

# Interpretation of Dimensionally-Reduced Crime Data: A Study with Untrained Domain Experts

Dominik Jäckle<sup>1</sup>, Florian Stoffel<sup>1</sup>, Sebastian Mittelstädt<sup>2</sup>, Daniel A. Keim<sup>1</sup>, and Harald Reiterer<sup>1</sup>

<sup>1</sup>University of Konstanz, Germany

<sup>2</sup>Siemens AG, Germany

{[dominik.jaeckle](mailto:dominik.jaeckle@uni-konstanz.de), [florian.stoffel](mailto:florian.stoffel@uni-konstanz.de), [keim](mailto:keim@uni-konstanz.de), [harald.reiterer](mailto:harald.reiterer@uni-konstanz.de)}@uni-konstanz.de, [sebastian.mittelstaedt@siemens.com](mailto:sebastian.mittelstaedt@siemens.com)

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**Abstract:** Dimensionality reduction (DR) techniques aim to reduce the amount of considered dimensions, yet preserving as much information as possible. According to many visualization researchers, DR results lack interpretability, in particular for domain experts not familiar with machine learning or advanced statistics. Thus, interactive visual methods have been extensively researched for their ability to improve transparency and ease the interpretation of results. However, these methods have primarily been evaluated using case studies and interviews with experts trained in DR. In this paper, we describe a phenomenological analysis investigating if researchers with no or only limited training in machine learning or advanced statistics can interpret the depiction of a data projection and what their incentives are during interaction. We, therefore, developed an interactive system for DR, which unifies mixed data types as they appear in real-world data. Based on this system, we provided data analysts of a Law Enforcement Agency (LEA) with dimensionally-reduced crime data and let them explore and analyze domain-relevant tasks without providing further conceptual information. Results of our study reveal that these untrained experts encounter few difficulties in interpreting the results and drawing conclusions given a domain relevant use case and their experience. We further discuss the results based on collected informal feedback and observations.

## 1 INTRODUCTION

Dimensionality reduction (DR) techniques transform data in high-dimensional space to a lower-dimensional space, preserving as much information as possible to convey the main characteristics of the data. DR techniques are in practice typically applied to transform the data to two-dimensional space depicted as a scatterplot. This abstract representation of complex data enables exploration of the structure, but brings in challenges about interpretability of the visualization and how the different dimensions are reflected in the lower-dimensional representation.

Consider for example data analysts of Law Enforcement Agencies (LEAs). They are eager to identify patterns among various data sources they have access to in order to leverage resources, identify suspects, relieve wrongly accused individuals, and more. In research projects, we have worked closely together with strategic, tactical, and case analysts of different LEAs and gained extensive insight in their everyday work, typical tasks, and the challenges imposed by the huge amounts of data to be analyzed. So far, man-

ual data analysis dominates their everyday work, for example, by creating tabular views of data, which enables them to compare different cases or data sources in the light of a specific information need. This is also held true for applications such as the comparative case analysis, where similarities and correlations among crimes are subject of work in a one-to-many comparison (Agency, 2008). This is a challenging task, especially when multiple attributes have to be considered simultaneously. For instance, a correlation between a crime *category* and *districts* in a subset of the data can be detected, however, a correlation among crime *category*, *district*, *time*, *description*, and *day of week* is demanding without any automated data analysis and visual support, even in small subsets of the data. With the help of DR, we can enable analysts to visually identify patterns and support them in interpreting the results. One arising problem is that domain experts may not be familiar with such abstract representation, in particular if not trained in advanced statistics.

To this end, several interactive systems have been presented in support of domain experts. They typically build on top of a two-dimensional depiction of

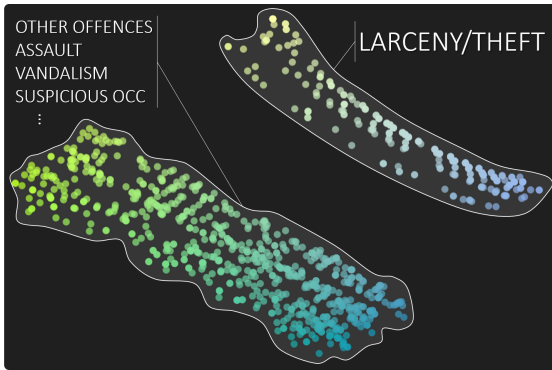


Figure 1: Planar projection of 1000 crime reports collected over one week in the San Francisco Bay Area. This projection reflects the routine activity (Lawrence E. Cohen, 1979) and considers the attributes *place*, *time*, and *occasion* (category). The projection reveals two clusters. One cluster contains only crimes labeled as *Larceny/Theft*; these are visually separated from all other categories. One problem arising is, that even if considering only three variables, we have yet problems interpreting the effect of *place* and *time*, because they do not cause any separation. This is the starting point for further exploration.

results and enhance the interpretation via different additional interactive visualizations (Ward and Martin, 1995). While the focus lies in improving the interpretability of DR results for domain specific tasks, only little evidence is given that domain experts are indeed able to interpret the depiction of the data projection. State-of-the-art systems were evaluated in two different ways. Either by means of use cases and application examples, or by a user study. The user studies, however, were carried out with domain experts specifically trained in DR (Sedlmair et al., 2013) or with users unrelated to the field (Stahnke et al., 2016). We argue that domain experts related to the data and tasks are differently motivated in pursuit of their goals compared to participants unrelated to the presented data and tasks. This effect is further amplified, because untrained experts need first to learn how to read the depiction of DR results before they can interpret them. In conclusion and to the best of our knowledge, DR results have not been studied for domain-specific tasks including domain experts.

