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Jürgen Hausen

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# On Moishezon Spaces that are Compactifications of Reductive Groups

Jürgen Hausen<sup>1</sup>

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Fakultät für Mathematik und Informatik  
Universität Konstanz

## 1 Introduction

A compact complex space  $X$  is called a *Moishezon space* if each irreducible component  $X_i$  of  $X$  has  $\dim_{\mathbb{C}} X_i$  algebraically independent global meromorphic functions. A point  $x$  of a Moishezon space  $X$  is *schematic* if there exist meromorphic functions on  $X$  that provide local coordinates at  $x$ .

In general the set of non schematic points of a normal Moishezon space  $X$  is analytic of codimension at least 2, and  $X$  is algebraic if and only if every  $x \in X$  is schematic. So it is natural to look for criteria for a point  $x \in X$  to be schematic.

In the present article we consider normal Moishezon spaces  $X$  that are equivariant compactifications of a reductive complex Lie group  $G$  in the following sense:  $G$  acts algebraically on  $X$  (see section 2) and there exists a point  $x_0 \in X$  with open dense orbit  $G \cdot x_0$  and trivial isotropy group  $G_{x_0}$ .

D. Luna proved (see [Lu], Proposition): If a smooth Moishezon space  $X$  is an equivariant compactification of  $G$  then every fixed point of  $G$  is schematic. The purpose of this note is to generalize Luna's result to a wider class of points. As a corollary of our result (see section 4) we obtain:

*Suppose that the normal Moishezon space  $X$  is an equivariant compactification of the reductive Lie group  $G$  and let  $p \in X$ . If the isotropy group  $G_p$  of  $p$  is reductive then  $p$  is schematic.*

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<sup>1</sup>email: Juergen.Hausen@uni-konstanz.de

## 2 Algebraic Group Actions on Moishezon Spaces

The purpose of this section is to define the concept of an algebraic action of an algebraic group on a Moishezon space. For the convenience of the reader we first recall some basic properties of Moishezon spaces in an elementary setting. A more advanced treatment of these facts is given in [Kn].

Let  $X$  be a Moishezon space of complex dimension  $n$  and let  $x_0$  be a schematic point of  $X$ . Then, by definition, there are meromorphic functions  $f_1, \dots, f_n$  on  $X$  that define local coordinates near  $x_0$ . Consider the meromorphic map

$$F : X \rightarrow \mathbb{P}_n, \quad x \mapsto [1, f_1(x), \dots, f_n(x)]$$

(for an introduction to meromorphic maps see for example [Do], section 2, or [Ue], section I.2). As in [Do], proof of Proposition 5, we see that the closure of the graph  $\Gamma(F) \subset X \times \mathbb{P}_n$  is a projective variety. Let

$$\text{pr}_X : \overline{\Gamma(F)} \rightarrow X, \quad (x, y) \mapsto x.$$

Denote by  $U \subset X$  the maximal (open) set such that  $x_0 \in U$  and the restriction of  $\text{pr}_X$  to  $\text{pr}_X^{-1}(U)$  is an isomorphism onto its image. Then we obtain (see [Do], Proposition 2):

**2.1 Remark.** *Every  $x \in U$  is schematic and  $X \setminus U$  is an analytic set in  $X$ . If  $X$  is normal then  $X \setminus U$  is of codimension at least two.  $\square$*

By Chow's Theorem we obtain that  $\text{pr}_X^{-1}(U)$  is a Zariski open subset of the projective variety  $\overline{\Gamma(F)}$ . In other words  $U$  is biholomorphically equivalent to an algebraic variety. Moreover, if  $x'$  is a second schematic point with local coordinates  $f'_1, \dots, f'_n$  then for the associated algebraic chart  $\text{pr}'_X$  we obtain that the transition map  $\text{pr}_X^{-1} \circ \text{pr}'_X$  is algebraic. So we conclude

**2.2 Proposition.** *The set  $X_{\text{sch}}$  of schematic points of  $X$  is an algebraic variety. Moreover  $A := X \setminus X_{\text{sch}}$  is an analytic set in  $X$  and if  $X$  is normal then  $A$  is of codimension at least two.  $\square$*

Now suppose we have a holomorphic action  $G \times X \rightarrow X$  of an algebraic group  $G$  on  $X$ . Then the set of schematic points of  $X$  is invariant under this action. We call the action of  $G$  on  $X$  *algebraic*, if the restricted action  $G \times X_{\text{sch}} \rightarrow X_{\text{sch}}$  is algebraic.

