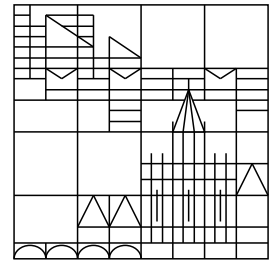


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# Smooth Surjective Toric Quotients are Categorical

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## Abstract

We prove a criterion for the existence of a categorical quotient for the action of a subtorus on a smooth non-degenerate toric variety in the category of smooth algebraic varieties.

## Introduction

In this note we give a criterion for the existence of categorical quotients for subtorus actions on toric varieties. Recall that a categorical quotient for a regular action of an algebraic group  $G$  on a variety  $X$  is a  $G$ -invariant morphism  $p: X \rightarrow Y$  that is universal with respect to  $G$ -invariant morphisms from  $X$  to varieties  $Z$ . In general, the problem of existence of such quotients is quite delicate.

In order to obtain existence statements it is often useful, to treat the problem in a modified category. For proper actions the category of algebraic spaces is reasonable. Another approach is the category of dense constructible subsets, proposed by A. Białyński–Birula in [BB]. In both cases the category of algebraic varieties is enlarged.

Sometimes it is also suitable to consider smaller categories: for example, in [AC,Ha;2], the problem of existence of categorical quotients for subtorus actions on toric varieties is solved for the category of quasi-projective varieties. In the present article we consider the analogue in the category of smooth varieties: Let  $X$  be a smooth non-degenerate toric variety and let  $H$  be an algebraic subgroup of the acting torus of  $X$ . Let  $p: X \rightarrow Y$  be the toric quotient constructed in [AC,Ha;1]. Then we obtain (see Corollary 3.3):

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**Theorem.** *If  $p$  is surjective and  $Y$  is smooth, then  $p$  is a categorical quotient in the category of smooth algebraic varieties.*

If we drop surjectivity of  $p$  in the above statement, then we obtain existence of categorical quotients in the category of smooth dense constructible subsets (see Corollary 3.2). In the proof of these results, toric *prevarieties* play a central role:

In order to factorize a given  $H$ -invariant regular map  $f: X \rightarrow Z$  we embed  $Z$  into a certain toric prevariety  $Z'$  and consider the situation in the framework of toric prevarieties. Besides the machinery developed in [AC,Ha;3] and Section 1, the technical heart of the proof consists of a lifting result for  $f$  (see Section 2) and the decomposition theorem for  $f$  into an  $H$ -invariant toric part and a non-toric part (see Section 3).

## 1 Toric Prevarieties as Quotients

In many situations it is useful to represent a given toric variety as a quotient space of an open toric subvariety of some  $\mathbb{C}^r$  by the action of an algebraic subgroup of  $(\mathbb{C}^*)^r$ . The most basic example of such a representation is writing the projective space  $\mathbb{P}_n$  as the quotient space of  $\mathbb{C}^{n+1} \setminus \{0\}$  by the diagonal action of  $\mathbb{C}^*$ . For an arbitrary toric variety different variations of representing it as a quotient space are to be found in [De], [Au], [Co] and [Br,Ve].

For later use, we generalize in this section the construction of [Co] to certain toric prevarieties. Our construction will differ slightly from the representation given in [AC,Ha;3]. We begin with recalling [Co], Section 1: Let  $X = X_\Delta$  denote the toric variety corresponding to a fan  $\Delta$  in a lattice  $N$  and assume that the rays of  $\Delta$  generate  $N_{\mathbb{R}}$ . That means that the variety does not contain a torus as a proper factor, and we will call such a toric variety *non-degenerate*.

For every ray  $\varrho \in \Delta^{(1)}$  let  $v_\varrho$  denote the primitive vector in  $\varrho \cap N$ , and define a lattice homomorphism  $Q: \tilde{N} := \mathbb{Z}^{\Delta^{(1)}} \rightarrow N$  by  $e_\varrho \mapsto v_\varrho$ . For every maximal cone  $\sigma \in \Delta^{\max}$  define a strictly convex cone in  $\tilde{N}$  by setting

$$\tilde{\sigma} := \text{cone}(e_\varrho; \varrho \in \Delta^{(1)})$$

Then the cones  $\tilde{\sigma}$ ,  $\sigma \in \Delta$ , are the maximal cones of a fan  $\tilde{\Delta}$  in  $\tilde{N}$ , and  $Q$  induces a map of fans from  $\tilde{\Delta}$  to  $\Delta$ . Denote the open toric subvariety of  $\mathbb{C}^{\Delta^{(1)}}$  corresponding to  $\tilde{\Delta}$  by  $C(X)$ . The toric morphism  $q: C(X) \rightarrow X$  induced by  $Q$  is a good quotient with respect to the kernel  $q^{-1}(x_0) \subset (\mathbb{C}^*)^{\Delta^{(1)}}$ . Here  $x_0$  denotes the base point of  $X$ . Summarizing we have:

