

Mitochondrial phylogeography of rock-dwelling cichlid fishes reveals evolutionary influence of historical lake level fluctuations of Lake Tanganyika, Africa

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SUMMARY

The East African Lakes Tanganyika, Malawi and Victoria each harbour hundreds of endemic invertebrate and vertebrate species. Inferences about the ecological and evolutionary processes responsible for the origin of these species flocks will only be possible when they are made within historical and comparative frameworks. Specifically, the relative importance of intrinsic characteristics and extrinsic factors may offer information about the processes that drive diversification and speciation in these species. We investigated the sequence variation of a segment of the mitochondrial DNA control region of 32 populations representing all four nominal species in the three genera of eretmodine cichlids from Lake Tanganyika. Based on a phylogenetic analysis of these data we attempted to evaluate the importance of major lake level fluctuations on patterns of intralacustrine speciation. The geography of genetic variation reveals a high degree of within-lake endemism among genetically well-separated lineages distributed along the inferred shore lines of three historically intermittent lake basins. Seismic data indicate that extreme lowering of water levels in the Pleistocene caused the single Lake Tanganyika basin to split into three isolated ones. The strong phylogeographic structure of the Eretmodini, and the observation that some closely related populations occur on opposite shores of the lake, agree with this geological scenario. The three-clade-three-basin phylogeographic pattern was repeated twice within this tribe of cichlids. The phylogeographic pattern of eretmodine cichlids suggests that major fluctuations in the level of the lake have been important in shaping their adaptive radiation and speciation. The mitochondrially defined clades are in conflict with the current taxonomy of the group and suggest that there has been convergent evolution in trophic morphology, particularly in the shapes of oral teeth, taxonomically the most diagnostic characters of the three genera.

1. INTRODUCTION

In 1895, the Royal Society financed a scientific expedition led by J. E. S. Moore to the East African lakes, including Lake Tanganyika (Moore 1903). By that time it had already become apparent that the endemic cichlid fish faunas of the East African Lakes are biologically astonishingly diverse and distinct from each other, each containing hundreds of species. Even today these species flocks are viewed as the most spectacular example among living vertebrates of evolutionary phenomena such as adaptive radiation and explosive speciation (Fryer & Iles 1972; Futuyma 1986; Coulter 1991; Martens *et al.* 1994). The three East African Lakes: Tanganyika, Malawi, and Vic-

toria each harbour hundreds of endemic invertebrate and vertebrate species providing ample opportunity for the study of the evolutionary mechanisms that might be responsible for the formation of species flocks (Fryer & Iles 1972).

Probably due to its greater age, the Tanganyikan cichlid species flock is morphologically and behaviourally more diverse than the flocks of Lakes Malawi and Victoria (Fryer & Iles 1972) although it harbours the lowest number of endemic cichlid species (more than 170; but see Snoeks *et al.* 1994). With an estimated age of 9–12 Ma, Lake Tanganyika is considerably older than Lakes Malawi and Victoria (Cohen *et al.* 1993). Its species are therefore likely to be on average older and hence more genetically distinct. This makes molecular phylogenetic work on Tanganyika cichlids more feasible than on the younger species of Lakes Malawi and Victoria (Meyer *et al.* 1990; Meyer 1993b). Questions about the evolution of cichlid fishes

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can be addressed with molecular phylogenetic techniques that avoid the potential pitfalls of morphological homoplasy, due to convergent evolution, in reconstructing their evolutionary relationships (e.g. Meyer *et al.* 1990; Sturmbauer & Meyer 1992, 1993; Klein *et al.* 1993; Kocher *et al.* 1993; Moran & Kornfield 1993; Sturmbauer *et al.* 1994; Sülmann *et al.* 1995).