### 3 Meromorphic Continuation of $K$ -finite Functions

Let  $X$  be a reduced complex space with countable topology and let  $G$  be a reductive complex Lie group acting holomorphically on  $X$ . We fix a maximal compact subgroup  $K$  of  $G$  (consequently  $G$  is isomorphic to  $K^{\mathbb{C}}$ , the complexification of  $K$ ).

For every  $K$ -invariant open set  $U \subset X$  one defines a representation of  $K$  on the complex vector space  $\mathcal{O}(U)$  of holomorphic functions on  $U$  by setting  $(k \cdot f)(x) := f(k^{-1} \cdot x)$ . Similarly one defines a representation of  $K$  on  $\mathcal{M}(U)$ , the space of meromorphic functions on  $U$ .

A holomorphic function  $f$  defined on an open  $K$ -invariant set  $U \subset X$  is called  $K$ -finite if the set  $\{k \cdot f; k \in K\}$  is contained in a finitely generated vector subspace of  $\mathcal{O}(U)$ . For the proof of our main result we need the following (well-known, compare [Ri], sections 2 and 3) fact:

**3.1 Lemma.** *Let  $x$  be a point of a  $K$ -invariant Stein open set  $U \subset X$ . Then there exist  $K$ -finite functions  $f_1, \dots, f_r \in \mathcal{O}(U)$  that provide local coordinates at  $x$ .*

**Proof.** Since  $U$  is Stein, we can choose functions  $h_1, \dots, h_r \in \mathcal{O}(U)$  that provide local coordinates at  $x$ . A result of Harish-Chandra (see [Bo], Chap. 3) implies that the set of the  $K$ -finite functions of  $\mathcal{O}(U)$  is dense in  $\mathcal{O}(U)$  with respect to the topology of compact convergence. By replacing each  $h_i$  by a sufficiently good  $K$ -finite approximation  $f_i \in \mathcal{O}(U)$  of  $h_i$  we obtain the desired result.  $\square$

The rest of this section is devoted to give a condition on that locally defined  $K$ -finite functions can be extended to global meromorphic functions (see Lemma 3).

An open  $K$ -invariant set  $U \subset X$  is called  $K$ -irreducible, if there exists an irreducible component  $U'$  of  $U$  such that  $U = K \cdot U'$ . In particular the induced action of  $K$  on the set of the irreducible components of  $U$  is transitive.

For the algebra  $\mathcal{M}(U)^K$  of  $K$ -invariant meromorphic functions of a  $K$ -irreducible open set  $U$  we note:

**3.2 Lemma.** *Suppose that  $X$  is almost homogeneous with respect to the action of  $G$  (i.e., there exists an orbit  $W := G \cdot x_0$  that is open and dense in  $X$ ). Then  $\mathcal{M}(U)^K \cong \mathbb{C}$  for every  $K$ -irreducible open set  $U \subset X$ .*

**Proof.** Let  $f \in \mathcal{M}(U)^K$ . Since  $U$  is  $K$ -irreducible, it suffices to show that  $f$  is constant on a non empty open subset  $U_1$  of  $U$ . We choose a non empty open  $U_1 \subset W \cap U$  such that  $f$  is holomorphic on  $U_1$  and  $U_1$  is connected.

Now we fix a point  $x \in U_1$  and consider the isomorphism  $\varphi : G/G_x \rightarrow W$  induced by the orbit map  $g \mapsto g \cdot x$ . By assumption  $f \circ \varphi$  is constant on the (non empty) subset  $KG_x \cap \varphi^{-1}(U_1)$  of  $G/G_x$ .

