Palaeoecological evidence for Mesolithic to Medieval climatic change and anthropogenic impact on the Alpine flora and vegetation of the Silvretta Massif (Switzerland/Austria)

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ABSTRACT

In a high altitude region such as the Silvretta Alps (Switzerland/Austria), past and extant settlement activities are known to have had large influences on the alpine flora and vegetation. The Silvretta Massif harbors more than 230 archaeological sites above 2000 m a.s.l. on a total area of 550 km², from the Mesolithic period to Modern Times, but received little attention in these matters up to recently. The Fimba Valley within the Silvretta area with 47 known archaeological sites (6 prehistoric, 21 from the Medieval and/or Modern Times, 20 undated) located over an area of 62 km² provides evidence of a broad range of former human presence, as well as peat records allowing the reconstruction of Holocene climatic change and anthropogenic impact on past vegetation. Here, we present a high resolution, multi proxy study (including pollen, cryptogam spores, and non pollen palynomorphs) on a 177 cm long radiocarbon dated peat core from the Las Gondas Bog in the Fimba Valley (2363 m a.s.l.). Palynological evidence adds and confirms previous dendrochronological results, revealing extensive high *Pinus cembra* (Arolla pine) stands around the bog at 10,400 cal BP and between ca. 8600–6700 cal. BP, more than 300 altitudinal meters above today's timberline, and belonging therefore to the highest population known for Central Europe. In addition, our palaeoecological results correlate well with the archaeologically known human impact during the Neolithic, Iron Age and Medieval periods. The exploitation of alpine landscape resources (cultivation of cereals in the valleys) and livestock grazing (in the subalpine and alpine areas) has therefore a long tradition going back at least for 6200 years in the Silvretta region.

Keywords:

Holocene
Eastern Alps
Palaeoclimate
Timberline
Pastoral Activity
Non-Pollen Palynomorphs

1. Introduction

Understanding today's climate change and its impact on human societies is dependent on the accurate knowledge of historical and prehistorical interactions between humans and their environment. However, few environmental archaeological studies have explored palaeoecological proxies in the context of archaeological settlements at subalpine and alpine altitudes so far (Ejarque et al., 2010). The impact of past climatic change remains less investigated in alpine than in pre alpine environments (Kofler et al., 2005). The Silvretta Massif with its passes is one of the possible (pre-)historical

exchange routes through the Eastern Alps (Reitmaier, 2012; Reitmaier et al., 2013). Silex flints from the Lake Garda area (Northern Italy) were found in several archaeological sites north of the Alps, notably in the Neolithic pile dwelling village of Arbon Bleiche 3, Lake Constance, Switzerland (Jacomet, 2008), implying their long range transport. In addition, mountainous regions such as the Silvretta Alps are highly sensitive to microclimatic alterations. Such perturbations, even if small, may have had a large effect on subalpine settlement activities and the former use of natural resources (for instance, timberline lowering due to high alpine climatic change and/or pastoral activities). Climatic fluctuations may also have influenced anthropogenic activities above the former timberline in several regions of the Alps as reflected in the numerous archaeological sites dating from the Mesolithic to Medieval Times. Until 2007, the Silvretta archaeological potential

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received little attention, but since then more than 230 archaeological sites (e.g. settlement structures, abris, and livestock enclosures) were discovered above 2000 m a.s.l., inventoried, and excavated on this 550 km² area, from which 47 sites (including 6 prehistoric, 21 from the Medieval and/or Modern Times) are located in the Fimba Valley (Reitmaier, 2012; Reitmaier et al., 2013).

Alpine palynological studies are of prime importance to reconstruct past vegetation as well as to reveal human presence and its impact due to the exploitation and management of natural landscape resources (Thiébaud, 2010). It is well acknowledged that all types of vegetation assemblage are significantly impacted by human presence, livestock grazing and landscape management. There is global consensus that pollen and spores are of invaluable interest to reconstruct past vegetation composition and its evolution and to reveal the impact of climatic change and human presence on natural resources (Behre, 1981, 1988; Berglund et al., 1991; Latalowa, 1992; van Geel et al., 2003; Graf and Chmura, 2006; Brun, 2011). Furthermore, non pollen palynomorphs (NPPs, such as algal cysts, fungal spores, zoological microfossils) help to interpret general pollen data, especially because of the good knowledge of their ecological requirements and their local dispersion (van Geel et al., 2003; Graf and Chmura, 2006; van Geel and Aptroot, 2006). Moreover, local scale palaeoecological studies are most likely to reveal human–environment relationships, especially regarding coprophilous fungi, which can be considered as indicators for livestock grazing pressure (Blackford and Innes, 2006; Davis and Shafer, 2006; Dearing et al., 2006; Gauthier et al., 2010; Laine et al., 2010; Dietre et al., 2012). NPPs therefore help as proxies to interpret results obtained by environmental archaeology (van Geel et al., 2003).

Here, we present the palaeoecological study of the Las Gondas Bog, Fimba Valley, Switzerland, performed on a peat core from the central, deepest part of this bog, which was built up after the retreat of the Late Glacial ice masses around 11,000 cal BP (Hertl and Kerschner, 2001). The bog area has formerly been studied on a nearby 148 cm long peat core in a first overview work (Pott et al., 1995; Bauerochse and Katenhusen, 1997) for pollen and cryptogam spores. In the present new study, a high resolution dated multi proxy palaeoecological approach was adopted, combining the analysis of plant pollen and cryptogam spores, as well as NPPs and macrofossils, on a 177 cm long peat core from the Las Gondas Bog, more than 300 altitudinal meters above today's timberline.