The great majority of the cichlid fish species of Lake Tanganyika are confined to the patchy rocky habitats that are separated by sandy beaches along its shorelines (Brichard 1989). Most cichlids have restricted geographic distributions within their respective lakes, and only a very small number of species is found lake-wide (Fryer & Iles 1972; Brichard 1989; Snoeks *et al.* 1994). Molecular studies indicate that rock-dwelling cichlid species in Lakes Malawi and Tanganyika are usually strongly subdivided into genetically distinguishable populations (e.g. Sturmbauer & Meyer 1992; Bowers *et al.* 1994; Moran & Kornfield 1995). Their typically high habitat specificity, site fidelity, and low capacity for dispersal are all expected to reduce gene flow between populations, and to be at least partly responsible for the extensive intralacustrine allopatric speciation. The high speciation rates in rock-dwelling cichlids are believed to be driven by both intrinsic (e.g. stenotopy and sexual selection) and extrinsic factors, such as vicariant biogeographical processes that restrict gene flow between (micro)allopatric populations (Sturmbauer & Meyer 1992; Meyer 1993*b*; Ribbink 1994). Intralacustrine allopatric speciation involving spatial isolation, either by basin subdivision or intralacustrine microallopatric segregation, has been invoked as the most important mode of speciation in cichlid species flocks (Brooks 1950; Poll 1951; Ribbink 1986; Coulter 1991; Meyer 1993*b*). Yet the occurrence of locally restricted sister taxa could also indicate sympatric speciation, as suggested for two small cichlid species flocks endemic to crater lakes in Cameroon (Schliwen *et al.* 1994).

Geological evidence indicates that approximately 200 000 years ago periods of aridity persisting for several thousand years have caused dramatic drops – up to 600 m – in water level splitting Lake Tanganyika into three separate lakes (Tiercelin & Mondegue 1991). So far, only a few studies support the hypothesis that lake-wide phylogeographic patterns, and possibly the process of speciation itself, is associated with abiotic historical events such as lake level fluctuations (Greenwood 1964; Owen *et al.* 1990; Sturmbauer & Meyer 1992). A recent study of the Tanganyikan rock-dwelling cichlid genus *Tropheus* showed that the amount of genetical differentiation among neighbouring *Tropheus* populations can be either large or small, depending on the sampling localities in the lake (Sturmbauer & Meyer 1992). The intralacustrine distribution of mitochondrial DNA (mtDNA) haplotypes in *Tropheus* provides some evidence that major fluctuations in lake level have played a dominant role in determining population genetic structure, and possibly speciation, in rock-dwelling cichlids of Lake Tanganyika (Sturmbauer & Meyer 1992). However, the capacity to disperse, to defend breeding and feeding territories, the size of broods, and other life history

characteristics may also influence the genetic population structure, and thus be important in determining modes of speciation (Fryer & Iles 1972; Meyer *et al.* 1996).

To test further the relative importance of biotic and abiotic factors, additional comparative phylogeographic data are needed. Here we investigate the variation in the mtDNA control region of cichlids of the tribe Eretmodini (Poll 1986). This tribe comprises four nominal species, assigned to three genera: *Eretmodus cyanostictus* Boulenger 1898; *Spathodus erythron* Boulenger 1900; *Spathodus marlieri* Poll 1950; and *Tanganicodus irsacae* Poll 1950. These cichlids have, among lake cichlids, a uniquely reduced swimbladder that allows them to live in shallow gravel and rocky shores in the surge zone of Lake Tanganyika. Limited dispersal ability probably contributed to the formation of several allopatric colour morphs of these morphologically very similar taxa (Konings 1988; Brichard 1989). The shape of the mandibular teeth is the main defining taxonomic character and is of considerable ecological consequence to their feeding behaviour. The teeth of *Eretmodus* are spatula-shaped with a slender neck region, those of *Spathodus* are shaped with a flattened and truncated crown, and those of *Tanganicodus* are slender and pointed. These differences in dental morphology (and also the position of the mouth and the morphology of the dental arcade) are causally linked to trophic differences. *Tanganicodus* is an invertebrate ‘picker’ whereas *Eretmodus* and *Spathodus* mainly scrape algae off rocks (Yamaoka *et al.* 1986; Yamaoka 1987).

2. MATERIALS AND METHODS

(a) *Species, populations and gene studied*

A total of 43 specimens from 32 localities was studied. The fish were collected, during two expeditions in 1991 and 1992, along the Burundian and Tanzanian coastline of Lake Tanganyika (figure 1). Coordinates of localities were determined using a Global Positioning System (GPS). Additional specimens were provided by Heinz H. Büscher and Luc De Vos. Specimens were fixed in 75% ethanol and muscle tissue samples were dissected and stored at –80 °C. All voucher specimens have been deposited in the Africa Museum at Tervuren (Belgium). The specimens were identified using the criteria of Poll (1986). However, it is clear from our study that the taxonomy might be in need of revision (L. Rüber *et al.*, unpublished data). 336 base pairs (b.p.) of the mt control region were determined (EMBL accession numbers X90593–X90638). When specimens yielded unexpected phylogenetic affinities on the basis of their mtDNA sequences, they were reexamined, DNA was reextracted, amplified and resequenced, to eliminate the possibility of contamination and/or mixing up of vials during the manipulations.

