize an atomistic spin model that correctly reproduces this complex material's magnetic phases and phase transitions. In addition to isotropic exchange and DMIs, our simulations incorporate the competing effects of second- and fourth-order on-site anisotropies as well as relativistic and dipolar two-ion anisotropies.

We have validated our model through comparisons with experimental measurements on a hematite single crystal. Once the anisotropy constants are fitted to the material, we find good quantitative agreement of the Néel and Morin temperatures as well as the WF canting angle predicted by our model and measured in experiments.

At low temperatures, deviations between the classical model and experimental results are expected and can be observed. Through mean-field approximations, we demonstrate the qualitative differences between a classical and quantum model. At low temperatures, only the quantum nature of the thermal fluctuations can explain the temperature dependence of the spin-flop field satisfactorily. This allows us to delineate the temperature range in which a classical model is applicable and elucidate the deviations arising from quantum effects.

ACKNOWLEDGMENTS

This work has been supported by the German Research Foundation (DFG) under Project No. 423441604. T.D. and U.N. acknowledge additional support from the DFG through Project No. 425217212 (SFB 1432). A.D. and L.S. acknowledge support by the National Research, Development and Innovation (NRDI) Office of Hungary under Grants No. K131938, No. K142652, and No. PD134579. This research is part of the Project No. TKP2021-NVA-02 implemented with the support provided by the Ministry of Culture and Innovation of Hungary from the NRDI Fund. Computing resources for the *ab initio* calculations were provided by the Governmental Information Technology Development Agency's (KIFÜ) cluster in Debrecen, Hungary. The team in Mainz acknowledges additional support from the DFG under Project No. 268565370 (SPIN+X, Projects No. A01 and No. B02) and from the Horizon 2020 Framework Programme of the European Commission under FET-Open Grant Agreement No. 863155 (s-Nebula) and from the Horizon Europe Framework programme under Grant Agreement No. 101070287 (SWAN-on-chip). L.R. gratefully acknowledges support by the Young Scholar Fund at the University of Konstanz and NRDI Office of Hungary under Grant No. FK142601.

APPENDIX A: SIMULATION OF SPIN-FLOP FIELDS

To determine the spin-flop field $B_{sf}(\vartheta, T)$ in a simulation, the system is first initialized in its ground state at the temperature T . Then a magnetic field is applied at an angle ϑ to the c axis. The magnitude of the field is steadily increased up to a certain maximum field strength (chosen to be above the highest expected B_{sf}) and then decreased again until it reaches zero. Figure 10 shows two example simulations. It

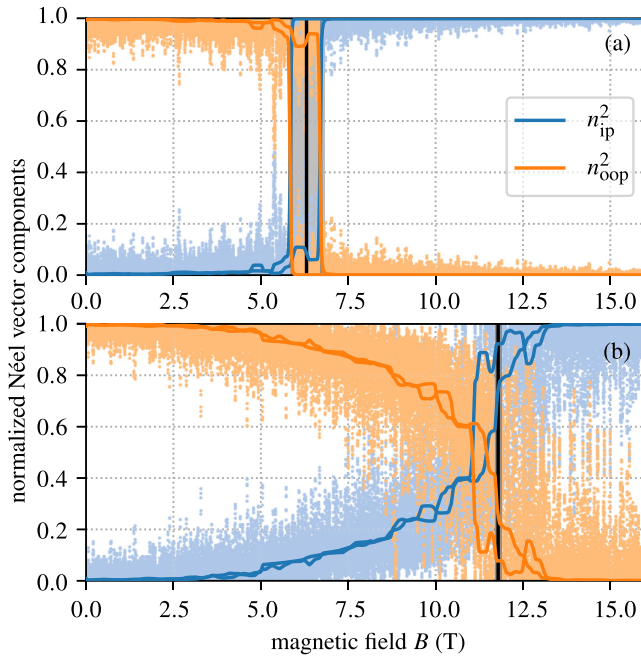


FIG. 10. Simulations of the spin-flop transition at $T = 200$ K at a magnetic field angle of (a) $\vartheta = 0^\circ$ and (b) $\vartheta = 90^\circ$. Plotted are the squared in-plane (ip) and out-of-plane (oop) components of the Néel vector. The black lines mark the determined spin-flop field B_{sf} .

is important to look at both increasing and decreasing fields because a hysteretic behavior can be observed in many cases [see, e.g., Fig. 10(a)]. We then take the value of B_{sf} as the mean between the values determined for increasing and decreasing magnetic field. The uncertainty is calculated as the empirical standard deviation of the two values.