**1.1 Proposition.** *For every non-degenerate toric variety  $X$  there is an open toric subvariety  $C(X)$  of some  $\mathbb{C}^r$  such that  $\mathbb{C}^r \setminus C(X)$  is of dimension at most  $r - 2$ , and a toric morphism  $q: C(X) \rightarrow X$  that is a good quotient with respect to an algebraic subgroup of  $(\mathbb{C}^*)^r$ .*

In order to generalize this result to toric prevarieties we have to work with the notions introduced in [AC,Ha;3]. Let  $X$  be a complex algebraic prevariety and assume that a reductive group  $G$  acts on  $X$  by means of a regular map  $G \times X \rightarrow X$ . A  $G$ -invariant regular map  $p: X \rightarrow Y$  onto a prevariety  $Y$  is called a *good prequotient* for the action of  $G$  on  $X$  if

- i)  $p$  is an affine map, i.e., for every affine open subspace  $V$  of  $Y$  the open subspace  $U := p^{-1}(V)$  of  $X$  is affine,
- ii)  $\mathcal{O}_Y$  is the sheaf  $(p_*\mathcal{O}_X)^G$  of invariants, i.e., for every open set  $V \subset Y$  we have  $\mathcal{O}_Y(V) = \mathcal{O}_X(p^{-1}(V))^G$ .

This notion generalizes the concept of a good quotient in the following sense: if a good prequotient exists and if both,  $X$  and  $Y$ , are separated, then the good prequotient is nothing but the good quotient. But in general, if  $X$  is separated, the action of  $G$  may admit a good prequotient but no good quotient. A simple example is the  $\mathbb{C}^*$ -action on  $\mathbb{C}^2 \setminus \{0\}$  given by

$$t \cdot (z, w) := (tz, t^{-1}w).$$

Now we come to the case of a toric prevariety  $X$ . Recall that  $X$  is by definition a normal irreducible prevariety together with an effective regular action of an algebraic torus  $T$  that has an open orbit. The notion of a toric morphism is defined as in the separated case (see [AC,Ha;3], Section 3). We obtain the following criterion for good prequotients (compare [AC,Ha;3], Corollary 6.10:

**1.2 Lemma.** *Let  $p: X \rightarrow X'$  be a surjective affine toric morphism of prevarieties and let  $H$  denote the kernel of the homomorphism of the acting tori associated to  $p$ . Then  $p$  is a good prequotient for the action of  $H$  on  $X$ .  $\square$*

In the sequel we use the language of systems of fans introduced in [AC,Ha;3], Section 2. To perform our analogue of Cox's construction, let  $X_{\mathcal{S}}$  be a toric prevariety arising from an affine system of fans  $\mathcal{S} = (\Delta_{ij})_{i,j \in I}$  in a lattice  $N$ . The most important facts are that each  $\Delta_{ii}$  is a fan of faces of a cone  $\sigma_{ii}$  in  $N$ , the  $\Delta_{ij}$  are subfans of  $\Delta_{ii} \cap \Delta_{jj}$ , and  $X_{\mathcal{S}}$  is obtained as the glueing of the affine toric varieties  $X_{\Delta_{ii}}$  along the open toric subvarieties  $X_{\Delta_{ij}}$ .

Let  $R$  denote the set of equivalence classes  $[\varrho, i] \in \Omega(\mathcal{S})$  where  $\varrho$  is one-dimensional. Then any  $[\varrho, i] \in R$  defines a distinguished point  $x_{[\varrho, i]} \in X_{\mathcal{S}}$  and the orbit of the acting torus  $T$  of  $X_{\mathcal{S}}$  through  $x_{[\varrho, i]}$  is of codimension one in  $X_{\mathcal{S}}$ . This sets up a one-to-one correspondence between the elements of  $R$  and the  $T$ -orbits of codimension one in  $X_{\mathcal{S}}$ . The closure of such an orbit is the  $T$ -stable Weil divisor

$$D_{[\varrho, i]} := \overline{T \cdot x_{[\varrho, i]}} = \bigcup_{[\varrho, i] \prec [\tau, k]} T \cdot x_{[\tau, k]}.$$