In this paper, we conducted a qualitative user study with personnel of a LEA not trained in advanced statistics. Our study is driven by the question: can untrained domain experts use their domain knowledge to interpret and steer the visual depiction of a data projection? The study builds on top of the well-known *routine activity* (Lawrence E. Cohen, 1979), that models crimes by using dominating attributes for state-of-the-art intelligence data analysis: *place*, *time*, and *occasion*, whereby the *occa-*

*sion* refers to the crime opportunity expressed by the description or category of a crime. In this study, we also made use of additional attributes to further challenge the interpretation of the relevant depiction. Based on the publicly available crime data collected in the San Francisco Bay Area <sup>1</sup>, we created four coordinated tasks that include different aspects of the routine activity like, for example, the correlation between time and locations. Figure 1 illustrates the projection of the routine activity for the San Francisco Bay dataset. Each task consists of one question intending to lead the domain expert to new insight in the data. Crime data comprises various data types, which is why we could not rely on conventional interactive dimensionality reduction tools. In preparation for our study, we developed a prototype which implements the Gower Metric (Gower, 1971) and carries the key data types throughout the entire analysis process. The Gower Metric computes the distance between two multivariate data entries by unifying the pairwise distances that may be tied to different similarity functions due to mixed data types. Our prototype allows to steer projection parameters and further provides a minimum set of interactions meeting the visualization tasks *identification*, *comparison*, and *summarization* (Brehmer and Munzner, 2013) of projected data objects.

In summary, the paper makes two contributions. First, we present a visual analytics system for the Gower Metric, which allows to steer and explore multivariate data projections. Second, we conducted a qualitative study using the phenomenological methodology, this is a study of subjective experiences of the domain experts. We report on results perceived through the eyes of the domain experts and, furthermore, provide a critical discussion of our observations including given informal feedback.

## 2 RELATED WORK

Following, we discuss this paper in relation to researched approaches for interactive visual analysis of multivariate projections. Furthermore, we delineate our visualization prototype from state-of-the-art solutions for analysis of mixed datasets.

### 2.1 Visual Analysis of Mixed Datasets

Real-world datasets, such as crime data, typically comprise various data types. So far, different approaches have been proposed considering mixed

<sup>1</sup>SF OpenData: <https://data.sfgov.org/>

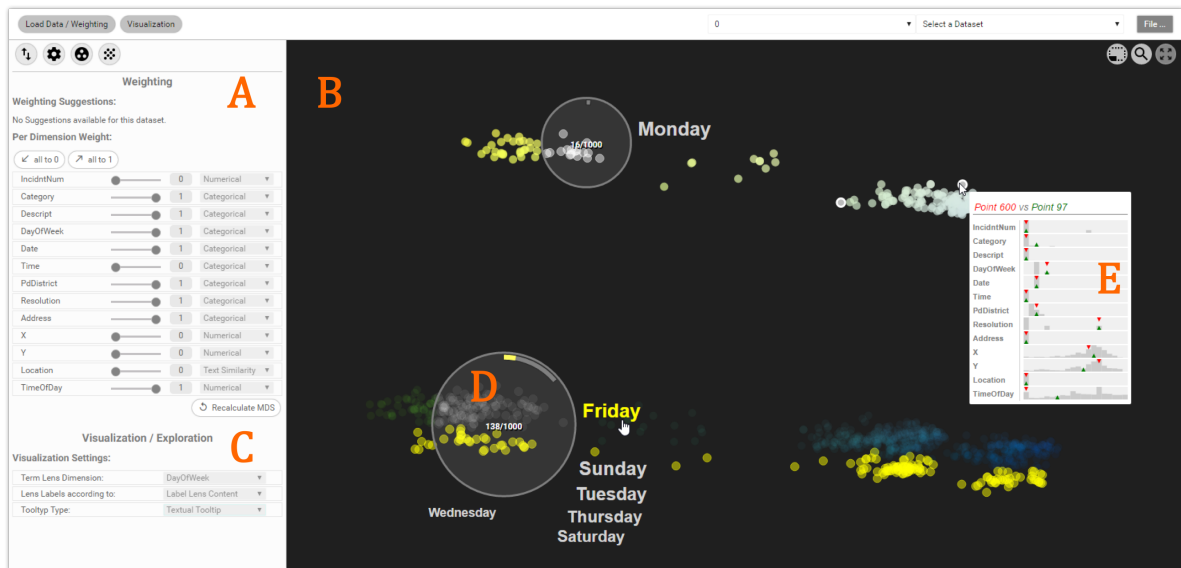


Figure 2: Overview of the visualization prototype. The image shows the (B) visual result of a planar projection of 1000 crime reports filed in San Francisco for (A) eight different dimensions. This combination of dimensions represents the starting point of our study with which we confronted each data analyst. In order to answer posed questions about the data, analysts used a minimal set of interaction techniques. Analysts could (A) steer the considered dimensions, (D) investigate the data using a selection lens, or (E) clicking and hovering points to get detailed information of single crime reports. Also, analysts could (C) change the dimension considered by the lens and switch between a textual and histogram representation.

datasets, this are datasets that comprise numerical and categorical data. Visual analysis of mixed datasets aims at unifying different data types and reflect their respective nature (Bernard et al., 2014). Existing approaches consider primarily categorical data or the combination with numerical data. For example, Parallel Sets (Kosara et al., 2006) shows the frequencies of categories instead of individual data records with a restriction to the amount of categories that can be visualized at the same time.