Since  $\varphi^{-1}(U_1)$  is connected, we can apply the identity principle 1.3 of [He] to obtain that  $f \circ \varphi$  is constant on all  $\varphi^{-1}(U_1)$ . Clearly this implies that  $f$  is constant on  $U_1$ .  $\square$

**3.3 Lemma.** *Suppose that*

- i) *there exists an open dense orbit  $W := G \cdot x_0$  such that the isotropy group of  $x_0$  is trivial (in particular  $W$  is in a natural way an affine algebraic variety),*
- ii) *every rational function on  $W$  can be extended to a meromorphic function on  $X$ .*

*Then every  $K$ -finite function  $f$  defined on an open  $K$ -irreducible set  $U \subset X$  can be extended to a meromorphic function on  $X$ .*

**Proof.** First we consider the complex vector space  $V \subset \mathcal{O}(U)$  spanned by  $K \cdot f$ , where  $K$  acts on  $\mathcal{O}(U)$  as above. Then  $V$  is of finite dimension and the action of  $K$  on  $V$  is continuous linear.

Next let us consider the complex vector space  $\mathbb{C}[W]$  of regular functions of the affine variety  $W$ . By definition of the affine structure of  $W$  (property i)), the action of  $G$  on  $W$  is algebraic. Thus setting  $g \cdot h(x) := h(g^{-1} \cdot x)$  for  $h \in \mathbb{C}[W]$  yields a rational representation of  $G$  on  $\mathbb{C}[W]$ . By property ii) we have  $\mathbb{C}[W] \subset \mathcal{M}(X) \subset \mathcal{M}(U)$ .

Denote by  $R \subset \mathcal{M}(U)$  the  $\mathbb{C}$ -algebra generated by  $V$  and  $\mathbb{C}[W]$ . Then  $R$  is finitely generated and  $K \cdot R = R$ . Moreover the natural  $K$ -action on  $R$  can be uniquely extended to an action of  $G$  on  $R$  which is linear and rational. Hence  $R$  defines an affine  $G$ -variety  $Y := \text{spec}(R)$ .

The inclusion  $\mathbb{C}[W] \subset R$  gives rise to a  $G$ -morphism  $\varphi : Y \rightarrow W$ . We claim that  $\varphi$  is an isomorphism:

Since  $G$  acts freely on  $W$ , it follows that the restriction of  $\varphi$  to any  $G$ -orbit in  $Y$  is an isomorphism. In particular all  $G$ -orbits of  $Y$  have the same dimension. Hence every such orbit is closed in  $Y$ . Now, by Lemma 2, every  $G$ -invariant function lying in  $R \subset \mathcal{M}(U)$  is constant. But this implies that there exists precisely one closed orbit in  $Y$ . So we obtain our claim.

In particular this shows that  $\mathbb{C}[W] = R$ , so one has  $f \in \mathbb{C}[W] \subset \mathcal{M}(X)$ .  $\square$

## 4 Main Results

**4.1 Proposition.** *Let the normal Moishezon space  $X$  be an equivariant compactification of the reductive Lie group  $G \cong K^{\mathbb{C}}$ . Suppose that  $p \in X$  has an open Stein  $K$ -invariant neighbourhood  $U \subset X$ . Then  $p$  is schematic.*

**Proof.** First we check that the requirements of Lemma 3 are given. For i) it is sufficient to show that the complement of the open orbit  $W$  is an analytic set in  $X$ . But this is well known (compare for example [Po], Proposition 1).

Property ii) follows from the facts that  $X$  is a normal Moishezon space and  $G$  acts algebraically:  $W$  is an affine Zariski open subset of the algebraic variety  $X_{\text{sch}}$  of schematic points of  $X$ . So we get an isomorphism of the algebras of rational functions  $\mathbb{C}(W)$  and  $\mathbb{C}(X_{\text{sch}})$ . Since  $X \setminus X_{\text{sch}}$  is analytic of codimension  $\geq 2$  and  $X$  is normal, one obtains an embedding  $\mathbb{C}(X_{\text{sch}}) \rightarrow \mathcal{M}(X)$ .