(b) *DNA extraction, PCR and nucleotide sequencing*

DNA was isolated from muscle tissue using standard proteinase K digestion and phenol extraction (Kocher *et al.* 1989). The primers used to amplify a portion of

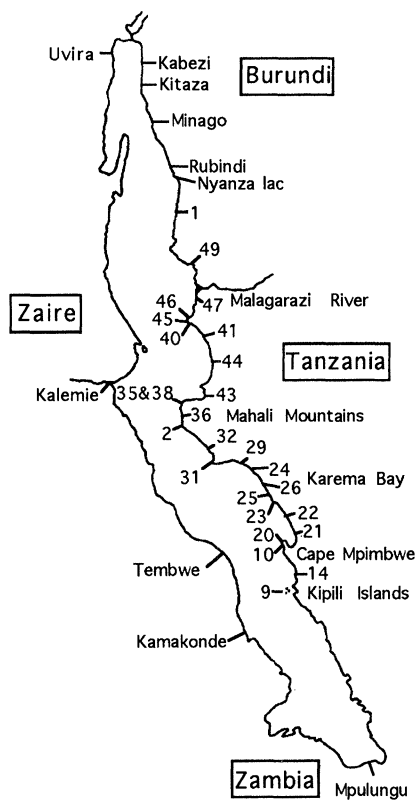


Figure 1. Map of Lake Tanganyika showing all the localities and sample sites mentioned in the text. Lake Tanganyika is about 650 km in length and maximally 80 km wide.

the proline tRNA and a segment of the control region were L15995 (Meyer *et al.* 1994.) and H16498 (Meyer *et al.* 1990) using protocols given in Kocher *et al.* (1989), and Meyer *et al.* (1990, 1994). Approximately 0.15–0.20 µg of the double-stranded polymerase chain reaction (PCR) product was cycle-sequenced according to the manufacturer's protocol (Pharmacia), using 0.8 µM primer and 2.5 units of *Tth* polymerase. Only the L-primer was used for the cycle sequencing reaction. The temperature profile for cycle sequencing was denaturation at 95 °C for 36 s, annealing at 52 °C for 36 s and extension at 72 °C for 80 s. After 25 cycles the samples were kept at 72 °C for 5 min before they were analysed on an ALF-DNA Sequencer (Pharmacia).

(c) Phylogenetic analyses

The sequences were aligned by eye and were analysed by means of the parsimony method using PAUP (version 3.1.1; Swofford 1993). Neighbour-joining analyses (Saitou & Nei 1987) were performed using MEGA (version 1.01, Kumar *et al.* 1993). For more details on the phylogenetic analyses see figure legends. Confidence estimates were obtained using the bootstrap method (Felsenstein 1985). *Tropheus duboisi* was declared as an outgroup based upon a phylogenetic analysis of the major mouthbrooding lineages of Lake Tanganyika (Sturmbauer & Meyer 1993).

3. RESULTS

(a) Sequence variation in the control region

Only one 2 b.p. insertion/deletion event was found. Eighty-one positions (24%) contain variation (figure 2). Several conserved regions without nucleotide variation were observed (e.g. Saccone *et al.* 1987). The overall base compositions within the Eretmodini exhibits an anti G–C bias in the control region, a trait characteristic of vertebrate mtDNA (Meyer 1993a). Even among the most diverged lineages within the Eretmodini transitions outnumber transversions, indicating that the transitions still contain phylogenetic information (DeSalle *et al.* 1987).

(b) Age estimates for the Eretmodini sequence variation

The maximum corrected (Kimura 1980) sequence divergences within the Eretmodini were compared with those that had been found within other Lake Tanganyika cichlids (recalculated for the published sequences): the Ectodini, the Lamprologini and the genus *Tropheus* (Sturmbauer & Meyer 1992, 1993; Sturmbauer *et al.* 1994). The calculated divergences are based on all substitutions and also on transversions only (given in brackets); Lamprologini: 22.7% (11.0%); Ectodini: 15.7% (7.4%); Eretmodini: 12.5% (5.2%); *Tropheus*: 16.1% (4.7%). Assuming a comparable rate of molecular divergence among these lineages, the maximum observed corrected sequence divergence within three other Tanganyikan cichlid lineages indicates that the Eretmodini are approximately 0.5–0.8-fold as old as suggested for the Lamprologini and the Ectodini, and approximately the same age as the genus *Tropheus* (Sturmbauer & Meyer 1992).