2. Regional setting

The Silvretta mountain range (Switzerland/Austria, Fig. 1) is a 771 km² massif located in the center of the Alps, within the Central Eastern Alps (Brachmann, 1979). Geologically, the Silvretta Massif is mainly composed of gneiss, marble, and quartzite due to high metamorphism (Frei et al., 1995). The highest mountain peak is Piz Linard (3411 m a.s.l.) on the Swiss side of the massif. The mean annual precipitation is 900 mm on the northern side, and 695 mm on the southern side of the Silvretta Alpine ridge, and the annual mean temperatures are equal to 3 °C and 5 °C, as recorded by the nearby weather stations located at Galtür (Austria, 1589 m a.s.l.) and Scuol (Switzerland, 1253 m a.s.l.), respectively (Bauerochse and Katenhusen, 1997). The Fimba Valley is located north of the Silvretta Alpine ridge and joins the lower lying Paznaun Valley at the village of Ischgl (Austria). Because of historical land use and legacy reasons, the northern and lower parts of the Fimba Valley belong to

Fig. 1. Location of the Silvretta Massif and Fimba Valley in the Eastern Alps (Switzerland/Austria) and location of known archaeological sites of the Silvretta Massif (above 1500 m a.s.l., circles) and of the Lower Engadine (below 1500 m a.s.l., triangles). The star marks the location of the Las Gondas Bog. Source Digital Terrain Model: ASTER GDEM (ASTER GDEM is a product of METI and NASA). Source country-borders: <http://diva-gis.org/gdata>.

Austria, while the southern uppermost parts belong to Switzerland. The Las Gondas Bog (Fig. 2) is located in the upper Swiss part of the Fimba Valley, at 46.902083 N, 10.257277 E (2363 m a.s.l.), where it is neighbored by several archaeological sites (Fig. 3).

3. Material and methods

3.1. Palynology

A 177 cm long peat core was extracted from the Las Gondas Bog in 2008 with the help of a 50 cm long Russian peat corer of 5 cm width. The core was thereafter stored in a cold chamber (at 4 °C) until sample preparation and chemical treatment. Fifty eight sediment samples of 1 cm³ each were taken along the peat core every 4 cm and at 1 cm resolution from 54 to 37 cm depth due to high compaction of the sediment (see below). Each sample received a defined number of *Lycopodium clavatum* spores for calculation of palynological concentration and influx (Stockmarr, 1971; Maher, 1981). The sediment fractions 7–150 µm were chemically prepared following the protocol by Seiwald (1980), and by using a chlorification step, 1 min acetolysis, as well as hydrofluoric acid (concentration 10%, warm, to remove silt), and a final staining with fuchsin in glycerine. Pollen and spores were quantified using an Olympus BX50 light microscope at ×400 magnification (including phase contrast) and were identified according to the reference collection of the Institute of Botany, University of Innsbruck and the literature (Moe, 1974; Punt, 1976; Punt and Clarke, 1980, 1981, 1984; Punt et al., 1988; Punt and Blackmore, 1991; Reille, 1992; Fægri et al., 1993; Beug, 2004). At least 500 tree pollen were counted for each sample, except for the samples at 151 and 27 cm depth, where 212 and 389 tree pollen were counted, respectively, because of low pollen abundance. Pollen of *Pinus mugo*, *Pinus sylvestris* type and undefined pine pollen are gathered among the taxa *Pinus*. *Alnus glutinosa* type and *Alnus viridis* were not distinguished from each other. However, most *Alnus* pollen counted belonged to *Alnus viridis* (syn. *Alnus alnobetula*) throughout the Las Gondas stratigraphy. Cerealia type refers to Poaceae pollen larger than 40 µm, and were determined according to morphological characteristics (Beug,

2004; Colombaroli et al., 2013). Micro charcoals (size class 7–150 µm) were identified as black, angular, and opaque particles (Swain, 1973; Patterson et al., 1987) and counted on the same palynological slides and calculated compared to the sum of terrestrial pollen taxa, excluding Cyperaceae, or as influx values (see below). NPPs were identified in parallel to pollen counting using available literature (e.g. van Geel et al., 2003; van Geel and Aptroot, 2006; Cugny et al., 2010). NPP nomenclature follows current common rules (Miola, 2012) with the abbreviations HdV xxx (Hugo de Vries Laboratory, University of Amsterdam, Amsterdam, The Netherlands), for the according laboratory that described them first. Unidentified and potentially new NPP types were named as IIB 10xx (IIB for Innsbruck Institute for Botany, University of Innsbruck, Innsbruck, Austria).

3.2. Chronology

Ten radiocarbon dates were obtained along the peat core using plant macroremains. Samples were pre treated to remove potential young and old contamination with carbonates and humic acids (Hajdas, 2008). The AMS analyses were performed at the ETH Zürich, Switzerland, using the dedicated system of MICADAS (Synal et al., 2007). The ¹⁴C ages were calculated according to Stuiver and Polach (1977), calibrated using the software *clam* (Blaauw, 2010; version 2.1) and the INTCAL09 calibration curve (Reimer et al., 2009), and drawn using the package *ggplot2* (Wickham, 2009; version 0.9.3.1) within the statistical software *R* (R Core Team, 2013; version 3.0.2). The calibrated ages are reported in calendar years before present (i.e. AD 1950, hereafter cal. BP) with 95% confidence interval (2σ). The age depth model was drawn using linear interpolation between calibrated dates and the surface date (AD 2008 coring).