So in our situation all the assumptions made on  $X$  and  $G$  in Lemma 3 are valid. According to Lemma 1 there exist  $K$ -finite functions  $f_1, \dots, f_r \in \mathcal{O}(U)$  that provide local coordinates at  $p$ . By appropriate shrinking we can achieve that  $K \cdot p$  meets every connected component of  $U$ . Since  $X$  is normal,  $U$  then has to be  $K$ -irreducible. So, by Lemma 3, each  $f_i$  can be extended to an element of  $\mathcal{M}(X)$ .  $\square$

**4.2 Corollary.** *Suppose that the normal Moishezon space  $X$  is an equivariant compactification of the reductive Lie group  $G$  and let  $p \in X$ . If the isotropy group  $G_p$  of  $p$  is reductive then  $p$  is schematic.*

**Proof.** Let  $K$  be a maximal compact subgroup of  $G$ . Since the isotropy group of  $p$  is reductive, it follows that there exists a  $g \in G$  such that  $y := g \cdot p$  is a totally real  $K$ -point (i.e., the inclusion  $K_y \subset G_y$  induces an isomorphism  $K_y^{\mathbb{C}} \rightarrow G_y$ , see [He;Lo], Section 2.2).

Clearly it suffices to show that  $y$  is schematic. But this follows from Proposition 4.1 and the fact that  $K \cdot y$  has open Stein  $K$ -invariant neighbourhoods in  $X$  (compare [He;Lo], Section 2.3).  $\square$

It should be mentioned, that our criterion for a point to be schematic is not a necessary condition: In the following we construct a smooth algebraic equivariant compactification  $X$  of  $SL(2, \mathbb{C})$  such that there exist points in  $X$  that have no Stein  $SU(2)$ -invariant neighbourhood.

**4.3 Example.** Let  $B$  denote the Borel subgroup of  $SL(2, \mathbb{C})$  consisting of all upper triangular matrices of determinant one. We consider the (algebraic) action of  $B$  on  $\mathbb{P}_2$  given by

$$\begin{pmatrix} a & b \\ 0 & a^{-1} \end{pmatrix} \cdot [z_0, z_1, z_2] := [az_0 + bz_1, a^{-1}z_1, z_2].$$

Then  $p_0 := [0, 0, 1]$  is a fixed point of this action and for  $p_1 := [1, 1, 1]$  the orbit map  $B \rightarrow \mathbb{P}_2$ ,  $h \mapsto h \cdot p_1$  is an open embedding. We set

$$X := SL(2, \mathbb{C}) \times_B \mathbb{P}_2 := (SL(2, \mathbb{C}) \times \mathbb{P}_2) / B,$$

where  $B$  acts on  $SL(2, \mathbb{C}) \times \mathbb{P}_2$  by  $h \cdot (g, p) := (gh^{-1}, h \cdot p)$ . Endowed with the  $SL(2, \mathbb{C})$ -action defined by

$$g_1 \cdot [g, p] := [g_1 g, p],$$

$X$  is a smooth algebraic equivariant compactification of  $SL(2, \mathbb{C})$  (in fact the orbit map  $g \mapsto g \cdot [e, p_1]$  is an open embedding with dense image). The orbit

$$A := SL(2, \mathbb{C}) \cdot [e, p_0] \cong SL(2, \mathbb{C})/B$$

is a compact curve in  $X$ . Now every element  $g$  of  $SL(2, \mathbb{C})$  can be written as a product  $g = uh$  with  $u \in SU(2)$  and  $h \in B$ . Hence it follows that

$$SU(2) \cdot [e, p_0] = A.$$

Since  $A$  is a compact curve in  $X$ , it cannot be contained in any open Stein neighbourhood of  $[e, p_0]$ . In particular the point  $[e, p_0]$  does not possess a  $SU(2)$ -invariant open Stein neighbourhood in  $X$ .

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