(c) Phylogeny of the tribe Eretmodini

Two major mt lineages within this tribe (symbolized by A and B in figures 2, 3 and 4) were identified. Lineage A contains three clades, A1–A3, and lineage B contains four clades, B1–B4. The evolutionary relationships within the Eretmodini are corroborated by both parsimony (Swofford 1993) and neighbour-joining (Saitou & Nei 1987) methods, and most branches defining the major clades are supported with high bootstrap confidence (Felsenstein 1985) (see figures 3 and 4). Differences between the parsimony and the neighbour-joining method are limited to the relative placement of the clades within lineage B (figures 3 and 4). In the parsimony trees, clade B1 is sistergroup to B2 + B3 + B4, whereas in the neighbour-joining tree B4 is sistergroup to B1 + B2 + B3. However, the relative positions of the four clades within the B-lineage are not supported by high bootstrap values (L. Rüber *et al.*, unpublished data).

The current generic classification within the Eretmodini is in partial conflict with the mtDNA phylogeny, and may be in need of revision (figures 3 and 4). Clades A1 and B4 contain mtDNA haplotypes from all three genera. The taxonomic and phylogenetic implications, and the evolution of dentitional dif-

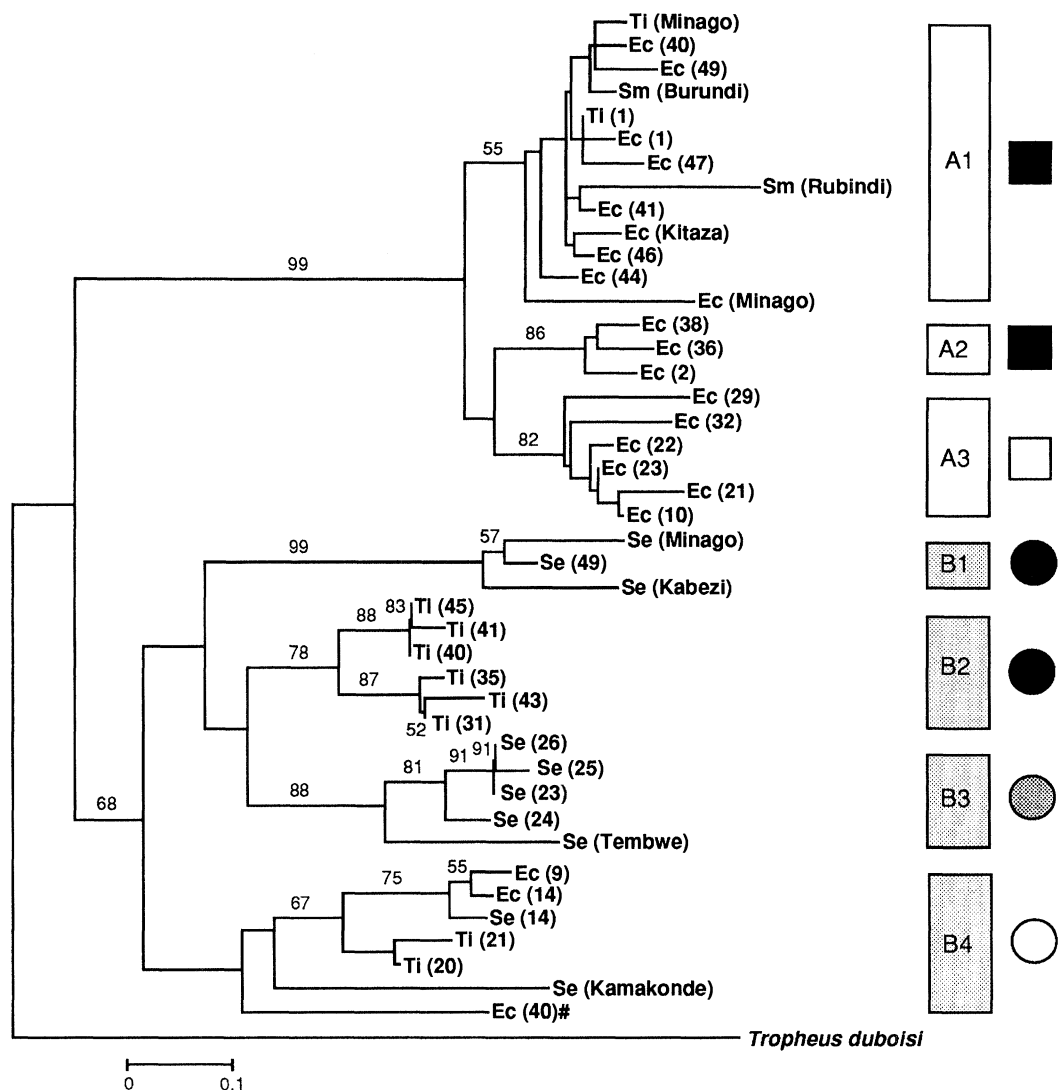


Figure 3. Neighbour-joining tree of the Eretmodini. The distances were corrected for multiple substitutions (Kimura 1980). Gap sites and missing information (insertions and deletions or indels) are ignored in distance estimation; the option 'pairwise-deletion' was used to analyse sequences that contain such sites. Bootstrap values are given on those branches that were obtained in > 50% of the 1000 replications. Branches are drawn to scale, with the bar representing per cent divergence. The species names are given according to the current taxonomic assignments: Ec = *Eretmodus cyanostictus*, Ti = *Tanganicodus irsacae*, Se = *Spathodus erythron*, Sm = *Spathodus marlieri*. Locality names and numbers are given in brackets. Ec (40)# indicates a *Eretmodus* that is morphologically distinct from Ec (40). Clade designations (see text) are based on neighbour-joining and parsimony analyses.