APPENDIX B: MEAN-FIELD CALCULATIONS

We consider the Hamiltonian

$$H = -\frac{1}{2} \sum_{i \neq j, r, s} \mathbf{S}_{ir} \mathcal{J}_{ij}^{rs} \mathbf{S}_{js} - \sum_{i, r} \mathcal{K}_i^r(\mathbf{S}_{ir}) - \sum_{i, r} \mu_r \mathbf{B} \mathbf{S}_{ir}, \quad (\text{B1})$$

where i, j and r, s denote site and sublattice indices, respectively. \mathcal{J}_{ij}^{rs} is the exchange tensor between the spins, \mathcal{K}_i^r is the on-site anisotropy function containing second-order and fourth-order terms, while \mathbf{B} is the external field coupling to the spin through the magnetic moment μ_r . \mathbf{S}_{ir} is a unit vector in the classical case and the spin operator with quantum number S in the quantum case, where $\mu_r = g\mu_B$ is set with g the gyromagnetic factor and μ_B the Bohr magneton.

In mean-field theory, the expectation values $\langle \mathbf{S}_{ir} \rangle = \langle \mathbf{S}_r \rangle$ are introduced, which are assumed to depend on the sublattice but not the site. The spin operators are replaced by $\mathbf{S}_{ir} = \langle \mathbf{S}_r \rangle + \Delta \mathbf{S}_{ir}$, and the Hamiltonian is approximated such that all terms containing products of the spin fluctuations $\Delta \mathbf{S}_{ir}$ at different sites are neglected. This results in

$$H_{\text{MF}} = \frac{1}{2} \sum_{r, s} \langle \mathbf{S}_r \rangle \sum_{i \neq j} \mathcal{J}_{ij}^{rs} \langle \mathbf{S}_s \rangle - \sum_{i, r} \left[\mathbf{S}_{ir} \frac{1}{2} \sum_j \mathcal{J}_{ij}^{rs} \langle \mathbf{S}_s \rangle + \mathcal{K}_i^r(\mathbf{S}_{ir}) + \mu_r \mathbf{B} \mathbf{S}_{ir} \right]. \quad (\text{B2})$$

Since Eq. (B2) is a sum of single-particle Hamiltonians, the free energy per unit cell at inverse temperature $\beta = (k_B T)^{-1}$ may be calculated as a sum over the sites,

$$F_{\text{MF}} = \frac{1}{2} \sum_{r, s} \langle \mathbf{S}_r \rangle \mathcal{J}_{ij}^{rs} \langle \mathbf{S}_s \rangle - \frac{1}{\beta N_c} \times \sum_{i, r} \ln \text{tr} e^{-\beta (\mathbf{S}_{ir} \frac{1}{2} \sum_s \mathcal{J}_{ij}^{rs} \langle \mathbf{S}_s \rangle + \mathcal{K}_i^r(\mathbf{S}_{ir}) + \mu_r \mathbf{B} \mathbf{S}_{ir})}, \quad (\text{B3})$$

where N_c denotes the number of unit cells and tr denotes an integral over the unit sphere representing the possible spin directions \mathbf{S}_{ir} in the classical case and the trace in a single-particle basis in the quantum case. We introduced the notation $\mathcal{J}^{rs} = \sum_j \mathcal{J}_{ij}^{rs}$, which only depends on the sublattice indices due to translational invariance.

The parameters $\langle \mathbf{S}_r \rangle$ are unknown at this point, and they must be determined in such a way that they minimize the mean-field free energy in Eq. (B3). Taking the derivative of F_{MF} with respect to $\langle \mathbf{S}_r \rangle$ and setting it to zero leads to the system of mean-field equations:

$$\langle \mathbf{S}_r \rangle = \frac{\text{tr} \mathbf{S}_{ir} e^{-\beta (\mathbf{S}_{ir} \frac{1}{2} \sum_s \mathcal{J}^{rs} \langle \mathbf{S}_s \rangle + \mathcal{K}_i^r(\mathbf{S}_{ir}) + \mu_r \mathbf{B} \mathbf{S}_{ir})}}{\text{tr} e^{-\beta (\mathbf{S}_{ir} \frac{1}{2} \sum_s \mathcal{J}^{rs} \langle \mathbf{S}_s \rangle + \mathcal{K}_i^r(\mathbf{S}_{ir}) + \mu_r \mathbf{B} \mathbf{S}_{ir})}}. \quad (\text{B4})$$