Our representation of  $X_{\mathcal{S}}$  as a quotient space will work only for special toric prevarieties. We will have to assume that  $X_{\mathcal{S}}$  is of *affine intersection*, i.e., for any two maximal affine

$T$ -stable charts  $X_i, X_j \subset X_S$  their intersection  $X_i \cap X_j$  is again affine. In our setting this means that for any two  $i, j \in I$  the fan  $\Delta_{ij}$  is the fan of faces of a single cone  $\sigma_{ij}$ . The following property of such toric prevarieties will be used later:

**1.3 Remark.** *If  $X := X_S$  is of affine intersection, then for any maximal  $T$ -stable affine chart  $X_i := X_{\Delta_{ii}}$ ,  $i \in I$ , we have*

$$X \setminus X_i = \bigcup_{[\varrho, j] \not\prec [\sigma_{ii}, i]} D_{[\varrho, j]}.$$

**Proof.** First recall, that by [AC,Ha;3], Remark 2.8, the orbit  $T \cdot x_{[\varrho, j]}$  is contained in  $X_i$  if and only if  $[\varrho, j] \prec [\sigma_{ii}, i]$  holds. Hence we have

$$[\varrho, j] \not\prec [\sigma_{ii}, i] \Leftrightarrow D_{[\varrho, j]} \subset X \setminus X_i.$$

In particular, the inclusion “ $\supset$ ” follows. As to “ $\subset$ ”, let  $x \in X \setminus X_i$ . Then, for some  $j \in I$ , we have  $x \in X_j \setminus X_i$ . Since  $X_j \cap X_i$  is affine, the set  $X_j \setminus X_i$  is a  $T$ -stable Weil divisor  $D$  in  $X_j$ . The closure of  $D$  in  $X$  contains  $x$ , lies in  $X \setminus X_i$  and is a union of certain  $D_{[\varrho, j]}$  with  $[\varrho, j] \not\prec [\sigma_{ii}, i]$   $\square$

As before, we consider the case when  $X_S$  is non-degenerate, i.e., it does not contain a proper torus factor. Then the rays of the  $\Delta_{ii}$  generate  $N$ . Set  $\tilde{N} := \mathbb{Z}^R$ . As before, for  $\varrho \in \bigcup \Delta_{ij}^{(1)}$  denote by  $v_\varrho$  the primitive lattice vector contained in  $\varrho$ . Define a lattice homomorphism by

$$Q: \tilde{N} \rightarrow N, \quad Q(e_{[\varrho, i]}) := v_\varrho.$$

For every  $i \in I$  define a strictly convex cone in  $\tilde{N}$  by setting

$$\tilde{\sigma}_i := \text{cone}(e_{[\varrho, i]}; \varrho \in \Delta_{ii}^{(1)})$$

Then the cones  $\tilde{\sigma}_i$ ,  $i \in I$  are the maximal cones of a fan  $\tilde{\Delta}$  in  $\tilde{N}$ . Let  $\tilde{\mathcal{S}}$  denote the affine system of fans associated to  $\tilde{\Delta}$ . Since  $X_S$  was assumed to be of affine intersection, we can use [AC,Ha;3], Lemma 5.6 to obtain

**1.4 Remark.** *The homomorphism  $Q$  together with  $\mu := \text{id}_I$  determines a map of systems of fans  $(Q, \mathfrak{q})$  from  $\tilde{\mathcal{S}}$  to  $\mathcal{S}$ .*

By construction, the toric morphism  $q: X_{\tilde{\mathcal{S}}} \rightarrow X_S$  corresponding to  $q$  is affine and surjective. Let  $H$  be the kernel of the homomorphism of the acting tori associated to  $q$ . The above criterion for good prequotients implies that the map  $q$  is a good prequotient for the action of  $H$  on  $C(X) := X_{\tilde{\mathcal{S}}}$ .