4. DISCUSSION

(a) The influence of Lake Tanganyika's history on the adaptive radiation

Allopatric speciation, either through geographic isolation or habitat segregation seems to be the most important mode of intralacustrine speciation for cichlids (e.g. reviewed in Meyer 1993*b*; but see Schliewen *et al.* 1994). The age estimates for various endemic Tanganyikan lineages, including the Eretmodini suggest that during the geological history of the lake, when the actual rift formation occurred, cichlids rapidly filled the available niches over the entire lake (e.g. Liem & Osse 1975; reviewed in Coulter 1991; Cohen 1993; Meyer 1993*b*; Snoeks *et al.* 1994). When, more recently in the Pleistocene, climatic changes resulted in lowered water levels, the single Lake

Tanganyika basin became divided into three paleo-lakes, probably for many thousands of years (Scholz & Rosendahl 1988; Tiercelin & Mondegue 1991). These fluctuations in level effectively isolated populations of cichlids, including eretmodines, into northern, central, and southern basin populations (figures 1 & 5). It has been suggested that not only basin subdivision but also minor fluctuations in lake level probably influenced the evolution of the littoral cichlid fauna (Fryer & Iles 1972; Coulter 1991). Sandy beaches or estuaries that separate rocky shores are supposed to act as barriers to gene flow, by influencing the distribution of genetic variation, and probably speciation, in these fishes (Coulter 1991). Particularly stenotopic and philopatric species like the eretmodine cichlids, that seem to be adapted to living in shallow gravel and rocky shores could have been isolated by small continuous changes