3.3. Data presentation

The palynological diagrams of the relative occurrence and influx of selected pollen, spores, and NPPs were drawn using the software *Tilia* (Grimm, 2011, 2013; versions 1.7.16 and 2.0.4, respectively). All taxa were expressed as a percentage of the sum of terrestrial pollen

Fig. 2. Photograph of the Las Gondas Bog and the AD 2008 coring location (in the center) in the upper Fimba Valley, Switzerland (Photography: Irina Anich, 2011).

taxa (excluding Cyperaceae). Influx amounts are expressed as microfossils.cm⁻².y⁻¹. Local pollen assemblage zones (LPAZ) were distinguished by CONISS clustering (stratigraphically constrained incremental sum of squares, Grimm, 1987), using a square root transformation of terrestrial pollen taxa percentages. The relevant number of zones to consider was ascertained according to the broken stick model (MacArthur, 1957; Bennett, 1996), with the help of the package *rioja* (Juggins, 2012; version 0.8.5), within the software *R* (R Core Team, 2013; version 3.0.2) and with the same clustering method. The zones were incrementally numbered from the oldest to the newest, and sub zones were distinguished by letters (i.e. a, b). The entire palynological dataset will be stored at the European Pollen Database (EPD) in due time.

4. Results

4.1. Chronology of the Las Gondas Bog stratigraphy

The radiocarbon dates (Table 1) and the corresponding age depth model (Fig. 4a) reveal that the sedimentation of Las Gondas peat started at about 10,450 cal. BP. Thus, the peat stratigraphy records most of the Holocene vegetation development. The bog grew at an accumulation rate of 25–44 y.cm⁻¹ during the first part of the Holocene (to ca. 6400 cal. BP). Thereafter, the accumulation rate was 115 y.cm⁻¹ for about 900 years (Fig. 4b). It was followed by a phase of very slow peat growth until 2200 cal. BP (310 y.cm⁻¹), visible already during coring in the field as highly compacted, finely laminated peat from 48 to 37 cm (Figs. 4 and 5). Finally, for the last 2200 years, peat accumulated faster (50–74 y.cm⁻¹).

4.2. Flora and vegetation development

Within the 58 peat samples, 102 pollen and spores taxa and 142 NPP taxa were identified, including 60 NPPs potentially described for the first time for alpine and subalpine environments (some are shown on Fig. 6). The most relevant taxa are major tree taxa, herb taxa indicating human impact, pasture and grazing (Fig. 7), as well as spores from coprophilous fungi and micro charcoal particles (Fig. 8). The palynological samples were sorted by the CONISS clustering method according to their terrestrial pollen assemblages. The resulting classification and the broken stick model suggest the existence of four local pollen assemblage zones (LPAZ 1–4, with two sub zones in each of the first three main zones).

4.2.1. LPAZ 1 (177–113 cm, 10,450–8300 cal. BP)

The peat sedimentation of the Las Gondas Bog started at about 10,450 cal. BP. The first local pollen assemblage zone was characterized by important proportions of arboreal pollen taxa (90–95% of the pollen sum), mainly represented by high pollen values of

Pinus cembra (also present as needles, Anich, 2013), *Pinus* (mainly *Pinus mugo*), *Corylus avellana*, as well as by high values of Cyperaceae and the unknown NPP type IIB 1034 (Figs. 6–8). *Ulmus* was found in amounts higher than 1% (and up to 6.8% at 10,200 cal BP) exclusively during this zone. The first sub zone LPAZ 1a (10,450–9300 cal BP) exhibited the highest records of *Corylus avellana* (39%) of the entire stratigraphy around 10,070 cal BP, as well as a micro charcoal particles peak at ca. 9700 cal. BP. Relatively high values of spores of Sordariaceae, *Cercophora*, *Gaeumannomyces*, *Microthyrium*, *Meliola* and *Valsaria variopora* (HdV 140, van Geel et al., 1983, Fig. 6) were found, and Volvocaceae (HdV 128B) were present almost exclusively during this sub zone (Fig. 8). The second sub zone LPAZ 1b (9300–8300 cal BP) differed from LPAZ 1a by decreasing *Pinus*, *Corylus* and Cyperaceae values, and by some tree taxa that reached slightly higher amounts, namely *Picea abies*, *Abies alba*, *Tilia*, and *Betula* (Fig. 7). As reaction to the tree cover decline, Poaceae, Apiaceae, *Thalictrum* and *Ranunculus acris* type increased. Micro charcoal particles were found less often, and the amoebozoia *Arcella* and *Centropyxis* appeared for the first time (Fig. 8).

4.2.2. LPAZ 2 (113–53.5 cm, 8300–6300 cal. BP)

The second zone was characterized by steady amounts of *Pinus* and consistently high values of *Pinus cembra* (higher than 5%, except for ca. 7600–7300 cal BP, Fig. 7). Some NPPs, such as sterile fungal stroma (IIB 1048) and conifer wood particles (IIB 1036, probably from *Pinus cembra*; Fig. 6), were present almost exclusively during this zone (Fig. 8). The sub zone LPAZ 2a (8300–7400 cal BP) registered high values of *Picea abies* and *Abies alba* (to 25.9% and 5.7%, respectively). The representation of *Salix* and *Alnus* (i.e. *Alnus viridis*) increased, while the pollen values of *Ulmus*, *Tilia*, *Betula*, and *Corylus avellana* decreased. Non arboreal taxa (i.e. herbs), were generally less present than in LPAZ 1b, main contributors were Apiaceae and *R. acris* type, together with Poaceae (Fig. 7). Less micro charcoal particles were found than in LPAZ 1 and less pollen of Cyperaceae were present (about 30–50% relatively to the pollen sum), but higher *Selaginella selaginoides* values. During LPAZ 2b (7400–6300 cal BP) the highest proportion of *Pinus cembra* (10.5%, ca. 7200 cal. BP) are found. Some non pollen palynomorphs presented their highest values almost exclusively during LPAZ 2b (Fig. 8). Some of these NPPs are spores from coprophilous fungi (Sordariaceae), loricae of the rotifer *Habrotricha angusticollis* (syn. *Callidina angusticollis*), and the dinoflagellates *Peridinium* (up to 8.3, 44.9 and 15.8% compared to the reference pollen sum). The fungus *Anthostomella cf. fuegiana* was mainly found during this zone, as well as the regulate fungal spore type IIB 1060 (Fig. 6).