For the combination of numerical and categorical data, Rosario et al. (Rosario et al., 2004) and Johansson and Johansson (Johansson and Johansson, 2009b) proposed to quantify categorical data; results can then be visualized using, for example, Scatterplots or Parallel Coordinates (Inselberg, 1985). In contrast, Bernard et al. (Bernard et al., 2014) identify relevant subgroups in mixed datasets by abstracting the data into bins. Another method, named the Contingency Wheel (Alsallakh et al., 2012), allows to interactively analyze associations of contingency tables using a radial representation; associations are shown by connections between corresponding categorical segments.

One major issue in view of crime data is, that these methods only consider numerical and categorical data. Crime data, however, consists of numerical, textual, and categorical data. Making such data comparable is challenging, in particular for combina-

tions of values. Towards incorporating multiple data types, DR techniques are worth a look. The curse of dimensionality (Hinneburg et al., 2000) impedes the ability to efficiently identify patterns in large multivariate data. Hence, DR techniques strive for exposing the most relevant dimensions and present a linear or non-linear combination of the input dimensions (Manly, 2004). Similar to Principal Component Analysis (Jolliffe, 1986) (PCA), Correspondence Analysis (Benzécri, 1973) (CA) applies to categorical data and projects the values to two dimensional space using  $\chi^2$  statistic. Going one step further, Multidimensional Scaling (Cox and Cox, 2000) (MDS) attempts to preserve the distance between any two data entries as good as possible. MDS, therefore, requires a distance or dissimilarity matrix as input allowing to preserve the relations between any values, whose dissimilarities can be expressed numerically. Building on this, we use the Gower Metric (Gower, 1971) to unify similarity measures for different data types. Then, users can steer and explore the result.

## 2.2 Visual Analysis of Projections

Relations between dimensions in multivariate data projections are complex and require the human in the loop to make sense of (Sacha et al., 2016; Yi et al., 2005). State-of-the-art techniques assume that for users not trained in advanced statistics it is particu-

larly difficult. Ward and Martin (Ward and Martin, 1995) and Buja (Andreas Buja, 1996) presented interactive systems for multivariate data inspired by several issues and combinations of interactions. Recent work can be categorized into two evaluation types: (1) user studies and (2) case studies, application examples, or other. Various systems have been presented in the past claiming to improve the understanding for users or domain experts through interactive manipulation. While there is no doubt that these approaches improve the understanding of multivariate data, only few approaches have actually conducted a user study to show the impact. They typically showcase their approach using application examples (Seo and Shneiderman, 2005; Nam and Mueller, 2013; Krause et al., 2016), use cases/case studies (Johansson and Johansson, 2009a; Ingram et al., 2010; Turkay et al., 2011; Fernstad et al., 2013; Turkay et al., 2012; Yuan et al., 2013; Liu et al., 2014), or other (Jeong et al., 2009). In contrast, only few approaches conducted a user study. For example, researchers propose systems to help better understand DR results, in particular the distance function (Yi et al., 2005; Brown et al., 2012). Sedlmair et al. (Sedlmair et al., 2013) went one step further and provided guidance for DR representation techniques, however, the study was carried out with two users not related to the data, but trained in machine learning and advanced statistics. Another evaluation was presented by Stahnke et al. (Stahnke et al., 2016). The authors evaluated the interaction with DR results but did not involve domain experts having a certain incentive and mind set about the data.

Krause et al. (Krause et al., 2016) find very clear words for a situation that, to the best of our knowledge, has not been proven yet: they assume, that for domain experts not trained in advanced statistics and machine learning, it is very difficult to interpret DR results. This is a strong statement we pick up and investigate in this paper. We reached out to a small group of data analysts of a LEA who analyze raw data tables for correlations and outliers on a daily basis.

### 3 VISUALIZATION PROTOTYPE

Crime data comprises different data types making it challenging to interpret DR results; these are numerical, textual, and categorical data types. For this reason, we created a visualization prototype that fuses different data types and provides a minimum set of interactions to support the interpretation. One particular feature of our prototype is the close link between data type and interaction concepts. Each considered dimension and associated similarity function

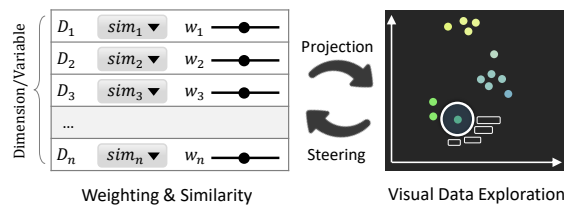


Figure 3: A multivariate dataset comprises  $n$  different dimensions. In the first step, the system automatically assigns a similarity function based on the data type and a weight to each dimension. The weight is set to the value 1 in the possible range  $[0; 1]$ . This means, every dimension is fully considered. The data is then projected to 2D space allowing the domain expert to explore the data, who can adapt the weights and similarities according to the findings.

can be interactively changed at runtime with direct effect on the depiction of the projection. Figure 3 outlines the structure of this section. First, we describe the integration of weight and similarity in regard to the dimension and data type, and then we provide an overview of applied interaction concepts.