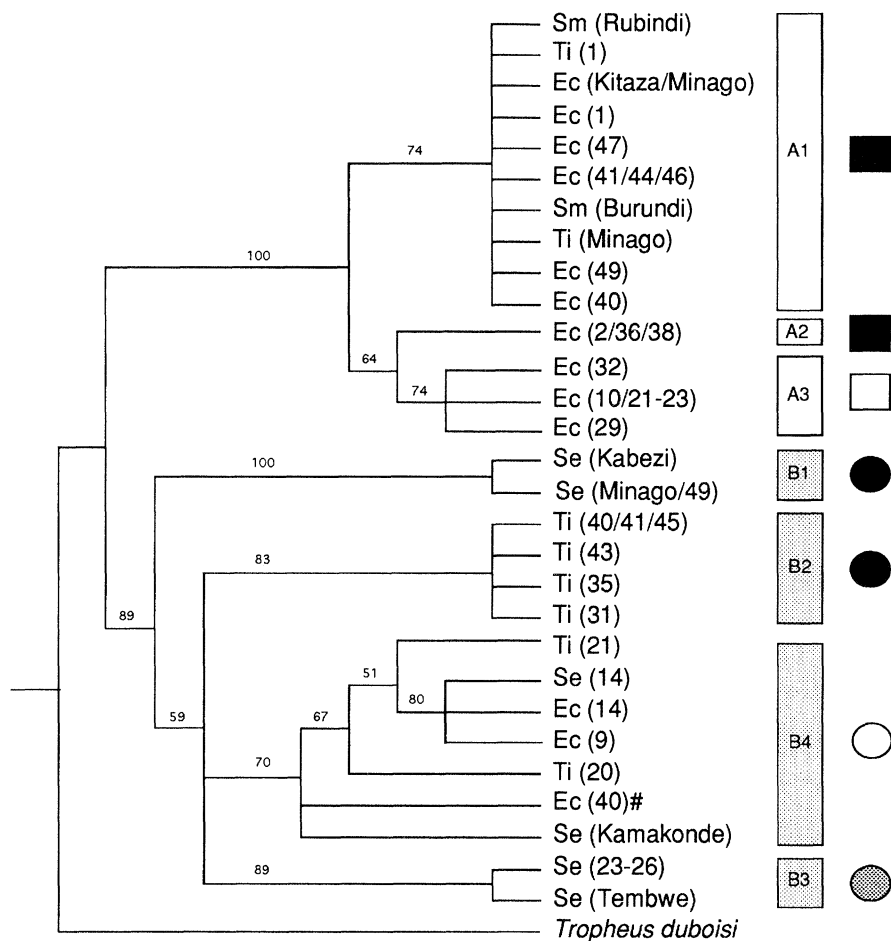


Figure 4. Strict consensus tree constructed from 550 equally parsimonious trees (tree length of 208 steps). Heuristic search with random addition of taxa (10 replications) and transversions were weighed two times over ransitions. Bootstrap (Felsenstein 1985) values from a separate bootstrap analysis are given on those branches that were obtained in > 50% of the replications (heuristic search, simple addition of taxa, 100 bootstrap replications). This strict consensus tree was not constructed using all specimens in our dataset. Several of the OTUs are represented by a consensus control region sequence of conspecific eretmodines that show identical cytochrome *b* sequences (authors' unpublished data). The species names are given according to the current taxonomic assignments: Ec = *Eretmodus cyanostictus*, Ti = *Tanganicodus irsacae*, Se = *Spathodus erythron*, Sm = *Spathodus marlier*. Locality names and numbers are given in brackets. Ec (40)# indicates a *Eretmodus* that is morphologically distinct from Ec (40). Clade designations (see text) are based on neighbour-joining and parsimony analyses.

in water levels. Changes of water level produce changes in habitats, such as rocky shores, that are patchily distributed along the coastline. If the periods of isolation existed long enough, genetic differences between populations would have been likely to arise by drift, and could result in the formation of new species. However, as shown earlier on the basis of species distributions of Lamprologini (Snoeks *et al.* 1994), even the huge Malagarazi River delta (figure 1) does not seem to have been a strict barrier for some taxa (figures 5*a, b*). However, the phenotypic data for lamprologine cichlids need to be compared with future genetic data.

(b) Paleo-limnology and phylogeography

The evolutionary history of the Eretmodini is strongly related to the geological history of Lake Tanganyika. The data presented here clearly show the effects of fluctuations in water level on the present distribution of genetic variation. Indeed, the dis-

tribution of recent Eretmodini mtDNA clades matches quite closely the now-inundated shorelines of the three intermittent Lake Tanganyika paleo-lakes (figure 5*a, b*). The within-lake distribution of all clades, but in particular those of clades A1, A2, and A3, are restricted to the northern, central or southern intermittent lake basins that existed from about 200 000 to about 75 000 years ago (Scholz & Rosendahl 1988). The occurrence of genetically distinct clades with restricted distributional patterns and the presence of closely related populations on both sites of the lake (e.g. *Spathodus* from localities (23–26) and Tembwe, and lineage B4 from around the Kipili Islands and Kamakonde) suggest that major fluctuations of water level in Lake Tanganyika had pronounced effects on speciation, and on the distribution of the cichlids in rocky littoral habitats.