For a general toric prevariety of affine intersection we first choose a decomposition of the form  $X = X' \times T''$ , where  $T''$  is a torus and  $X'$  a toric prevariety that does not contain a torus as a proper factor. Then as above we set  $C(X) := C(X') \times T''$  and  $q := q' \times \text{id}_{T''}: C(X) \rightarrow X$ . Summarizing we obtain the following result:

**1.5 Proposition.** *Let  $X$  be a toric prevariety of affine intersection. Then there is an open toric subvariety  $C(X)$  of some  $\mathbb{C}^r$  and a toric morphism  $q: C(X) \rightarrow X$ , that is a good prequotient with respect to the action of an algebraic subgroup of  $(\mathbb{C}^*)^r$  on  $C(X)$ . If  $X$  is non-degenerate, one can achieve that  $\mathbb{C}^r \setminus C(X)$  is of dimension at most  $r - 2$ .*

## 2 Lifting of Morphisms

The aim of this section is to prove that a given (not necessarily toric) morphism from a non-degenerate toric variety  $X$  to a smooth toric prevariety  $X'$  of affine intersection can be lifted to a morphism of the spaces  $C(X) \subset \mathbb{C}^r$  and  $C(X') \subset \mathbb{C}^s$  constructed in the previous section.

**2.1 Theorem.** *Let  $X$  be a non-degenerate toric variety and let  $f: X \rightarrow X'$  be a morphism to a smooth toric prevariety  $X'$  of affine intersection such that  $f(X)$  intersects the open orbit of  $X'$ . Then there is a morphism  $F: C(X) \rightarrow C(X')$  such that the diagram*

$$\begin{array}{ccc} C(X) & \xrightarrow{F} & C(X') \\ q_X \downarrow & & \downarrow q_{X'} \\ X & \xrightarrow{f} & X' \end{array}$$

is commutative. If moreover  $f$  is constant on  $H$ -orbits for an algebraic subgroup  $H$  of the acting torus  $T$  of  $X$ , then every component of  $F$  is a polynomial that is homogeneous with respect to some character of the group  $H' := q_X^{-1}(H)$ .

In order to prepare the proof of this theorem we consider the following special case: Assume that  $X = C(X)$ , in other words that  $X$  is an open toric subvariety of some  $\mathbb{C}^r$  such that  $\mathbb{C}^r \setminus X$  is of dimension at most 2. Moreover, assume that  $X'$  is non-degenerate, i.e., it does not contain a torus as a proper factor.

Since  $X'$  is a toric prevariety it arises from an affine system of fans  $\mathcal{S} = (\Delta_{ij})_{i,j \in I}$  in a lattice  $N$ . Let  $T'$  denote the acting torus of  $X'$ . As in Section 1, we consider the set  $R$  of equivalence classes  $[\varrho, \iota] \in \Omega(\mathcal{S})$  with  $\varrho$  one-dimensional. To simplify notation we fix an enumeration  $R = \{1, \dots, s\}$ .

Consider the  $T'$ -stable Weil divisors  $D_k$ ,  $k = 1, \dots, s$ , corresponding to the elements of  $R$ . Since  $X'$  is smooth, each of these divisors is in fact Cartier. Since moreover  $f(X)$  intersects the open  $T'$ -orbit we obtain for every  $k$  a pullback  $f^*(D_k) \in \text{CDiv}(X)$ . Now,  $\mathbb{C}^r \setminus X$  is of codimension at least two. Thus we find polynomials  $h_k \in \mathbb{C}[x_1, \dots, x_r]$  with

$$f^*(D_k) = \text{div}(h_k).$$

**2.2 Lemma.** *If  $f$  is  $H$ -invariant for some algebraic subgroup  $H$  of  $(\mathbb{C}^*)^r$ , then each  $h_k$  is homogeneous with respect to a character  $\chi_k: H \rightarrow \mathbb{C}^*$ .*

**Proof.** Since the divisor  $f^*(D_k)$  is locally defined by  $H$ -invariant regular functions, it is invariant under the canonical action of  $H$  on  $\text{WDiv}(X)$ . Hence, for any  $g \in H$ , we have

$$\text{div}(g \cdot h_k) = g \cdot \text{div}(h_k) = \text{div}(h_k).$$

Thus  $g \cdot h_k = \alpha_k(g) h_k$  holds for some  $\alpha_k(g) \in \mathbb{C}^*$ . The function  $\alpha_k: H \rightarrow \mathbb{C}^*$  is in fact a character, and  $h_k$  is homogeneous with respect to  $\alpha_k^{-1}$ .  $\square$