#### 3.1 Weighting & Similarity

DR techniques preserve the relevant structure of the data, which is typically represented in lower dimensional space using the concept of proximity or similarity between data objects. The application of DR techniques considers the similarity between objects based on all dimensions unless told otherwise, not necessarily reflecting the incentive of the domain expert. Therefore, we include the known concept of dimension-wise weighting. This way, the domain expert can define the impact of each single attribute allowing to concentrate on relations and thus patterns that only occur in certain combinations of dimensions, namely the subspaces. Furthermore, multivariate crime data comprises different data types between which similarities are expressed differently. State-of-the-art DR techniques are typically based on similarities and distances between solely numerical or categorical values. However, crime data comprises different data types beyond merely numbers or categories. Gower’s idea to address this issue is to use similarity functions in the range  $[0; 1]$  for each dimension  $D_i$  and then to aggregate the results. We compute the pairwise distance between two multivariate data entries  $A$  and  $B$  based on the Gower Metric (Gower, 1971):

$$dist(A, B) = \frac{\sum_{i=1}^{|dim|} sim_i(A_i, B_i) \cdot w_i}{|dim|} \quad (1)$$

The distance between  $A$  and  $B$  is computed by iterating all dimensions (from  $i = 1$  up to the amount of

dimensions  $|dim|$ ) and calculating the respective distance between two dimensions  $A_i$  and  $B_i$ . Using the user-defined similarity function, the  $i$ -th similarity between the  $i$ -th dimensions is computed.  $sim_i$  refers to the similarity function assigned to the  $i$ -th dimension. Finally, we multiply the result with the user-assigned weight  $w_i$  and build the average by dividing the overall result by the amount of dimensions  $|dim|$ .

The Gower Metric is applied together with MDS (Cox and Cox, 2000), a linear DR technique – also known as multivariate projection – that enables exploration of the global data structure. We include the Gower Metric in our prototype and enable to change the weight and similarity of each dimension at all times with direct impact to the result. Crime data consists of numerical, textual, and categorical data. **Numerical** values include any numerical data type: integers, floats, timestamps, etc. We compute the similarity between numerical values  $V_1$  and  $V_2$  using the Euclidean distance:

$$sim(V_1, V_2) = |V_1 - V_2| \quad (2)$$

Note that the range of computed similarity values between numerical values may vary. Therefore, numerical values need to be normalized using rescaling before computing the similarity.

**Textual** dimensions comprise continuous text abstracted from sets of documents. The similarity between two documents is typically computed using the cosine similarity in vector space (Singhal, 2001). To do so, the documents are transformed into vector space according to a bag-of-words model and the resulting vectors  $v_1$  and  $v_2$  are then compared using the cosine similarity:

$$sim(v_1, v_2) = \frac{v_1 \cdot v_2}{\|v_1\| \cdot \|v_2\|} \quad (3)$$

**Categorical** dimensions are typically characterized by unordered textual values that express a category. We apply Iverson Brackets (Graham et al., 1994) to compute the similarity between two categorical values  $V_1$  and  $V_2$ :

$$sim(V_1, V_2) = [V_1 \neq V_2] \quad (4)$$

If to categories are the same, the similarity is 0 and 1 otherwise. In this paper, the similarity can be seen as a synonym for distance since our aim is to compute a distance matrix as input for the MDS.

In the following section, we describe that users can switch between a text label and histogram representation. In preparation for this concept, we need to quantify the data. Numerical values are binned to value ranges and categorical values are binned according to the categories. For textual values, we use

the result of the bag-of-words-model and assign the frequency; we show a frequency distribution among extracted terms. In order to bin textual values, we compute the cosine distance between term vectors to the empty string and bin the results.

## 3.2 Visual Data Exploration

So far, the user can control the dimension-wise weighting and similarity function. To let the user interpret and make sense of the presented depiction of DR results, we provide a set of interaction techniques that consider the given dimension-wise information.

We propose to combine visualization and interaction with dimension-wise information allowing users to perform the low-level tasks in an explorative setup: identify, compare, and summarize projected data objects (Brehmer and Munzner, 2013). To ease the entry point to exploration, we allow panning and zooming and double encode the implicit relations in the data using color (Dörk et al., 2012). Double encoding significantly helps to distinguish between patterns or point clusters, even if they seem to overlap; when overlapping, a color gradient reflects the separation. We apply the perceptual linear color mapping for supporting analysis of patterns in high dimensional data spaces by Mittelstädt et al. (Mittelstädt et al., 2014). A salient result of this method is depicted in Figure 1.

To interactively tackle the progressive tasks from identification to comparison to summarization, we following describe three interaction concepts adapted to the exploration of multivariate data. For the identification and comparison of objects, we provide an adaptive tooltip as well as an interactive lens. For comparing and summarizing data objects, we provide a fingerprint matrix that encodes distributions on a per-dimension basis.

### 3.2.1 Tooltip and Content Lens

We distinguish between two types of visual representations for the abstraction of different data types: histograms for quantitative data and weighted text labels otherwise. This decision results from the data itself. In multivariate crime data, we encounter text, different types of numbers, and categories. In general, we can use text labels to show any of this information, however, histograms are more effective for quantitative information or distributions within a dimension. The user can interactively change the representation from text labels to histograms and vice versa.