4.2.3. LPAZ 3 (53.5–24 cm, 6300–1600 cal. BP)

The LPAZ 3 is characterized by decreasing pollen values of *Pinus* and *Pinus cembra*, and increasing *Picea abies* and *Alnus* (up to

Table 1

Radiocarbon dates obtained on terrestrial plant macrofossils from the Las Gondas peat bog stratigraphy (LGF-2008-1), Fimba Valley, Switzerland. AMS analyses were completed at the ETH Zürich, Switzerland. Calibrated ages are calculated at 95% confidence level (2σ) using the software *clam* (Blaauw, 2010; version 2.1) and INTCAL09 calibration curve of Reimer et al. (2009), within the statistical software *R* (R Core Team, 2013; version 3.0.2).

Laboratory number	Depth (cm)	Material	Dry weight (mg)	Age ¹⁴ C BP	δ ¹³ C	Age cal. BP (AD 1950, 2σ)
ETH-44678	17.7 18.5	Substantia lignosa	9	1355 ± 40	-26.1 ± 1.1	1261 ± 80
ETH-44679	36.5 37.5	Substantia lignosa	5	2220 ± 30	-24.8 ± 1.1	2241 ± 89
ETH-45937	47.5 48.5	Bryophytes stems indet.	12	4910 ± 45	-28.9 ± 1.1	5658 ± 71
ETH-43805	54.5 55.5	Roots, Prothallium indet.	2	5670 ± 55	-11.8 ± 1.1	6471 ± 155
ETH-45238	61.5 63.5	Substantia lignosa	1	5875 ± 35	-25.6 ± 1.1	6680 ± 105
ETH-43804	86.5 87.5	<i>Pinus</i> periderm, Substantia lignosa	4	6535 ± 35	-26.8 ± 1.1	7448 ± 110
ETH-43803	102.5 103.5	Rhizome of Cyperaceae	3	7020 ± 40	-27.9 ± 1.1	7852 ± 93
ETH-43074	129.5 130.5	Substantia lignosa	10	8105 ± 50	-24.7 ± 1.1	9023 ± 233
ETH-43073	158.5 159.5	Substantia lignosa	7	8850 ± 50	-27.6 ± 1.1	9953 ± 215
ETH-43072	174.5 175.5	Substantia lignosa	10	9240 ± 55	-24.9 ± 1.1	10,406 ± 150

Fig. 3. Location of Las Gondas Bog (star) in the Fimba Valley, Switzerland/Austria, with the 42 dated archaeological sites in the nearest surroundings, sorted by different periods (Mesolithic to Modern Times). Source orthophoto: ©SWISSTOPO Bundesamt für Landestopografie. Source country-borders: <http://diva-gis.org/gdata>.

30.0 and 29.1%, respectively, Fig. 7). In parallel, herb and fern taxa such as *R. acris* type, Poaceae, *Campanula/Phyteuma* type and Asteraceae (including Cichorioideae), as well as *Pteridium aquilinum*, *Botrychium* type, and monolet fern spores increased. In LPAZ 3a (6300–4250 cal BP) a more diversified range of open landscape and pastoral indicators was found (such as Chenopodiaceae, *Urtica* and *Artemisia*), as well as the first continuous occurrence of cereal pollen. This sub zone also showed a notable decline (or disappearance) of NPPs (Fig. 8). During LPAZ 3b (4250–1600 cal BP), tree pollen percentages decreased strongly to a total of 64% (Fig. 7), mostly because of the decrease of *Pinus* (to 15.7%), and in spite of the importance reached by *Alnus* (to 29.1%). Consequently, herb taxa reached high proportions, mostly due to Poaceae, Asteraceae and Cichorioideae (to 21.5, 2.9 and 4.9%), but also due to some pastoral, cultural and open landscape indicators, which showed continuous signals, such as Cerealia type, *Plantago* (several taxa), *Rumex acetosella* type, *Artemisia*, and *R. acris* type (to 0.8, 1.7, and 2.4%). Micro charcoal particles and Cyperaceae were found again in higher values, as well as *Corylus avellana*

(6.7%) around 1730 cal BP (Fig. 7). Additionally, several zoological NPPs, namely *Arcella*, *H. angusticollis*, *Gyratrix hermaphroditus* and *Microdalyellia armigera* appeared again after a period of lower occurrences (Fig. 8). The same accounted also for *Valsaria variopora* (Fungi) and *Zygnema* (Algae), whereas *Macrobiotus* (Tardigrada) and *Diffugia rubescens* (Rhizopoda) showed up for the first time in higher values (Fig. 8).

4.2.4. LPAZ 4 (24–0 cm, 1600 cal. BP – AD 2008)

The last zone was characterized by a low proportion of tree pollen (~43–68%), mainly due to a reduction of *Picea abies* and the increase of pastoral and cultural indicators such as Cerealia type, *Secale cereale*, *Urtica*, *R. acetosella* type, *Artemisia* and *R. acris* type (Fig. 7). Cichorioideae, Poaceae and Apiaceae increased as well. Modern Times (since AD 1500) can be set apart from the Medieval Period by the decrease of *Secale cereale* and important proportions reached by coprophilous fungi (Fig. 8) such as Sordariaceae, *Cercophora* and *Sporormiella* (up to 3.2, 5.6 and 55.0% of the pollen sum).

Fig. 4. Age-depth model (a) and accumulation rate (b) of the Las Gondas peat bog stratigraphy (LGF-2008-1), Fimba Valley, Switzerland, based on ten AMS radiocarbon dates (see Table 1). Chronological calibration was done using the software *clam* (Blaauw, 2010; version 2.1) and by using the calibration curves of Reimer et al. (2009).

