

Surface electronic structure of the $\text{Fe}_3\text{O}_4(100)$: Evidence of a half-metal to metal transition

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In situ prepared $\text{Fe}_3\text{O}_4(100)$ thin films were studied by means of scanning tunneling microscopy (STM) and spin-polarized photoelectron spectroscopy (SP-PES). The atomically resolved $(\sqrt{2} \times \sqrt{2})R45^\circ$ wavelike surface atomic structure observed by STM is explained based on density functional theory (DFT) and *ab initio* atomistic thermodynamics calculations as a laterally distorted surface layer containing octahedral iron and oxygen, referred to as a *modified B layer*. The work-function value of the $\text{Fe}_3\text{O}_4(100)$ surface extracted from the cutoff of the photoelectron spectra is in good agreement with that predicted from DFT. On the $\text{Fe}_3\text{O}_4(100)$ surface both the SP-PES measurements and the DFT results show a strong reduction of the spin polarization at the Fermi level (E_F) compared to the bulk density of states. The nature of the states in the majority band gap of the Fe_3O_4 surface layer is analyzed.

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I. INTRODUCTION

Magnetic transition metal oxides have recently attracted renewed attention due to the unique properties, such as metal-insulator phase transitions,^{1,2} superconductivity,³ and colossal magnetoresistance.⁴⁻⁶ Among them magnetite (Fe_3O_4), a ferrimagnet with $T_C=851$ K, is an important material owing to its technological applications in catalysis and magnetic recording media. Moreover, Fe_3O_4 has been theoretically predicted to be a half-metallic ferrimagnetic material with a conductive minority spin channel and semiconducting majority spin channel,^{7,8} which results in a 100% spin polarization at E_F . This makes magnetite a prospective material for spintronic devices.⁹⁻¹¹

Fe_3O_4 crystallizes in the cubic inverse spinel structure (space group $Fd\bar{3}m$, $a=8.396$ Å) where the oxygen anions (O^{2-}) form a close-packed face-centered-cubic (fcc) sublattice with Fe^{2+} and Fe^{3+} cations located in interstitial sites.¹ Two different kinds of cation sites exist in the magnetite crystal: tetrahedrally coordinated *A* sites occupied by Fe_A (typically assigned with a charge state 3+), and octahedrally coordinated *B* sites occupied by Fe_B (typically assigned with charge states 2+ and 3+ in equal numbers). The bulk crystallographic structure of magnetite can be represented as a stack of (100) layers containing either iron atoms in tetrahedral *A* sites or oxygen and iron ions in octahedral *B* sites [Fig. 1(a)]. In the *B* layers, only half of the octahedral sites are occupied and Fe ions form close-packed rows along the [011] direction. In successive *B* planes these rows are arranged perpendicular to each other.

The two different bulk truncations of $\text{Fe}_3\text{O}_4(100)$ either with an *A* layer containing Fe_A ions or with a *B* layer consisting of oxygen and Fe_B ions [see Fig. 1(b)] are considered to be polar according to the classification given by Tasker.¹² The previous understanding was that such surfaces must reconstruct to minimize the electrostatic energy. A $(\sqrt{2}$

$\times \sqrt{2})R45^\circ$ reconstruction has been experimentally observed on the $\text{Fe}_3\text{O}_4(100)$ surface.¹³⁻²¹ During the last decade, several possible structural models were proposed for the $(\sqrt{2} \times \sqrt{2})R45^\circ$ reconstruction^{13-15,17-22} but the atomic arrangement of the $\text{Fe}_3\text{O}_4(100)$ surface has been a matter of discussion.

Chambers *et al.*¹⁸ investigated the $\text{Fe}_3\text{O}_4(100)$ surface using x-ray photoelectron spectroscopy (XPS) and x-ray photoelectron diffraction (XPD). Applying the autocompensation rule,²³ the authors concluded that the surface structure is a half-filled *A* layer with strong inward relaxations of the surface layer. More recently, low energy ion scattering (LEIS) experiments²⁶ also favored this termination. In a molecular dynamics calculation with classical potentials Rustad *et al.*²² proposed a 0.5 ML *A* termination where the surface and half of the subsurface Fe_A relax towards octahedral positions in the surface *B* layer.