In order to identify and compare single data objects in relation to others, Stahnke et al. (Stahnke et al., 2016) proposed to use a tooltip. The integration of dimension-wise histograms into the tooltip plus a

visual cue indicating the position of the hovered data object allows to bring the object of interest into relation of the overall data distribution. If the user clicks on one object and hovers another one, an additional cue is inserted into the tooltip allowing to bring both data objects into relation (see Figure 2(E)). In planar projections, for example, one is interested in the disjuncture of patterns. Telling in which dimensions and how two points differ improves the understanding.

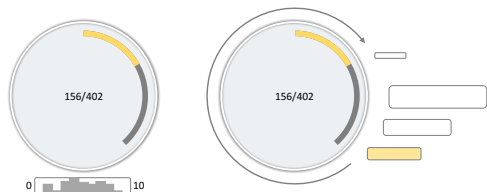


Figure 4: The interactive lens consists of three additional parts: a textual indication of selected points, a radial histogram, and the visualization of the values included in the selection. Left: visualization of the quantified content by a histogram. Right: visualization of the content by labels.

We provide an interactive lens for the selection and exploration of multiple data objects. A comprehensive survey about lenses has been carried out by Tominski et al. (Tominski et al., 2014). Figure 4 depicts two lens approaches which can be interactively swapped during exploration. The lens consists of three additional parts: First, a textual hint of how many points are selected located in the center of the lens. Second, a visual representation reflecting the content of the lens; either as text label or histogram. Third, a radial bar indicating the amount of objects selected in relation to the entire amount of objects. If the user selects a value, all object occurrences are highlighted throughout the 2D data space. The left side of Figure 4 depicts the representation of quantified values using a histogram visualization. The right side depicts the representation as text labels. The main issue with labels is that they try to optimize the amount of labels as well as the proximity to the object within the lens. However, a label can refer to several selected objects. Our aim is to stabilize the layout and maintain the order of information based on given frequencies. Therefore, we use a radial labeling algorithm which starts on the right hand side of the lens with the highest frequency and then adds labels counterclockwise in descending order until the starting point is reached. To prevent overlap, we check the position of the last label and move along the border of the lens to position the new label. Note that the user can exchange the considered dimension.

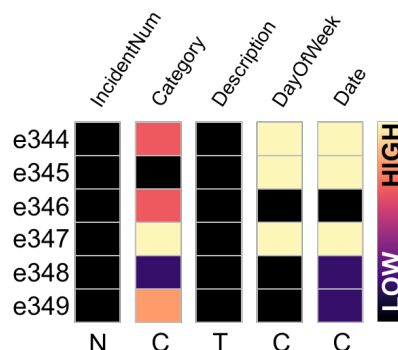


Figure 5: Excerpt from the fingerprint matrix for five dimensions and six entries. The value of each dimension is binned based on the quantification. The size of the bin is then mapped to the color. This example shows that all *IncidentNum* are unique, because the color of all rows refers to the lowest possible value. In contrast, the dimension *DayOfWeek* shows that the data entries happen on at least four different days: three rows are mapped to black (unique) and three have a very high binning value, meaning that these entries possibly share the same day.

### 3.2.2 Fingerprint Matrix

The lens also serves to select object groups for further analysis. Sets of objects can be compared dimension-wise using a so called fingerprint matrix. Figure 5 shows an excerpt. On the top, each column is labeled according to its dimension name. On the bottom, the data type is shown based on the quantification: number (N), category (C), or text (T) with respect to the crime datasets. Each dimension is colored according to the value scale from low (black) to high (yellow) data values. The matrix is linked to the projected data view using brushing and linking. Users can drill down to full detail by clicking on a row. A new window opens showing the raw multivariate data presented as a table. To be able to compare different patterns, the user can store and merge multiple selections.

## 4 INTERPRETATION STUDY

This study investigates if domain experts, who work with raw multivariate data tables on a daily basis, are able to interpret the abstract 2D representation of DR results given their inexperience in advanced statistics. Ellis and Dix carved out problems that come along with evaluating visualizations such as complexity, diversity, and measurement which can be reduced to two major issues: the generative nature of visualizations and the lack of clarity of the purpose (Ellis and Dix, 2006). Results of DR techniques, in particular,