5. Discussion

5.1. Climatic impact on the vegetation composition

5.1.1. Effect of climate variations on the location of the timberline

The treeline ecotone results from an equilibrium affected by both climatic and anthropogenic factors. It is known to be highly

Fig. 5. Photograph of the lower half (30–50 cm) of the peat core LGF-2008-1, from the Las Gondas Bog. A heavy compaction of the sediment is visible from 48 to 37 cm.

sensitive to temperature changes (Körner, 1998; Tinner and Kaltenrieder, 2005). The first two major changes in the pollen assemblages of the Las Gondas Bog sediment revealed by the clustering classification of samples (Fig. 7) coincide with known cold climatic phases. First, the transition from LPAZ 1 to LPAZ 2 (8300 cal. BP) can be linked to the so called 8.2 ka cold event (Haas et al., 1998; Tinner and Lotter, 2001). Second, the transition from LPAZ 2 to LPAZ 3 (6300 cal. BP) is synchronous with the Rotmoos 1 climatic deterioration (Bortenschlager, 1970; Magny et al., 2006). This concomitance between climatic phases and the statistical definition of LPAZ changes suggests a direct effect of climatic factors on the local vegetation. In this respect, the 8.2 ka cold event and the subsequent oceanic climatic conditions (cf. Tinner and Lotter, 2001) may have favored the growth of *Pinus cembra* at timberline and *Picea abies* and *Abies alba* (both below 2000 m a.s.l.), and may have been responsible for the strong and rapid decrease of *Corylus avellana* and *Ulmus* in the surrounding valleys (Fig. 7). Later, *Pinus mugo* and *Pinus cembra* stands were

Fig. 6. Photograph of selected and potentially novel non-pollen palynomorphs for alpine areas. **a.** *Valsaria variospora* (HdV-140), **b.** *Peridinium* (Dinoflagellata), **c.** IIB-1029 (sterile fungal stroma), **d.** IIB-1034 (indet.), **e.** IIB-1036 (conifer wood particle, cf. *Pinus cembra*), **f.** IIB-1047 (sterile fungal stroma), **g.** IIB-1048 (sterile fungal stroma, cf. TM-4034), **h.** IIB-1060 (indet. fungal spore rugulate), **i.** IIB-1064 (indet.), **j.** IIB-1063 (cf. *Cladocera* valve), **k.** IIB-1057 (root indet. cross section).

replaced by *Alnus* (mainly *Alnus viridis*). This is in agreement with other studies (e.g. Veski et al., 2004; Kofler et al., 2005; Tinner and Lotter, 2006; Finsinger and Tinner, 2007), and particularly with the one undertaken by Bauerochse and Katenhusen (1997) at the same bog location.

In parallel to these major changes that directly affected the presence and survival of species on a local level, climatic events also affected the quantitative representation of taxa. A decline of relative *Pinus* values after 9700 cal. BP (probably related to a reduction in locally growing *Pinus mugo*) is also indicated by the synchronous decline of *Pinus* influx values below $200 \text{ pollen.cm}^{-2}.\text{y}^{-1}$ (Fig. 9), followed by a general decline of conifers since 9300 cal. BP (Figs. 7 and 9). This massive *Pinus* decline is in line with the evidence

presented by Tinner and Kaltenrieder (2005) for the Swiss Central Alps. Thereafter, *Betula* reached its maximum occurrence between ca. 9200 and 8400 cal. BP as pioneer species (Fig. 7), meaning that the landscape became more open, as also shown by an expansion of Poaceae and Apiaceae (also indicated by influx values, Fig. 9; LPAZ 1b). Later, *Pinus cembra* values increased to more than 5% from ca. 8200 to 5650 cal. BP (except around ca. 8030, from ca. 7600 to 7300, and around 6000 cal. BP), and with values up to 10.5% around 7200 cal. BP (Fig. 7). This local presence of *Pinus cembra* is confirmed by according rises in influx values (Fig. 9). The highly significant Pearson's correlation coefficient (0.63) between *Pinus cembra* and *Salix* influx values (Fig. 9) suggests *Salix* shrubs to have been present in the understory of the *Pinus cembra* forest at that time. After

Fig. 7. Synthetical palynological diagram, time linear, of selected taxa from Las Gondas Bog, Fimba Valley, Switzerland, comprising pollen and cryptogam spores. Taxa are expressed as percentages compared to the pollen sum, made out of terrestrial plants, excluding Cyperaceae. The diagram was drawn using the software *Tilia* (Grimm, 2011; version 1.7.16). Unless otherwise noted, main tick marks represent 10%. Light color curves result from a tenfold exaggeration of the original curves. Cultural periods are ML: Mesolithic, NL: Neolithic, BA: Bronze Age, IA: Iron Age, RP: Roman Period, MP: Medieval Period, MT: Modern Times.

Fig. 8. Synthetical diagram, time linear, of selected non-pollen palynomorphs from Las Gondas Bog, Fimba Valley, Switzerland. Taxa are expressed as percentages compared to the pollen sum. The diagram was drawn using the software *Tilia* (Grimm, 2011; version 1.7.16). Unless otherwise noted, main tick marks represent 10%. Light color curves result from a tenfold exaggeration of the original curves. Cultural periods are ML: Mesolithic, NL: Neolithic, BA: Bronze Age, IA: Iron Age, RP: Roman Period, MP: Medieval Period, MT: Modern Times.