Note that the right-hand side of Eq. (B4) indeed defines the expectation value of S_{ir} in the single-particle Hamiltonian H_{MF} if the $\langle S_r \rangle$ values are fixed. However, the meaning of Eq. (B4) is that the $\langle S_r \rangle$ parameters have to be determined from it self-consistently to determine the optimal average spin configuration in the mean-field approximation. Since Eq. (B4) typically has multiple solutions, the real minimum has to be found by substituting these solutions back into Eq. (B3); this is a sufficient condition for finding the minimum since the space of the $\langle S_r \rangle$ parameters is compact.

Hematite consists of four sublattices, but on the level of the sublattice exchange matrices \mathcal{J}^{rs} , the A and D sublattices as well as B and C are equivalent. Since the mean-field equations possess this symmetry, it can be assumed that the solutions satisfy $\langle S_A \rangle = \langle S_D \rangle = \langle S_1 \rangle$ and $\langle S_B \rangle = \langle S_C \rangle = \langle S_2 \rangle$. Therefore, it is sufficient to treat the two effective sublattices 1 and 2 with the interaction tensors:

$$\begin{aligned} \mathcal{J}^{11} &= \frac{1}{2}(\mathcal{J}^{AA} + \mathcal{J}^{AD} + \mathcal{J}^{DA} + \mathcal{J}^{DD}) \\ &= \begin{bmatrix} J & 0 & 0 \\ 0 & J & 0 \\ 0 & 0 & J + \Delta J \end{bmatrix}, \end{aligned} \quad (\text{B5})$$

$$\begin{aligned} \mathcal{J}^{12} &= \frac{1}{2}(\mathcal{J}^{AB} + \mathcal{J}^{AC} + \mathcal{J}^{DB} + \mathcal{J}^{DC}) \\ &= \begin{bmatrix} J' & D & 0 \\ -D & J' & 0 \\ 0 & 0 & J' + \Delta J' \end{bmatrix}, \end{aligned} \quad (\text{B6})$$

$$\mathcal{J}^{21} = \frac{1}{2}(\mathcal{J}^{BA} + \mathcal{J}^{BD} + \mathcal{J}^{CA} + \mathcal{J}^{CD}) = (\mathcal{J}^{12})^T, \quad (\text{B7})$$

$$\mathcal{J}^{22} = \frac{1}{2}(\mathcal{J}^{BB} + \mathcal{J}^{BC} + \mathcal{J}^{CB} + \mathcal{J}^{CC}) = \mathcal{J}^{11}. \quad (\text{B8})$$

The form of the sublattice interaction tensors described by the parameters J , ΔJ , J' , $\Delta J'$, and D is dictated by the system's symmetry. The anisotropy functions are $\mathcal{K}_i^r(S_{ir}) = d_2 S_{i,z}^2 + d_4 S_{i,z}^4$ for $r = 1, 2$. In the quantum case, we chose the spin quantum number $S = 2$, which would result in a magnetic moment of $4\mu_B$ giving the closest agreement with the value determined from the SKKR method in Table I. This is also the lowest quantum number for which the fourth-order anisotropy can be interpreted; for lower S values, $S_{i,z}^4$ may be expressed by $S_{i,z}^2$ and constant terms. The expectation values were calculated using a Lebedev–Laikov integration grid [43] of order 41 on the unit sphere in the classical case and in the standard basis of the eigenstates of S_z for $S = 2$ in the quantum case.

For the magnetic field oriented along the c axis, we considered three different types of solutions of Eq. (B4). The first one is $\langle S_1 \rangle = m_1 e_z$ and $\langle S_2 \rangle = -m_2 e_z$ describes the antiferromagnetic state, with $m_1 > m_2 > 0$. The second one is $\langle S_1 \rangle = (m_x, m_y, m_z)$ and $\langle S_2 \rangle = (m_x, -m_y, m_z)$, corresponding to the spin-flop or WF phase. The third configuration is the paramagnetic one, with $\langle S_1 \rangle = \langle S_2 \rangle = m e_z$. Phase transitions were detected at the temperature and field values where the minimum of the free energy in Eq. (B3) switches from the antiferromagnetic first to the spin-flop, then to the paramagnetic configuration.

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