In order to obtain the desired lifting of the map  $f$  to  $\mathbb{C}(X')$ , we consider for every  $t \in (\mathbb{C}^*)^s$  the morphism  $F_t: X \rightarrow \mathbb{C}^s$ , defined by

$$F_t(x) := t \cdot (h_1(x), \dots, h_s(x)) = (t_1 h_1(x), \dots, t_s h_s(x)).$$

**2.3 Lemma.** *The morphism  $F_t$  has the following properties*

- i)  $f^{-1}(D_k) = V(X; h_k) = F_t^{-1}(V(\mathbb{C}^s; x_k))$ .
- ii)  $F_t(X) \subset \mathbb{C}(X')$ .
- iii) For every  $T'$ -stable maximal affine chart  $X'_i = X'_{[\sigma_{ii}, i]}$  of  $X'$  we have

$$F_t(f^{-1}(X'_i)) \subset q_{X'}^{-1}(X'_i).$$

**Proof.** Assertion i) is clear by definition. We verify ii): Let  $x \in X$  be any point. Consider the set

$$J := \{k \in R; f(x) \in D_k\}.$$

Let  $[\tau, j] \in \Omega(\mathcal{S})$  be the element with  $f(x) \in T' \cdot x_{[\tau, j]}$ . By definition of the set  $J$ , one has

$$k = [\varrho, i] \prec [\tau, j] \Leftrightarrow k \in J.$$

As in Section 1 let  $\tilde{\Delta}$  denote the fan describing  $\mathbb{C}(X')$ . The cone above  $[\tau, j]$  is

$$\sigma := \text{cone}(e_{[\varrho, i]}; [\varrho, i] \in J) \in \tilde{\Delta}.$$

Note that the coordinates of the distinguished point  $x_\sigma \in \mathbb{C}(X')$  satisfy

$$(x_\sigma)_k = 0 \Leftrightarrow k \in J.$$

On the other hand by i),  $F_t(x)_k = 0$  if and only if  $k \in J$ . This implies  $F_t(x) \in (\mathbb{C}^*)^s \cdot x_\sigma \in \mathbb{C}(X')$ .

In order to check iii), assume that there exists a point  $x \in f^{-1}(X'_i)$  with  $F_t(x) \notin q_{X'}^{-1}(X'_i)$ . Then Remark 1.3 implies that  $q_{X'}(F_t(x)) \in D_k$  for some  $k = [\varrho, j] \not\prec [\sigma_{ii}, i]$ . Therefore

$$F_t(x) \in q_{X'}^{-1}(D_k) = |\text{div}(x_k)|$$

holds, or in other words,  $F_t(x)_k = 0$ , and hence by i),  $x \in f^{-1}(D_k)$ . This in turn implies  $f(x) \in X' \setminus X'_i$ , and we arrive at a contradiction.  $\square$

**Proof of Theorem 2.1.** Of course, we may assume that  $X = \mathbb{C}(X)$ . If  $X' = X'' \times T''$  holds for some torus  $T''$  and a toric prevariety  $X''$ , then by definition,  $\mathbb{C}(X') = \mathbb{C}(X'') \times T''$  and  $q_{X'} = q_{X''} \times id_{T''}$ . Therefore any lifting  $F': X \rightarrow \mathbb{C}(X'')$  of  $f' := \text{pr}_1 \circ f$  yields a lifting  $F = (F', \text{pr}_2 \circ f): X \rightarrow \mathbb{C}(X')$  of  $f$ . Hence it suffices to treat the case that  $X'$  is non-degenerate.

In this situation we have the maps  $F_t$  defined above, and Lemma 2.3 shows that we can restrict the morphisms  $f$  and  $q_{X'} \circ F_t$  to obtain well-defined morphisms from  $f^{-1}(X'_i)$  to

$X'_i$  for every  $i \in I$ . Because  $X'_i$  is separated, it suffices to prove that for a suitable choice of  $t \in (\mathbb{C}^*)^s$  these morphisms coincide on the dense open subset  $f^{-1}(T' \cdot x_0)$  of  $f^{-1}(X'_i)$ . To this end it suffices to find a  $t \in (\mathbb{C}^*)^s$  such that the diagram

$$\begin{array}{ccc} \mathbb{C}(X) & \xleftarrow{F_t^*} & \mathbb{C}(C(X')) \\ f^* \swarrow & & \nearrow q^* \\ & \mathcal{O}(T' \cdot x_0) & \end{array}$$

is commutative. Recall that  $\mathbb{C}(C(X')) = \mathbb{C}[x_1, \dots, x_s]$  holds and that  $\mathcal{O}(T' \cdot x_0)$  is generated by the characters  $\chi^u$ ,  $u \in M := \text{Hom}(N; \mathbb{Z})$  of  $T'$ . For every  $u \in M$  we have

$$\begin{aligned} F_t^*(q_{X'}^*(\chi^u)) &= F_t^* \left( \prod_{k=1}^s x_k^{\langle u, v_k \rangle} \right) \\ &= \prod_{k=1}^s (t_k h_k)^{\langle v_k, u \rangle} \\ &= \left( \prod_{k=1}^s t_k^{\langle v_k, u \rangle} \right) \left( \prod_{k=1}^s h_k^{\langle v_k, u \rangle} \right). \end{aligned}$$