Fig. 9. Influx diagram of selected taxa from Las Gondas Bog, Fimba Valley, Switzerland, expressed as microfossils.cm⁻².year⁻¹. Beside the curve of *Pinus cembra*, bars show age ranges of dendrochronologically dated *Pinus cembra* stems found in the Las Gondas Bog peat (Nicolussi, 2012), and hexagons show macrofossil finds (needles) of *Pinus cembra* (Anich, 2013). The diagram was drawn using the software *Tilia* (Grimm, 2013; version 2.0.4). Unless otherwise noted, main tick marks represent 10 microfossils.cm⁻².year⁻¹. Cultural periods are ML: Mesolithic, NL: Neolithic, BA: Bronze Age, IA: Iron Age, RP: Roman Period, MP: Medieval Period, MT: Modern Times.

5500 cal. BP, *Pinus cembra* showed an important and steady decline towards extant values lower than 1%, representing today's *Pinus cembra* populations at around 2000 m a.s.l. in the Fimba Valley, ca. 400 m below the former natural *Pinus cembra* timberline.

Extraordinarily, several *Pinus cembra* tree stems were found within the Las Gondas Bog peat (Bauerochse and Katenhusen, 1997; Nicolussi, 2012; Remy, 2012). Six of them were dendrochronologically dated, and revealed the presence of adult trees around the bog from 8615 to 8344 cal. BP, and from 7554 to 6692 cal. BP (Nicolussi, 2012). During these periods, pollen of *Pinus cembra* reached values from 2.2 to 3.4%, and from 2.2 to 10.5% of the pollen sum, respectively. Influx values of *Pinus cembra* ranged from 3.9 to 4.6 pollen.cm⁻².y⁻¹ for 8615 to 8344 cal. BP, and from 10.6 to 35.1 pollen.cm⁻².y⁻¹ for 7554 to 6692 cal. BP (Fig. 9). In addition, macrofossil findings of *Pinus cembra* needles in the lowermost peat samples show that this tree was locally present already during the Early Holocene, around 10,400 cal. BP (Anich, 2013). However, following recommended threshold values relying on influx and percentage values (Tinner et al., 1996; Wick and Tinner, 1997; van der Knaap et al., 2001; Tinner and Theurillat, 2003; Kaltenrieder et al., 2005; Tinner, 2007; Ejarque et al., 2010; Lisitsyna et al., 2011), the influx values of *Pinus cembra* lower than 35 pollen.cm⁻².y⁻¹ at Las Gondas Bog (Fig. 9) would not indicate the local presence of the tree. Nevertheless, and even if the pollen production might have been low eventually because of genetic differences, microclimatic conditions or the short vegetation period and extreme environment at 2400 m a.s.l., the dendrochronologically dated *Pinus cembra* stems found within the peat, as well as the needles and periderm parts found (IIB 1036, Fig. 6) clearly point at extensive stands of *Pinus cembra* around the Las Gondas Bog, above 2370 m a.s.l., from ca. 10,400 to 5000 cal. BP, at least during several centennially long, climatically favorable warm humid periods (Haas et al., 1998). This implies that an open forest and timberline ecotone with at least scattered trees would have been located around or above 2370 m a.s.l. from the Mesolithic Period onwards and during most of the Neolithic Period. From ca. 7600 cal. BP onwards, a rise in *Alnus* (i.e. *Alnus viridis*, as also shown by macrofossil finds, cf. Anich, 2013), and of *Picea abies* and *Salix* occurred, both in relative and influx pollen values (Figs. 7 and 9). This rise in *Alnus viridis* and *Picea abies* might be related to the Central European cold/humid climatic phase CE 4 (dated to ca. 7450–7050 cal. BP, Haas et al., 1998). In parallel, the values (relative and influx) of *Peridinium* and *Valsaria variopora* rose after 7500 cal. BP, and sustain such an interpretation of more humid conditions.

5.1.2. A Drought period 5300–2850 cal. BP in the Silvretta Alps

The age depth model from the Las Gondas Bog revealed a period of highly compacted peat, with a slow growth of the bog, between ca. 5300 and 2850 cal. BP (Fig. 4b). The compaction was visible during coring fieldwork, showing a nearly micro laminated structure of the peat (Fig. 5). None of the plant and cryptogam taxa had a specific response during this period, but some NPPs did respond in a particular way. Most of the NPPs present (Fig. 8) were found in important proportions (relatively to the pollen sum) from the Early Holocene onwards and up to 6300 cal. BP (i.e. during LPAZ 1 and 2). These taxa (as for example *Gaeumannomyces*, *Arcella*, *H. angusticollis*, *Gyratrix hermaphroditus*, *Microdalyellia armigera*, and *Peridinium*) all need water to develop. During LPAZ 3a (6300–4250 cal. BP) most of them strongly decreased or disappeared, before reappearing towards the end of this local drought phase around 2850 cal. BP. Their strong decrease or disappearance, in parallel to the low accumulation rate of the Las Gondas peat, suggests a long lasting local to regional dry period from ca. 5300 to 2850 cal. BP. This might be a regional phenomenon as the same reduction of a bog growth can be noticed for more or less the same period in the valley

of St. Antönien, Switzerland, 35 km away (Röpke et al., 2011), or it might even be linked to climatic reorganization phenomena in Central Europe (Magny et al., 2006). A regional drought period has therefore to be implied for the subalpine and alpine altitudes of the Silvretta Alps, eventually linked to known warm dry climatic phases during this period (Haas et al., 1998). By contrast, the reappearing of above mentioned NPPs from ca. 2850 to 2300 cal. BP reveals a remoistening of the Las Gondas Bog concomitant to the central European cold period CE 8, which must have been a massive, climatic deterioration in all of Central Europe and the Northern Hemisphere possibly related to reduced solar activity (Haas et al., 1998; van Geel and Berglund, 2000; Magny, 2007).