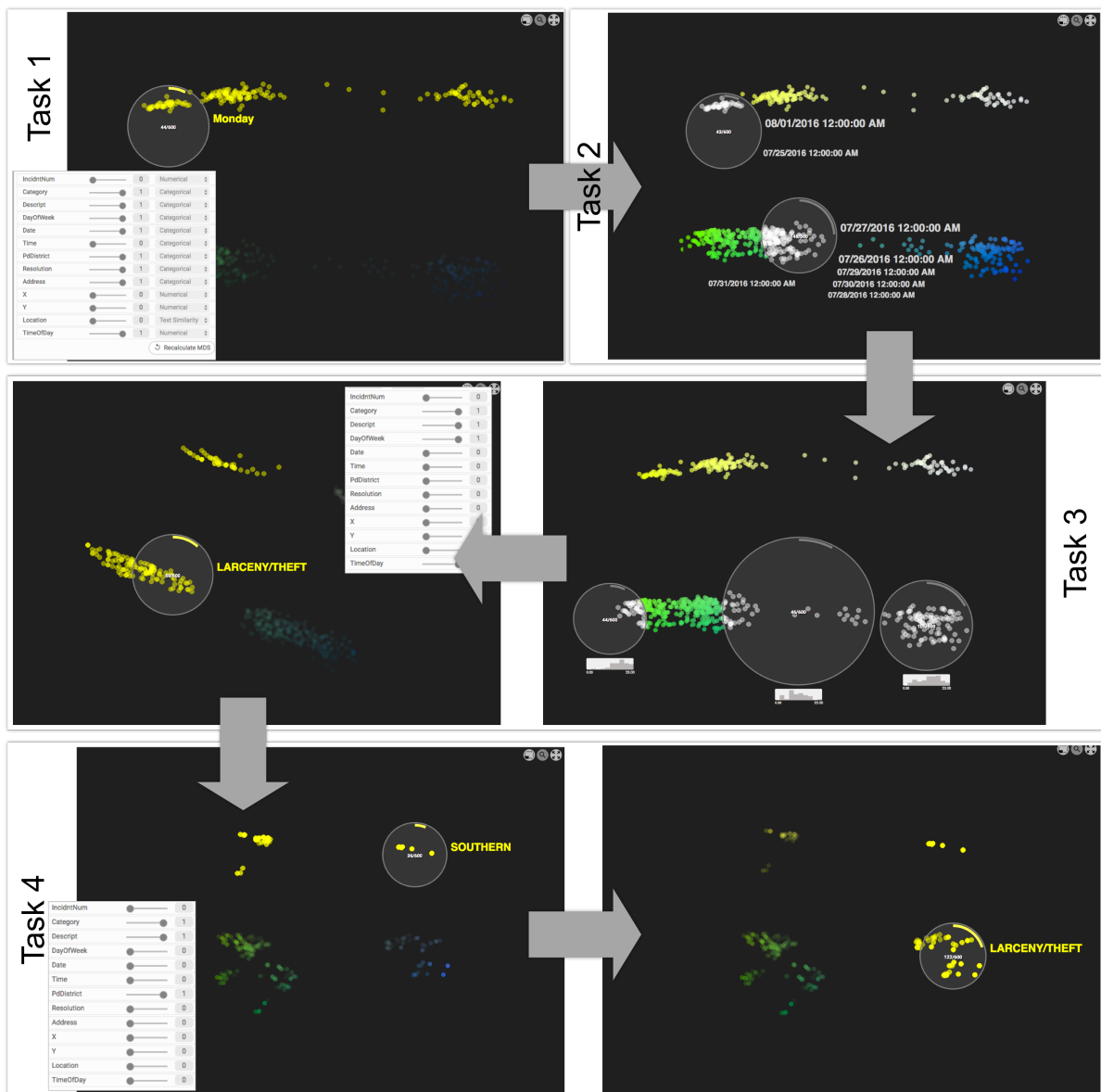


Figure 6: Subsequent workflow of interpretation tasks. Each task corresponds to one question posed to the analyst order. The DR results of the Tasks 1 to 4 can be interpreted as follows: In Task 1, the DR result splits the data into four clusters. Using the lens, one knows that the top left entries occurred on a Monday. This is because of the selection option: When hovering data objects with the lens, one can click on a label and all occurrences are highlighted. In this case, the upper two clusters are highlighted when clicking on Monday. In Task 2, we can assume that the two dates on the top left lens correspond to two Mondays since these dates appear where the Monday cluster was found. As a result, the bottom clusters correspond to all remaining days of the week. In Task 3, the upper two clusters still correspond to the two Mondays. Changing the lens labels to *Category* reveals a huge cluster of *Larceny/Theft*. Building the intersection between the Monday and *Larceny/Theft* clusters means that the upper left cluster contains *Larceny/Theft* that occurred on a Monday. Changing the dimension-wise weighting in Task 4 reveals a similar phenomenon: Out of 10 police districts, the upper two clusters correspond to the *Southern* district. The two clusters on the right are categorized as *Larceny/Theft*. In conclusion, the upper right cluster contains *Larceny/Theft* that only occurred in the *Southern* part of San Francisco.

aggregate the information to such extent that it is challenging to interpret what the similarities or distances are made of; which dimension contributes in which way to the final layout or structure presented to the

user. We argue that domain experts approach a complex visualization differently, which is why we conducted a guided explorative study – a phenomenological analysis, to be precise.

## 4.1 Participants

We reached out to the research department of a Law Enforcement Agency and recruited 3 data analysts (1 female) not trained in DR techniques or advanced statistics. One participant was trained in basic statistics but not in DR techniques. All participants had normal or corrected to normal vision. All participants work with multivariate data tables on a daily basis, however, are not used to working with abstract data representations such as planar projections.

## 4.2 Apparatus

The studies were conducted using a 15" notebook monitor, one QWERTY keyboard, and one cord mouse. The display has a resolution of 1920x1080 pixels. The prototype was presented in full screen to the LEA researchers. For later analysis, we captured the screen as well as the voice of the participant.

## 4.3 Data

The main issue about real-world crime data is that it is highly sensitive. However, for our study we confronted the analysts with data that reflects real data as realistic as possible. We found that among others, the cities San Francisco, Chicago, and New York host an open data clearinghouse. We asked the LEA data analysts to align their data structure with the structure of the available open data with the result that a thorough description of the occurred crime is missing. However, the data analysts asserted that the open data reflects the main contents by means of dimensions and thus suits our study. There was no need to preprocess the data. In order to prepare the study and define the tasks, we chose the San Francisco Bay Area<sup>2</sup> as a data source. The data consists of 13 dimensions, among them 6 categorical dimensions (*Category, Day of Week, Date, PdDistrict, Resolution, Address*), 5 numerical dimensions (*IncidentNo, Time, X, Y, Time of Day*), and 2 textual dimension (*Description, Location*). Thereby, the *Category* consists of 36 different crime categories, the *Resolution* indicates if and how a crime was solved, and *X* and *Y* correspond to longitude and latitude. We – the authors of this paper – are familiar with the city due to several visits and know about specific characteristics of districts as well as no-go areas. Because LEA data analysts typically analyze the data in weekly intervals and due to a seven day week this is also the shortest possible period to identify patterns, we chose the data for the week from Monday, July 25, 2016 to Monday, August

<sup>2</sup>SF OpenData: <https://data.sfgov.org/>

1, 2016. Note that this week includes two Mondays, a design decision to force a moment of Ah-hah!. We will elaborate this decision in the next Section.