In contrast, Voogt *et al.*¹⁷ suggested a structure consisting of a *B*-terminated surface with oxygen vacancies. The possibility of ordered hydroxyl groups was also discussed in this

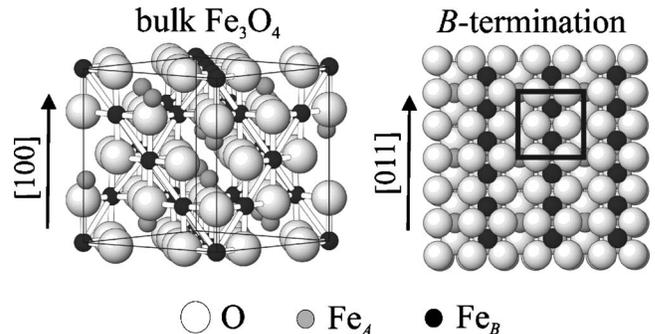


FIG. 1. (a) Side view of the inverse spinel Fe_3O_4 structure. (b) Top view of the $\text{Fe}_3\text{O}_4(100)$ bulk *B*-termination. The black open square in (b) marks the $p(1 \times 1)$ bulk unit cell.

work.¹⁷ The former model of the B -terminated Fe surface with oxygen vacancies has been supported in a STM study by Stanka *et al.*¹⁹ The STM images show rows of octahedral iron atoms exhibiting a *wavelike* structure which was attributed to relaxations away from the missing oxygen atoms in the B layer.¹⁹ Also, a noncompensated termination with charge ordered B layer was suggested from STM measurements performed using ferro-²⁷ or antiferromagnetic tips.^{20,21} However, the observed magnetic contrast alone cannot provide a clue on the stabilization mechanism of the surface. Bipolaronic effects in the B termination have also been discussed as a possible interpretation of the magnetic contrast obtained with an antiferromagnetic STM tip.²¹

Recently, using *ab initio* atomistic thermodynamics Pentcheva *et al.*²⁴ compiled a surface phase diagram for a variety of stoichiometric and nonstoichiometric configurations and found that the lowest energy configuration is a modified bulk termination with a $(\sqrt{2} \times \sqrt{2})R45^\circ$ periodicity which does not fulfill the autocompensation rule.²⁴ The ideal (1×1) termination was found to be Jahn-Teller instable. The theoretical results are confirmed by qualitative x-ray diffraction²⁴ (XRD) and low-energy electron diffraction (LEED) analyses.²⁵

The electronic band structure of Fe_3O_4 films has been extensively investigated by means of x-ray magnetic circular dichroism,^{28,29} ultraviolet photoelectron spectroscopy,^{30–32} as well as spin- and angle-resolved photoelectron spectroscopy.^{33–37} Nevertheless, the interpretation of the valence-band photoemission spectra of Fe_3O_4 has been and still is a matter of debate.^{30,34–36} Recent investigations,^{30,36} however, showed that band dispersions^{7,8} must be taken into account for the interpretation of photoelectron spectra of Fe_3O_4 .

Alvarado *et al.* performed the first spin-resolved photoelectron spectroscopy measurements on $\text{Fe}_3\text{O}_4(100)$ single crystals.^{33–35} The spin polarization $P(h\nu)$ of the photoelectrons with energies up to 11.2 eV was measured by a Mott scattering detector. A maximum value of -60% near the Fermi energy (E_F) was measured at around 5 eV photon energy at 10 K.³⁴ From a single-ion-in-a-crystal-field (SICF) model a maximum value of the spin polarization at $T=0$ K is $P=-66.6\%$ (Refs. 34 and 35) which was considered to be in agreement with the measured value of -60% .

Recently, spin polarization measured on the $\text{Fe}_3\text{O}_4(111)$ surface at E_F at room temperature (RT) by means of spin-polarized angle-resolved photoemission spectroscopy (SPARPES) was reported to be as high as $-(80 \pm 5)\%$ which can be an evidence of the half-metallic nature of the magnetite in $[111]$ direction.³⁶ However, for the (100) -oriented Fe_3O_4 thin films the spin-resolved photoelectron spectroscopy measurements of thin epitaxial films grown on $\text{MgO}(100)$ substrates yielded spin polarization values of only $-(40–55)\%$ near E_F .^{38,39} These values are significantly lower than the -100% predicted by local spin density approximation (LSDA) calculations for the bulk material⁸ as well as the $-(80 \pm 5)\%$ measured on the (111) -oriented Fe_3O_4 surface at E_F at RT.³⁶ The low value for the (100) surface was ascribed either to surface imperfection³⁸ or to strong electron correlation effects.³⁹