5.2. Human presence in the Fimba Valley

A huge number of archaeological sites starting with the Mesolithic Period are known in the Silvretta Massif and especially in the Fimba Valley (Figs. 1 and 3). A few Mesolithic to Neolithic sites and one relatively large Iron Age Alpine hut (dated to 2760–2340 cal. BP, number 10 on Fig. 3) were located and excavated in the highest part of the Fimba Valley, all within about 2 km from the Las Gondas Bog (Reitmaier, 2012; Reitmaier et al., 2013). On the other hand, a few sites from the Bronze Age and Roman Period are known regionally, but not in the nearest vicinity of Las Gondas Bog. There, many archaeological sites dating to the Medieval Period and Modern Times are known, however (Fig. 3).

5.2.1. Evidence of anthropogenic and livestock impact

Fire incidents are known to have been generated by humans in alpine environments at least since the Neolithic Period (Bortenschlager, 2000; Gobet et al., 2003; Carcaillet et al., 2009; Rey et al., 2013; Schwörer et al., 2014). At Las Gondas Bog, evidence exists for Mesolithic fire events given the high micro charcoal values between 10,300 and 9300 cal. BP as recorded both in relative and influx values (Figs. 7 and 9). A correlation to local human activities is likely, even if no local archaeological sites and no macroscopic charcoal were found for this time period around Las Gondas Bog. These probable local fires, however, had a massive impact on the local *Corylus avellana* populations in Fimba Valley, as those showed short term and massive reduction.

Thereafter, during the Neolithic Period (7450–4150 cal. BP), the micro charcoal signal remained quite low (Fig. 7), despite the presence of an Early Neolithic fireplace 600 m away from the Las Gondas Bog (site number 8 on Fig. 3). Interestingly, a general trend of diminishing total tree pollen amounts combined with rising herbs taxa such as *Campanula*/*Phyteuma* type, *Artemisia* and *Cichorioideae* is recorded during the second part of the Neolithic period (5500–4150 cal. BP), which suggests a slight opening of the landscape, possibly due to small scale livestock grazing.

At Las Gondas, however, *Pinus cembra* stayed locally present around 2300–2400 m a.s.l. until the end of the Late Neolithic Period, as revealed by the pollen percentages and influx values (Figs. 7 and 9). Its values decreased thereafter, possibly due to fire impact as revealed by the slightly rising micro charcoal values (Figs. 7 and 9), and as is also known from other alpine sites where regional fire events impacted *Pinus cembra* on a landscape scale (Tinner et al., 1996; Conedera et al., 2009; Colombaroli et al., 2010; van der Knaap et al., 2012).

The archaeological sites known in the Fimba Valley (Fig. 3) and near surroundings do corroborate these results as they clearly show an anthropogenic and/or livestock movement to higher altitudes during the Bronze and Iron Age, as well as an increased seasonal use of the higher Fimba Valley during the Medieval Period (Fig. 3). LPAZ 3 exhibits in this context an interesting sequence of four pre Roman oscillations of tree pollen amounts. Although the first two

main shifts towards reduced tree representation around 6300 and 5400 cal. BP may well be related with the climatic deterioration phases of Rotmoos 1 and 2, the third one (4400–3800 cal. BP) does not seem related to any continentally known climatic deterioration, and may be seen in an anthropogenic context as also revealed by slightly rising micro charcoal values (Figs. 7 and 9). On the other hand, the next main tree cover reduction (3200–2400 cal. BP) may be related to the massive climatic deterioration known from all over Europe and the Northern Hemisphere peaking at around 2800 cal. BP (Haas et al., 1998; van Geel and Renssen, 1998; van Geel and Berglund, 2000; Magny, 2007). This climatic deterioration with cool and much wetter climate was probably responsible for the reappearance of a high NPP diversity at Las Gondas Bog since 2800 cal. BP. Later, a massive rise in micro charcoal values (relative and influx) reveals the major impact of local people since the Roman Age, and especially during High Medieval Times.

5.2.2. Past animal husbandry and agriculture in the larger Silvretta area

Grazing activities can be revealed by apophytes or by spores of coprophilous fungi. They are known to be indicators of grazing pressure on a highly local scale because of their strict ecological requirements (dung deposits) and low dispersal (Innes and Blackford, 2003; van Geel et al., 2003; Blackford and Innes, 2006; Davis and Shafer, 2006; Gauthier et al., 2010; Laine et al., 2010; Dietre et al., 2012). Apart from a first rise in Sordariaceae around 10,000 cal. BP (probably related to coprophilous fungal activity on faeces from wild animals), our fungal dataset indicates one Early Neolithic (from ca. 7300 to 6300 cal. BP) and one historical phase of coprophilous spore peaks (since about 600 cal. BP/AD 1350). The Early Neolithic phase comes with a constant presence of *R. acetosella* type, *Artemisia*, Poaceae and of *R. acris* type, and might be related to a very first small scale livestock grazing activity in the Fimba Valley even if this apophyte event is very weak. A Neolithic pastoral use of the area would be in line with a Neolithic shelter site excavated at the entrance of the Urschai Valley south west of the Las Gondas Bog (Lower Engadine) dated on charcoal to 6450–6290 cal. BP (Reitmaier, 2012). This is also in line with the first evidence of cereal pollen at Motta Naluns (2170 m a.s.l.) above Scuol (Lower Engadine), dated to ca. 6550 cal. BP (Welten, 1982), as well as to the first use of open *Larix* meadows for pasture at Chanoua (1590 m a.s.l.) near Ardez west of Scuol, at about 5600 cal. BP (Zoller and Erny Rodman, 1994; Zoller et al., 1996). The growing amounts of alpine pasture and open landscape indicators such as Poaceae, *Artemisia*, Apiaceae, Asteraceae, Cichorioideae and *Campanula/Phyteuma* type, as well as the first occurrence of Chenopodiaceae after 6200 cal. BP is near synchronous to the use of the area mentioned above (Reitmaier, 2012). This suggests the human presence in the Silvretta valleys and their impact on the alpine vegetation. An alternative explanation for the high presence of Sordariaceae between ca. 7300 to 6300 cal. BP – showing the complexity of the interpretation of NPP results – could relate to the wood decomposing and saprophytic abilities of Sordariaceae, as during this Neolithic phase, no other spores from coprophilous fungi, especially from those supposed to be more strictly dependent on faeces (*Cercophora*, *Podospora*, *Pleospora*, and *Sporormiella*), were present. Given the high amounts of decaying wood of *Pinus cembra* implied to have been present after 6000 cal. BP (see above), such an interpretation of the Sordariaceae presence cannot completely be excluded. The parallel evolution of *Pinus cembra* influx values with sterile fungal stroma and with *Microthyrium* influx values (Fig. 9) – the latter one with a significant Pearson's correlation coefficient of 0.44 – are an additional hint to such decomposition of plant material. *Microthyrium pinophyllum* regularly grows on dead pine needles (Ellis and Ellis, 1997).