On the other hand, the character  $\chi^u$  defines a  $T'$ -stable divisor on  $X'$ , namely  $\sum_k \langle v_k, u \rangle D_k$ . Pulling back this divisor to  $X$  we obtain

$$\text{div}(f^*(\chi^u)) = f^*(\text{div}(\chi^u)) = \sum_{k=1}^s \langle v_k, u \rangle f^*(D_k) = \sum_{k=1}^s \langle v_k, u \rangle \text{div}(h_k) = \text{div} \left( \prod_{k=1}^s h_k^{\langle v_k, u \rangle} \right).$$

This calculation shows that  $\text{div}(f^*(\chi^u)) = \text{div}(F_t^*(q^*(\chi^u)))$ , and hence  $f^*(\chi^u)$  can only differ from  $F_t^*(q^*(\chi^u))$  by some constant.

Now, let  $u_1, \dots, u_n$  be a  $\mathbb{Z}$ -basis of  $M$ . Then for every  $u_j$  there is a constant  $c_j$  with  $f^*(\chi^{u_j}) = c_j \prod_{k=1}^s h_k^{\langle v_k, u_j \rangle}$ . We consider the following system of equations:

$$\prod_{k=1}^s t_k^{\langle v_k, u_j \rangle} = c_j, \quad j = 1, \dots, n.$$

We claim that there is a solution  $t = (t_1, \dots, t_s)$  of this system. To check this, recall that the homomorphism from  $(\mathbb{C}^*)^s$  to  $T' = (\mathbb{C}^*)^n$  associated to the toric morphism  $q_{X'}$  is given by

$$\varphi: (t_1, \dots, t_s) \mapsto \prod_{k=1}^s t_k^{\langle v_k, u_j \rangle}.$$

Thus the existence of a solution  $t$  follows from the surjectivity of  $\varphi$ , and for this  $t$  the above diagram is commutative.  $\square$

### 3 Decomposition of Morphisms

As before let  $X$  denote a non-degenerate toric variety. Let  $T$  be the acting torus of  $X$  and let  $H \subset T$  be a closed subgroup. We prove the following decomposition result for regular maps:



**3.1 Theorem.** *Let  $f: X \rightarrow Z$  be an  $H$ -invariant regular map to a smooth algebraic variety  $Z$ . Then there exists a dominant  $H$ -invariant toric morphism  $g: X \rightarrow X'$ , an open subset  $U \subset X'$  with  $g(X) \subset U$  and a regular map  $h: U \rightarrow Z$  such that  $f = h \circ g$ .*

We apply this result to investigate quotients. We formulate our statement in the category of so-called *dc-subsets*, introduced by A. Białyński-Birula: The objects of this category are pairs  $(U, X)$ , where  $U$  is a dense constructible subset of an algebraic variety  $X$ . A morphism from a pair  $(U, X)$  to  $(U', X')$  is given by a rational map  $\varphi: X \rightarrow X'$  such that  $U$  is contained in the domain of definition of  $\varphi$  and that  $\varphi(U) \subset U'$ .

Now suppose that we are given an algebraic action of an algebraic group  $G$  on a variety  $X$ , and let  $U$  be a  $G$ -stable dense constructible subset of  $X$ . Then a categorical quotient for the action of  $G$  on  $(U, X)$  in the category of dc-subsets is defined to be a  $G$ -invariant morphism  $p$  from  $(U, X)$  such that every  $G$ -invariant morphism from  $(U, X)$  factors uniquely through  $p$ .

We turn back to the action of the algebraic subgroup  $H$  of the acting torus on  $X$ . A *toric quotient* for the action of  $H$  on  $X$  is a toric morphism  $p: X \rightarrow X \int_{\text{tor}} H$  that is universal with respect to  $H$ -invariant toric morphisms. Such a toric quotient does always exist:

According to [AC,Ha;1] there is a toric quotient  $p_0: X \rightarrow X \int_{\text{tor}} H^0$  for the action of the identity component  $H^0$  of  $H$ . The quotient space  $X \int_{\text{tor}} H^0$  is again a toric variety with an acting torus  $T_0$  that is a quotient of  $T/H^0$ . Note that the action of a finite subgroup of the acting torus on a toric variety always admits a geometric quotient that is automatically a toric quotient. So we can divide the space  $X \int_{\text{tor}} H^0$  by the induced action of the finite group  $H/H^0$  to obtain a toric quotient  $p: X \rightarrow X \int_{\text{tor}} H$  for the action of  $H$  on  $X$ .