In the present paper we report a combined experimental and theoretical study of the crystallographic as well as electronic surface structure of epitaxial $\text{Fe}_3\text{O}_4(100)$ thin films. Experimentally, *in situ* prepared, well-characterized $\text{Fe}_3\text{O}_4(100)$ films were studied by means of LEED, STM, and SP-PES. On the theoretical side, DFT calculations are performed to investigate the surface atomic arrangement as well as the electronic structure of $\text{Fe}_3\text{O}_4(100)$. The contrast in measured STM images is interpreted on the basis of STM simulations. The work-function value of the $\text{Fe}_3\text{O}_4(100)$ surface extracted from the cutoff of the photoelectron spectra is compared to the value predicted for the lowest energy configuration by the DFT calculations. Furthermore, the spin polarization at the Fermi energy is measured via SP-PES and compared to the value obtained from the DFT calculations. Finally, based on the DFT calculations, we analyze how the symmetry breaking at the surface as well as of the surface reconstruction alter the electronic properties.

II. EXPERIMENTAL

A. Experimental setups

The STM experiments were carried out in an ultra-high vacuum (UHV) system with a base pressure of 8×10^{-11} mbar equipped with LEED optics, a gas inlet, e-beam evaporation cells, and an Omicron UHV AFM/STM. All STM measurements were carried out at RT using electrochemically etched polycrystalline tungsten tips cleaned in UHV by Ar^+ sputtering. The presented STM images were taken in the constant-current mode.

The SP-PES measurements were carried out at U125/1-PGM beamline at the BESSY II storage ring. The UHV system for SP-PES (base pressure 8×10^{-11} mbar) consists of a UHV chamber equipped with LEED optics, a gas inlet, e-beam evaporation cells, and an Omicron SPLEED spin analyzer. The SP-PES spectra were recorded in normal emission. The total energy resolution and the acceptance angle were set to 100 meV and 6° , respectively.

The work function as well as x-ray absorption spectroscopy measurements (XAS) were performed in an UHV system located at the Russian-German Laboratory at the BESSY II storage ring. An UHV chamber (base pressure 1×10^{-10} mbar) equipped with LEED optics, a gas inlet, e-beam evaporation cells, and a 127° CLAM4 analyzer was used for these measurements. XAS spectra have been collected in the total electron yield mode and normalized to the maximum intensity.

B. Sample preparation

The epitaxial $\text{Fe}_3\text{O}_4(100)$ films used for STM and SP-PES measurements were prepared on $\text{MgO}(100)$ single crystals. The epitaxial $\text{Fe}_3\text{O}_4(100)$ films for the work-function measurements were prepared on a $\text{W}(100)$ single crystal. The $\text{MgO}(100)$ substrates were cleaned in acetone, isopropanol, and methanol before the introduction into UHV and finally annealed *in situ* in 5×10^{-6} mbar O_2 for 2 h at about 600°C . The $\text{W}(100)$ single crystal was cleaned *in situ* by using standard cleaning procedure: heating in O_2 at 1800 K followed

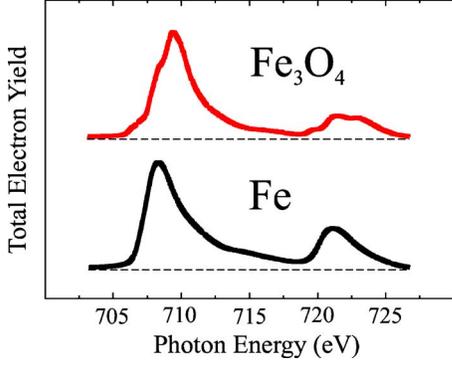


FIG. 2. (Color online) Fe $L_{2,3}$ edge XAS spectra of an epitaxial $\text{Fe}_3\text{O}_4(100)$ film (upper spectrum) as well as of the epitaxial $\text{Fe}(100)$ film (lower spectrum).