## 4.4 Tasks

The overall aim is to investigate whether untrained data analysts can interpret the 2D depiction of DR results given a minimum set of interactions. We created four consecutive tasks that force the analyst to gain a deeper understanding of the data by means of how data objects are grouped and how they differ from others. Figure 6 outlines all four tasks and their ordering. Following, we describe each task, its structure, and what the model solution looks like.

### Task 1: Is there a pattern among dimensions between days?

The first task introduces the analyst to the data. Figure 6 and Figure 2 show the starting point. The starting point consists of a pre-calculated result for the dimensions *Category, Description, Day of Week, Date, PdDistrict, Resolution, Address, and Time of Day*. The analyst can change this setup at all times, we would not interrupt the process. The sheer amount of dimensions that build up the four big clusters force the analyst to focus on one single dimension and to see whether this dimension impacts the pattern. In the model solution we can see that two out of four clusters contain crimes that solely occurred on a Monday. The lens is placed on the top left cluster, a click on the only label Monday highlights all occurrences: the upper two clusters. This task can be solved by either using the tooltip, the content lens, or the fingerprint matrix. For the sake of clarity, the images in Figure 6 primarily make use of the content lens. Once the analyst has identified this pattern, we proceed to Task 2.

### Task 2: Why is the day Monday separated from all other days of the week? What is special about the Date distribution?

In the second task, we ask for the reason of this pattern – two out of four clusters occurred on a Monday. Switching one's focus to the dimension *Date* reveals that Monday, in contrast to all other days of the week, is assigned to two different days. Since the two dates appear at the same position, where the day Monday was determined, one can assume that there are two Mondays distributed among the two clusters at the top. One can conclude that all other days of the week are distributed among the two bottom clusters. Also the Monday clusters cover approximately one third of the overall data. This is the first Ah-hah! moment



of the study, where the analyst is supposed to obtain new insight.

**Task 3: Which distribution of dimension values can you find for the rest of the week?**

The histogram attached to the lens reveals that there is a trend of crimes towards night time. The bottom left and bottom right lens contain increased crimes at nighttime while the crimes in between tend to happen on daytime. Because of this temporal trend, the analyst adapts the multivariate projection and narrows the dimensions down to *Category*, *Description*, *Day of Week*, and *Time of Day*. The result are again four clusters, two of them separated because of the double entry Monday. The two upper clusters again correspond to Monday, which can be observed via animation when one changes the weightings. To explain this phenomenon, the analyst analyzes the dimension *Category* that reveals a second pattern. Two out of four clusters deal a lot with *Larceny/Theft*, which can be identified by clicking on the lens label. Changing to the dimension *Description* shows that the category *Larceny/Theft* consists mainly of *grand auto theft*, *petty*, and *lock*.

**Task 4: Leaving the temporal aspect behind, is there a pattern based on places or crime types?**

For this task the analyst has to change the projection and neglect the temporal aspect. The selection of the dimensions *Category*, *Description*, and *PdDistrict*, however, shows again four huge clusters. Investigating these clusters by *Category* and *PdDistrict* reveals that there is one cluster that builds the intersection between the *Southern* part of San Francisco and the category *Larceny/Theft*. To locals this may be of no surprise, but most likely for the data analyst.

## 4.5 Procedure

The study was carried out in a quiet room at the premises of a LEA. Each data analyst was placed in front of the notebook and received an introduction to the data dimensions and the interaction techniques. Each interaction technique was shown separately with a different dataset in order to not influence the actual study. The data analyst and the interviewer (experimenter) were the only persons present in the room.

Each data analyst was confronted with the same task order, however, we always started with the first task and then introduced the subsequent task as an analysis question we posed to the analyst. We provided spoken clues if the analyst was not able to accomplish the given task. We further asked each data analyst to think aloud (Boren and Ramey, 2000) and

give insight not only in which interaction he or she is physically executing next, but also what the incentive and approach was. This way, we get an idea whether the analyst understands the results and is able to draw conclusions. All interactions were recorded using screen capturing and the voice was recorded using the built-in notebook microphone.

After the study, we showed the analyst a labeled screenshot of the system and let him/her fill out a questionnaire regarding the basic understanding, the interaction concepts, and the extraction of knowledge. Furthermore, analysts filled out a form providing additional positive and negative feedback about the analysis of DR results.

## 5 FINDINGS

We started this study with one question we posed to the data analysts. During the analysis we encountered four interesting situations which we will elaborate in this section as findings (*F*). Note that we introduced the interaction techniques but did not provide any further conceptual explanation of the meaning of the 2D multivariate projection.

*F1: The analysis starts with an already known hypothesis.*

To start the study, we posed one specific question (Task 1) to the analysts, yet we could not influence the mindset and thus the approach taken. Each analyst first tried to verify his or her hypothesis of the unknown data before tackling the task asked. All three analysts started by changing the depiction to the setup of the routine activity. This means, they first tried to approve a temporal and occasional pattern.