Later in time, the continuous occurrence of pollen of cereals since ca. 6200 cal. BP at Las Gondas Bog might be related to the human presence in the Lower Engadine Valley, so that cereal pollen was transported by air from lower altitudes, where cereal cultivation was possible. Several artifacts (ceramics and arrowheads) were found underneath another rock shelter situation in the Urschai Valley, called Abri Urschai and dated to 4770–4610 and 4450–4240 cal. BP. This might be linked with the cereal cultivation on the terraces of Plan da Pasa, near Ramosch, less than 12 km away, dated to 4710–4430 cal. BP (Reitmaier, 2010, 2012). There, a cereal field terrace management system has been documented archaeologically and palynologically from ca. 4200 cal. BP onwards (Zoller et al., 1996; Reitmaier, 2012), which may also have been responsible for the higher cereal pollen values at the Las Gondas Bog around 4200 cal. BP (Figs. 7 and 9). The related changes around Las Gondas Bog (e.g. decrease in *Pinus* (i.e. *Pinus mugo*), increase in *Alnus* (i.e. *Alnus viridis*), *Urtica* and *Artemisia*) are in agreement with research carried out on the Neolithic Period in the Alps (Zoller et al., 1996; Gobet et al., 2003; Finsinger and Tinner, 2006; Haas et al., 2007; Röpke et al., 2011) and other montane areas (Doyen et al., 2013).

Thereafter, strong agricultural activities also occurred during the High Medieval Period, probably with cereal cultivation on both sides of the Silvretta Alpine ridge north and south of the Las Gondas Bog in the Paznaun and Lower Engadine Valleys (up to ca. 1500 m a.s.l.), where especially *Secale cereale* was grown, which is known to be one of the main crops cultivated in Central Europe during that time (Behre, 1992). In addition, relatively high values of *Artemisia*, *Plantago*, and *Rumex* taxa sustain such anthropogenic activities around the Silvretta range (Fig. 7), as also shown elsewhere (Kotaczek et al., 2010). We know from historical sources that the upland meadows in the Silvretta, especially those in the northern part of the mountain range, were used from the 11th century AD onwards by people living in the Inn Valley/Lower Engadine (Reitmaier, 2012). In this context, it becomes clear that during the Medieval Period, the Las Gondas Bog sediments also recorded the extensive cereal culture in the lower Paznaun Valley (north of Fimba Valley), apart from the agricultural activities on terraces in the Lower Engadine (south of Fimba Valley and of the Silvretta Alpine ridge). Except for the prehistorical phase discussed above, spores from coprophilous fungi were only present in higher amounts during this Medieval cultural phase. The high amounts of spores of coprophilous *Cercophora*, *Sporormiella*, and Sordariaceae therefore reveal the repeated and growing use of the resources of the Fimba Valley as livestock grazing areas during Medieval and Modern Times.

6. Conclusions

The data presented here registered the evolution of the vegetation composition of the upper Fimba Valley in the Silvretta Alps regarding two main factors, namely regional Holocene climatic oscillations and the impact of humans and livestock on the local flora and vegetation. Furthermore, our data revealed the local impact of main climatic events on a hemispheric or continental scale such as the cold/humid phases around 8.2 ka and 2.8 ka, and the subsequent major vegetation change. Additionally, a severe local to regional drought period was recorded for the time span 5300–2850 cal. BP by the non pollen palynomorphs evidence in the Las Gondas Bog peat, possibly related to known central European warm dry climatic phases. During some climatic favorable periods (ca. 10,400 cal. BP, and 8600–6700 cal. BP) *Pinus cembra* populations existed around 2400 m a.s.l. as indicated by pollen influx values, needles, as well as dendrochronologically dated *Pinus cembra* tree stems found within the Las Gondas peat. The palynological data demonstrated their utility and their reliability in the context of

environmental archaeology. Their agreement with archaeological and dendrochronological evidence strengthens previous conclusions on the climate evolution and human occupation of the Alps and adds to previous palynological studies in the Fimba Valley. This evidence was achieved using typical plant indicators and non pollen palynomorphs, suggesting first human land use and grazing activities near the timberline since 6200 cal. BP, as well as by human impact indicators showing cereal cultivation down in the valley (e.g. the Lower Engadine), and an increased pastoral use of alpine meadows since 4200 cal. BP. Neolithic to Medieval human and livestock activities had a clear impact on the plant diversity of the alpine vegetation of the Silvretta Massif. Likewise, a vast part of the archaeological sites in the Silvretta Massif remains undated and is likely to permit further comparisons with current and future palaeoecological investigations.

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