by flashing up to 2300 K. Fe was evaporated from high purity rods heated by electron bombardment. High quality 150–300 Å thick $\text{Fe}_3\text{O}_4(100)$ films were prepared *in situ* by Fe evaporation on $\text{MgO}(100)$ or $\text{W}(100)$ substrates in O_2 atmosphere. During the growth, the O_2 pressure was maintained at 2×10^{-6} mbar and moderate substrate temperatures of about 300 °C were used to prevent magnesium interdiffusion in case of $\text{MgO}(100)$ substrates. The high degree of crystalline order of the epitaxial $\text{Fe}_3\text{O}_4(100)$ films obtained in our experiments has been checked by LEED and STM. The surface cleanliness has been monitored by valence-band as well as core-level photoemission. Figure 2 presents the Fe $L_{2,3}$ edge XAS spectrum of the epitaxial $\text{Fe}_3\text{O}_4(100)$ film in comparison with that of the epitaxial $\text{Fe}(100)$ film. The characteristic multiplet structure of the XAS spectrum at the Fe $L_{2,3}$ and the O K absorption edges (not shown here) of Fe_3O_4 agree with earlier experiments,^{28,29} confirming good bulk quality of the prepared samples.

C. Theoretical methods

The DFT calculations were performed using the full-potential augmented plane waves (FP-APW) method in the WIEN2K implementation⁴⁰ within the generalized gradient approximation (GGA)⁴¹ of the exchange-correlation functional. The $\text{Fe}_3\text{O}_4(100)$ surface was modeled in the supercell geometry where the slab for, e.g., the B termination, consists of seven B layers and six A layers. The vacuum between the repeated slabs amounts to 10 Å. The lateral parameter of the supercell is set to the GGA bulk lattice constant, 8.42 Å, which is in good agreement with the experimental value of 8.394 Å. The muffin tin (MT) radii of both chemical species are $R_{\text{Fe}}^{\text{MT}} = 1.90$ bohr and $R_{\text{O}}^{\text{MT}} = 1.40$ bohr. A mixed augmented plane wave (APW+lo) and linear augmented plane wave (LAPW) basis set was used. Inside MTs the wave functions are expanded in spherical harmonics up to $l_{\text{max}}^{\text{wf}} = 10$ and non-spherical contributions to the electron density as well as potential are considered up to $l_{\text{max}}^{\text{pot}} = 4$. The energy cutoff for the plane wave representation in the interstitial is $E_{\text{max}}^{\text{wf}} = 19$ Ry for the wave functions and $E_{\text{max}}^{\text{pot}} = 196$ Ry for the potential. With these cutoff parameters a convergence of energy differences better than 1 mRy is achieved. Results for the $(\sqrt{2} \times \sqrt{2})R45^\circ$

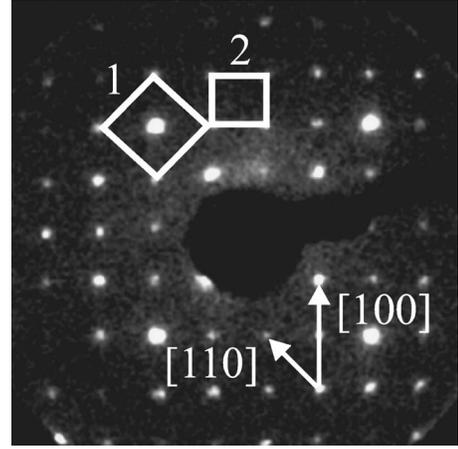


FIG. 3. A $(\sqrt{2} \times \sqrt{2})R45^\circ$ LEED diffraction pattern of a $\text{Fe}_3\text{O}_4(100)$ surface. The $p(1 \times 1)$ as well as the $(\sqrt{2} \times \sqrt{2})R45^\circ$ unit cells are marked by 1 and 2, respectively. The main crystallographic axes are shown by arrows. The energy of the electron beam is 107 eV.

$\times \sqrt{2})R45^\circ$ unit cell are obtained with four k_{\parallel} points in the irreducible part of the Brillouin zone.

III. RESULTS AND DISCUSSION

Figure 3 shows a typical LEED pattern of the (100)-oriented surface of an epitaxial Fe_3O_4 film grown on $\text{MgO}(100)$ substrate. A clear $(\sqrt{2} \times \sqrt{2})R45^\circ$ reconstruction with respect to the (100)-unreconstructed Fe_3O_4 surface unit cell is visible. A lattice constant of 8.4 ± 0.15 Å was measured from the observed LEED patterns.