As observed in the genus *Tropheus* (Sturmbauer & Meyer 1992), but not in *Simochromis* (Meyer *et al.* 1996), our data on sequence divergence indicate that at least two consecutive periods of rapid diversification

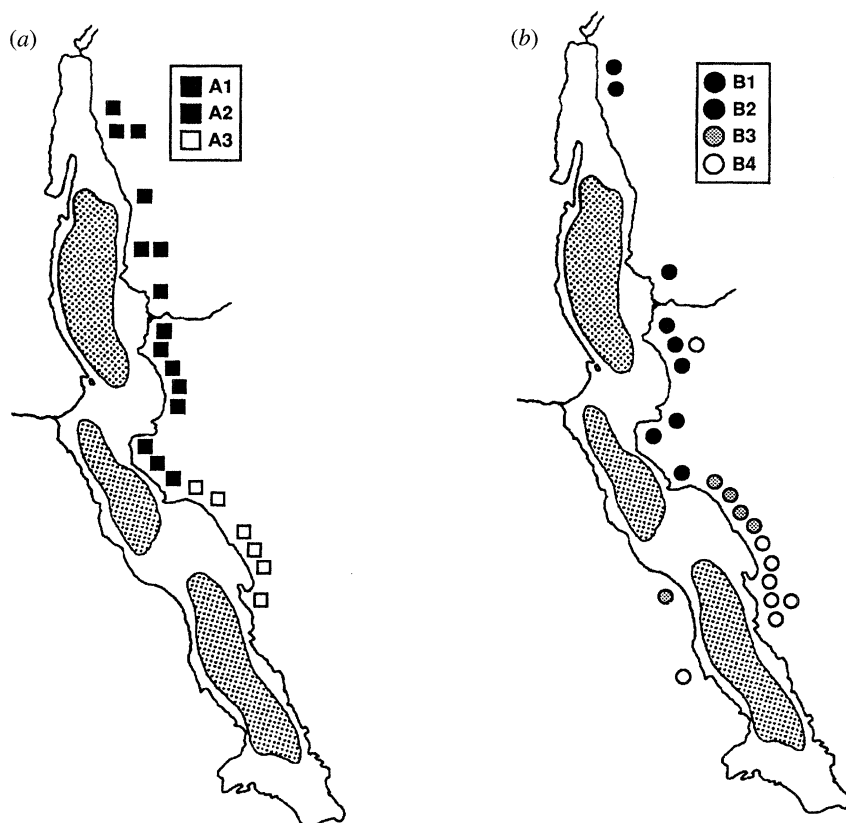


Figure 5. Maps of Lake Tanganyika showing the mtDNA distribution of the studied Eretmodini. The symbols indicate genetically distinct lineages based upon the phylogenetic analyses (see figures 3 and 4). (a) Intralacustrine distribution of mtDNA haplotypes belonging to lineages A1, A2 and A3. (b) Intralacustrine distribution of mtDNA haplotypes that belong to lineages B1, B2, B3 and B4. Each map shows the three separate paleo-lakes that follow the present 600 depth contour (Tercelin & Monteguer 1991). Localities where two identical symbols appear (for example, locality 1 in figure 5a; see also figure 1) indicate that individuals with different tooth morphology, and hence different generic assignment, have been collected and sequenced.

occurred during the evolutionary history of Eretmodini clades (figure 3). This seems in particular to be the case for the A-lineage (figure 3), whereas the ages of the B-clades seem to be older and more varied. B-lineage eretmodine cichlids may have originated somewhat earlier, and for different reasons, than did the members of the A mtDNA eretmodine lineage. Just as in *Tropheus* populations (Sturmbauer & Meyer 1992), the Eretmodini populations along some stretches of the Tanganyika coastline appear to be effectively isolated from each other, even if they are separated by only a few kilometres (figures 3, 4, & 5). However, one mtDNA lineage of *Tropheus* was found lake-wide (Sturmbauer & Meyer 1992). In contrast, all the genetically distinct Eretmodini lineages seem to have restricted distributions along the coastline (figure 5). The phylogeographic pattern reveals only two examples of allopatric populations that share an identical haplotype (*Tanganicodus* from localities 40 and 45; *Spathodus* from localities 23 and 26). In these instances the localities are only about 20 kilometer apart. This suggests that gene flow of mtDNA haplotypes might be low even between geographically nearby populations. As no more than one individual per locality has been analysed, we do not know if these two populations are fixed for these mtDNA haplotypes.