Now, assume that  $X$  is smooth. As an immediate consequence of the above decomposition theorem we obtain the following existence results for categorical quotients:

**3.2 Corollary.** *If the toric quotient space  $X \int_{\text{tor}} H$  is smooth, then the map  $X \rightarrow p(X)$  induced by the toric quotient  $p$  of  $X$  by  $H$  is a categorical quotient in the category of smooth dc-subsets.  $\square$*

**3.3 Corollary.** *If moreover the toric quotient  $p$  is surjective, then it is a categorical quotient in the category of smooth algebraic varieties.  $\square$*

**Proof of Theorem 3.1.** First w.l.o.g. we can make some assumptions on the situation. Consider Cox's construction for  $X$  to obtain a toric morphism  $p: C(X) \rightarrow X$ , where  $C(X)$  is an open toric subvariety of some  $\mathbb{C}^n$  with at least 2-codimensional boundary and  $p$  is a good (and hence also toric) quotient for the action of  $H_1 := p^{-1}(x_0)$  on  $C(X)$ .

Now suppose that we can decompose the  $H' := p^{-1}(H)$ -invariant morphism  $f_1 := f \circ p$  into  $f_1 = h_1 \circ g_1$  where  $g_1$  is an  $H'$ -invariant toric morphism, and where  $h_1$  is a regular map that is defined on an open neighbourhood  $U_1$  of the image of  $g_1$ . Since  $p$  is a toric quotient for the action of  $H_1 \subset H'$ , the toric morphism  $g_1$  factors through  $p$ . In other words there is a dominant  $H$ -invariant toric morphism  $g$  with  $g_1 = g \circ p$ . Moreover, since  $p$  is surjective, the image of  $g$  is contained in  $U_1$ . So  $f = h_1 \circ g$  is the desired decomposition. Therefore we can assume  $X = C(X)$  in this proof.

As a further simplification we note that it suffices to give a proof for the case that  $H$  is connected: Suppose that  $X'$ ,  $g$ ,  $h$  and  $U$  satisfy the assertion for the identity component  $H^0$  of  $H$ . Then  $g$  induces an action of  $\Gamma := H/H^0$  on  $X'$ . Let  $r: X' \rightarrow X''$  be the geometric quotient for this action.

By appropriate shrinking, we can achieve that  $U$  is  $\Gamma$ -invariant. Since  $r$  is geometric, this means that  $r^{-1}(r(U)) = U$  and hence  $r(U)$  is open in  $X''$  and the restriction  $r: U \rightarrow r(U)$  is again a geometric quotient for the action of  $\Gamma$ . The regular map  $h$  is necessarily  $\Gamma$ -invariant, and therefore factors through  $r$ . So one has  $h = h' \circ r$  for some regular map  $h': r(U) \rightarrow Z$ . It follows that  $f = h' \circ (r \circ g)$  is the desired decomposition. Consequently we may assume in our proof that  $H$  is connected.

After these preparations we can begin the proof of the theorem. By the modification of Włodarczyk's embedding theorem given in [Ha], there is a closed embedding  $\iota: Z \rightarrow Z'$  of  $Z$  into a toric prevariety  $Z'$  of affine intersection. As [Wł] and [Ha], Proof of Theorem 9.1 and Proposition 1.1 iv) show, we can choose  $Z'$  to be smooth.

In order to obtain the desired factorization of  $f$ , we can view  $f$  as map from  $X$  to the closure  $Y$  of  $f(X)$  in  $Z$ . Though  $Y$  is possibly singular, we still have an embedding  $\iota: Y \rightarrow Z'$  into the smooth toric prevariety  $Z'$  of affine intersection. Let  $Y' \subset Z'$  the minimal orbit closure of the acting torus of  $Z'$  such that  $\iota(Y) \subset Y'$ . Note that  $Y'$  is again a smooth toric prevariety of affine intersection and that  $\iota(f(X))$  intersects the open orbit of  $Y'$ .