*F2: Analysts always consider to add/remove dimensions to the depiction to explain a cluster separation.*

The tasks consisted of two examples of cluster separations among one dimension: two clusters for *Monday* and two for the category *Larceny/Theft*. For both cases, all three analysts added or removed dimensions to track visual changes in the multivariate depiction. One participant even used dimension-wise weights in 0.2 steps to track minor changes. This finding is particular interesting, because we did not provide any conceptual explanation of cluster separation factors to the analysts.

*F3: Analyst do not add/remove dimensions to explain an anomaly they are insecure about.*

We observed that analysts subsequently add or remove dimensions to explain a cluster separation,

however, they do not follow this routine if they cannot explain something unexpected in the data. For example, before they started to add other dimensions, they first sought for an explanation using the content lens, the tooltip, or the fingerprint matrix.

*F4: Analysts untrained in DR have a great understanding of a multivariate depiction given a use case relating to their domain.*

All three data analysts had a great understanding of the multivariate data depiction. Following their procedure and interviewing them afterwards showed, that in spite of initial difficulties, they easily built a deeper understanding for the data and the correlations in it. It took the analyst in average 30 minutes to solve all given tasks. The first half of this time was used to confirm their hypothesis and to solve Task 1. After that, the analysis speed increased drastically. One analyst noted that he is searching for variances among one dimension but a DR technique is different, “there is a big pot and you can combine lots of different things together”. All analysts recognized that they need to adapt their way of thinking but at the same time acknowledged that using DR is a great way to check correlations quickly.

The fact that DR techniques state an entirely different approach to analyze crime data also hinders the integration into the standard workflow of the analysts. To efficiently use DR in their workflow, the analysts wish for additional statistics helping to interpret the configuration of clusters and outliers.

The observation of the participants also revealed the extensive use of the lens in combination with the manual steering of the dimension-wise weights. Steering the weights represents an essential interaction concept to understand which dimensions correlate. Then, applying the lens enables the straightforward exploration of the configuration without overwhelming the analyst with too much information. While the simplicity of the lens was appreciated by the analysts, they also wished for more elaborated selection techniques since a lens restricts the selection to a circular extent as well as additional statistics as mentioned before.

The evaluation of our questionnaire furthermore showed that all analysts found it very easy to understand dependencies in the data and that clusters corresponded their expectations. However, one analyst found it difficult to draw conclusions based on depicted correlations among dimensions.

## 6 DISCUSSION

We discuss the results of our study as well as the study procedure. Overall, the study was well-received by the data analysts. While the study seems very easy for someone trained in advanced statistics, we like to highlight that solving the described tasks by only analyzing the raw data table represents a real challenge.

The study was structured and guided which can be in conflict with the idea of a purely explorative study. However, we posed a question and observed the analyst tackling this question. There were no restrictions by means of time or analysis; as a matter of fact, the analysts started the study by confirming their own hypothesis, which can be considered as explorative, however, they had to tackle specific tasks using a minimal set of interaction techniques.

The interaction techniques are a means to an end to solve the tasks. The study also gives insight in the application of the interaction techniques which, however, was not the focus of this study. The findings of this study regarding the interpretation of multivariate patterns were not possible without any interaction possibilities given. A data point in 2D space has a x- and y-value, we cannot assume if this point corresponds to *Monday* or belongs to a category such as *Larceny/Theft*. It becomes even more difficult for correlations among multiple dimensions. The position of each data point conceals multivariate dependencies. We need interaction in order to draw conclusions about similarities and distances between data points. Also, we used state-of-the-art interaction techniques allowing us to transfer the results to other systems that use similar interaction approaches.

### 6.1 Limitations and Future Work

The present paper has a number of limitations we aim to cope with in future work. Our study showed that domain experts can understand a multivariate projection, if they are familiar with the task and data type. This raises two questions. Are three domain experts enough to prove this point? Do domain experts, who are not analyzing data on a daily basis, perform similarly? We specifically aimed for domain experts who analyze data on a daily basis, but are untrained in DR. One can imagine that it is difficult to find participants who qualify for this study, given the recent rise of machine learning and data analytics among industries. Often domain experts apply concepts but cannot look into the so called black box, where the algorithms are computed. This study represents a first attempt to investigate whether domain experts can interpret the results by steering high level parameters. The domain

experts showed us that one does not have to be trained in DR or advanced statistics to understand DR results. Even though we conducted this study with only three participants, we consider it as representative. In future work, we plan to extend our prototype with more advanced interaction concepts such as touch, the selection of non-circular regions, and the integration of different data sources. We encountered that LEAs adapt rapidly to and bring forward current research. Furthermore, we plan to extend our study to domains such as finance or health care, also considering different DR approaches. Still, it is difficult to identify experts who work with the data and analyze it, but have not applied machine learning or advanced statistics yet.

## 7 CONCLUSION

In this paper, we conducted a study to investigate whether domain experts, untrained in advanced statistics, can interpret the 2D depiction of DR results. Several approaches to improve the understanding of multivariate data for domain experts have been published in recent years. However, and to the best of our knowledge, proposed approaches have not been evaluated with domain specific data together with untrained domain experts. Our study shows that the domain experts of a LEA effectively adapt to abstract representations of the data if they are familiar with the tasks and the type of data.

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