(c) MtDNA phylogeny and the taxonomy of the Eretmodini

Morphology-based taxonomy places the Eretmodini in their respective genera mainly on the basis of dental features (Poll 1986). The major mtDNA clades contain mostly morphologically homogeneous groups. However, our mtDNA phylogeny is in partial conflict with the current generic classification. For example Lineage A is mainly constituted of specimens that morphologically correspond to *E. cyanostictus* as defined by Poll (1986). However, clade A1 also contains *T. irsacae* (from localities 1 and Minago), *S. marlieri* (Rubindi and another locality in Burundi). In addition, unpublished *S. marlieri* sequences (C. Sturmbauer, personal communication) also fell within the A1 clade. Clade B4 contains the three genera, as they are currently defined. *Tanganicodus irsacae* are found in several of the mtDNA clades. However, these *Tanganicodus* can be differentiated on the basis of morphological features. Those found in Minago and locality 1 resemble the fishes from the type locality (Uvira, north Zaire), and can be distinguished from those south of the Malagarazi delta by the presence of a dark spot in the soft rayed part of the dorsal fin, and by their colour pattern. The occurrence of two distinct *Eretmodus cyanostictus* mtDNA

haplotypes that belong to clade A1 and B4 at locality 40 is supported by morphological characters (Rüber *et al.* in preparation). Because the type locality of *E. cyanostictus* is Kinyamkolo (= Mpulungu) in Zambia, the *Eretmodus* specimens from localities 9, 14 and 40 (clade B4) may represent the genuine *Eretmodus cyanostictus*. The occurrence of supposedly genuine *E. cyanostictus* at locality 40, which is separated by more than 200 km from the other members of clade B4, may represent a remnant population of a previously more widespread clade.

MtDNA phylogenies do not necessarily reflect the true species phylogeny. Lineage sorting and the retention of ancestral polymorphisms can result in the occurrence of mitochondrial poly- and paraphyly between biological species (Moran & Kornfield 1993, 1995). Although this may be the case for the extremely young mbuna cichlids from Lake Malawi, it is not likely to be true for the Eretmodini because they are considerably older than the Malawi and Victoria cichlids (Meyer *et al.* 1990; Meyer 1993*b*). Another explanation for the occurrence of mtDNA polymorphisms across species boundaries is introgressive hybridization after secondary contact (e.g. Dowling & DeMarais 1993). Parental-care patterns and mating systems differ between *Spathodus marlieri* and the representatives of the two other genera. However, no relevant information is available on the breeding biology of *Spathodus erythron* (Kuwamura *et al.* 1989) and there are no studies that allow us to refute the possibility of introgressive hybridization. To test this hypothesis it will be necessary to investigate nuclear markers and to conduct breeding experiments with *Eretmodus*, *Spathodus* and *Tanganicodus* from the different mtDNA clades.

Our data suggest that the species originally assigned to three different genera represent several more lineages that are genetically and morphologically distinct. Because several genetically distinct lineages are found within each of the studied genera, the suggestion that *Spathodus* and *Tanganicodus* are derived monophyletic lineages, with *Eretmodus* as their ancestral sister lineage (Liem 1979), needs to be reexamined. Therefore it is our intention to study other molecular markers, as well as morphological characters, to establish a phylogenetically based generic classification of the Eretmodini. Our results suggest that the shape of the oral jaw teeth, which is the main morphological feature used for this classification of the Eretmodini, may be highly variable, homoplasious and unreliable for taxonomic purposes. The differences in dental morphology (e.g. the position of the mouth and the morphology of the dental arcade) among these fishes, and their relative gut lengths, are related to differences in feeding behaviour (Yamaoka 1985, 1987; Yamaoka *et al.* 1986). Interestingly, sympatric Eretmodini taxa are usually species-pairs that evolved from one of the two most basal branches within the group. Sympatric taxa seem to differ morphologically, and hence ecologically, pointing to the possibility that ecological diversification may be important for speciation and niche partitioning and for the coexistence of two sympatric lineages. In most of the cases that we

examined, the species-pairs consist of one species with a typical *Eretmodus*-like dentition and the other with a *Tanganicodus*- or *Spathodus*-like dentition. Evolution of these dental characteristics might suggest that competition for food between members of the Eretmodini has been a driving force for the multiple independent occurrence of similar trophic specializations (L. Rüber *et al.*, unpublished data).

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