As explained in Section 1, we can present  $Y'$  as a good prequotient  $q: \mathbb{C}(Y') \rightarrow Y'$  of an open toric subvariety  $\mathbb{C}(Y')$  of some  $\mathbb{C}^s$ . Let  $F: X \rightarrow \mathbb{C}(Y')$  be the lifting of  $\iota \circ f$  with respect to  $q$  as it was constructed in Theorem 2.1. Recall that each component of  $F$  is in fact a polynomial that is homogeneous with respect to some character of  $H$ .

By writing the components of  $F$  as sums of monomials, we obtain a decomposition of  $F$  in the form  $F = S \circ g'$ , with a toric morphism  $g': X \rightarrow \mathbb{C}^r$  and a linear map  $S: \mathbb{C}^r \rightarrow \mathbb{C}^s$ . Note that  $S$  is  $H$ -equivariant with respect to the actions of  $H$  induced on  $\mathbb{C}^r$  by  $g$  and on  $\mathbb{C}^s$  by  $F$ .

Let  $W$  denote the normalization of the closure of  $g'(X)$  in  $\mathbb{C}^r$ . Then  $W$  is an affine toric variety with big torus  $T_W := g'(T_X)$ . We can lift the morphism  $g'$  to a dominant toric morphism  $\hat{g}: X \rightarrow W$ , and pull back  $S$  to a regular map  $\hat{S}: W \rightarrow \mathbb{C}^s$ . Both,  $\hat{g}$  and  $\hat{S}$ , are again equivariant for the induced  $H$ -action on  $W$ . So far we are in the following situation:

$$\begin{array}{ccc}
 W & \xrightarrow{\hat{S}} & \mathbb{C}^s \\
 \hat{g} \uparrow & & \cup \\
 X & \xrightarrow{F} & \mathbb{C}(Y') \\
 f \downarrow & & \downarrow q \\
 Y & \xrightarrow{\iota} & Y' \quad .
 \end{array}$$

The subset  $V := \widehat{S}^{-1}(C(Y'))$  is open in  $W$  and  $H$ -stable. Since the above diagram is commutative, we have  $\widehat{g}(X) \subset V$ . Note that  $\widehat{g}(X)$  is dense in  $V$ . Thus, setting  $Y := q^{-1}(\iota(Y))$  we obtain

$$\overline{\widehat{S}(V)} = \overline{\widehat{S}(\widehat{g}(X))} = \overline{F(X)} \subset Y,$$

where the closures are taken in  $C(Y')$ . In particular it follows that  $\widehat{S}(V) \subset Y$ . We claim that the restriction  $\widehat{S}: V \rightarrow Y$  is an affine map. To check this, note first, that  $\widehat{S}: W \rightarrow \mathbb{C}^r \rightarrow \mathbb{C}^s$  as a composition of affine maps is affine. Thus  $\widehat{S}: V \rightarrow C(Y')$  is affine. Since  $Y$  is closed in  $C(Y')$  our claim follows.

Moreover, the restriction of  $q$  to  $Y$  yields an affine  $H$ -invariant morphism  $q: Y \rightarrow i(Y)$ . So  $q \circ \widehat{S}: V \rightarrow i(Y)$  is an affine  $H$ -invariant regular map. With [Ra], Lemma 4.1 (see also [Ne], Prop. 3.12), we can conclude that  $V$  admits a good quotient  $\circ: V \rightarrow V//H$  for the action of  $H$ . So we obtain the following commutative diagram of regular maps:

$$\begin{array}{ccc} V & \xrightarrow{\widehat{S}} & Y \\ \circ \downarrow & & \downarrow q \\ V//H & \xrightarrow{h'} & \iota(Y) \end{array}$$

By a result of J. Swiecicka (see [Sw]), there is an open toric subvariety  $V_1$  of  $W$  containing  $V$  that has a good toric quotient  $\circ_1: V_1 \rightarrow V_1//H$  such that the map  $V//H \rightarrow V_1//H$  induced by  $V \subset V_1$  is an open inclusion.

As the final diagram shows, the data for the desired decomposition of  $f$  are the toric variety  $X' := V_1//H$ , the open subset  $U := V//H$ , the toric morphism  $g := \circ_1 \circ \widehat{g}$  and the regular map  $h := \iota^{-1} \circ h'$ :

$$\begin{array}{ccccc} X & \xrightarrow{\widehat{g}} & V & \subset & V_1 \\ & g \searrow & \circ \downarrow & & \downarrow \circ_1 \\ f \downarrow & & V//H & \subset & V_1//H \\ & h \swarrow & h' \downarrow & & \\ Y & \cong & \iota(Y) & & . \quad \square \end{array}$$

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