A STM overview of a $(\sqrt{2} \times \sqrt{2})R45^\circ$ reconstructed $\text{Fe}_3\text{O}_4(100)$ surface is shown in Fig. 4. Atomically flat terraces with the step edges of nondefined shape can be clearly seen, revealing a layer-by-layer growth. The average step height was found to be about 2.1 Å (see height profile in Fig. 4), which corresponds to the distance between adjacent A - A or B - B planes of the bulk Fe_3O_4 structure. Atomically resolved rows running along the $[011]$ and $[0\bar{1}1]$ directions are clearly visible in this STM image (Fig. 4). The rows on neighboring terraces are oriented in a perpendicular fashion. A change of the row direction can be also observed within a terrace with the transition regions appearing as lines along the $[001]$ or $[010]$ directions (marked by black lines in Fig. 4).

In the high-resolution STM image of a typical terrace (Fig. 5), rows consisting of bright protrusions are imaged. The distance between two bright protrusions within the same row was measured to be about 3 Å, and the distance between two rows is about 6 Å. Alternating pairs of bright protrusions within each row are shifted into the same direction perpendicular to the rows, resulting in a *wavelike* surface structure. Regularly ordered large dark depressions appear at the wide openings of the waves. The centers of these depressions are separated by 8.4 Å and have a $(\sqrt{2} \times \sqrt{2})R45^\circ$ symmetry. Similar square-lattice structure types were also observed in STM measurements by Stanka *et al.*¹⁹ It should be men-

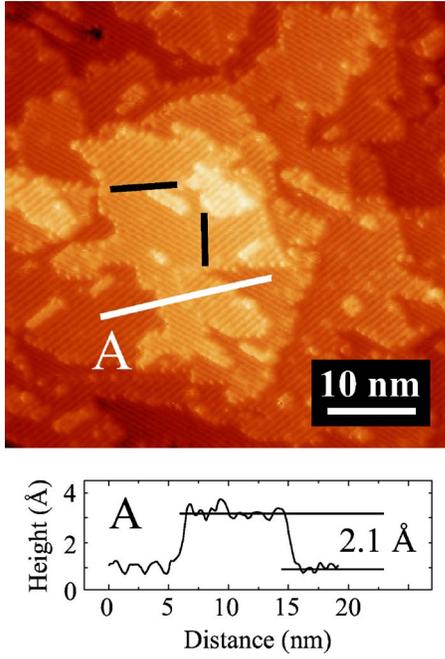


FIG. 4. (Color online) $50 \times 50 \text{ nm}^2$ STM image of a $(\sqrt{2} \times \sqrt{2})R45^\circ$ reconstructed $\text{Fe}_3\text{O}_4(100)$ surface. Tunneling parameters: $U_T=1.1 \text{ V}$, $I_T=1.2 \text{ nA}$. A line profile (A) corresponds to the STM height profile along the white line shown in the STM image showing step heights of about 2.1 \AA .

tioned that careful STM investigation of the $\text{Fe}_3\text{O}_4(100)$ sample surface performed after the deposition did not reveal any surface reconstructions other than the $(\sqrt{2} \times \sqrt{2})R45^\circ$.

The interpretation of the constant-current STM images of oxide surfaces is a nontrivial issue due to the strong variations in local density of states (LDOS) on the oxide surface. Band structure calculations by Zhang and Satpathy⁸ for the bulk Fe_3O_4 employing LSDA reveal that the O $2p$ orbitals lie well below the Fermi level and the bands near E_F are primarily of Fe $3d$ character of Fe_B . Thus, it can be concluded that STM images of the $\text{Fe}_3\text{O}_4(100)$ surface are generally domi-

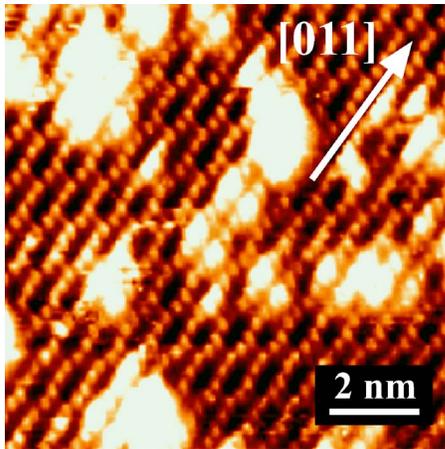


FIG. 5. (Color online) STM image ($11 \times 11 \text{ nm}^2$) of $\text{Fe}_3\text{O}_4(100)$ surface showing a *wavelike* atomic structure which gives rise to a $(\sqrt{2} \times \sqrt{2})R45^\circ$ periodicity: ($U_T=1.1 \text{ V}$, $I_T=1.2 \text{ nA}$).

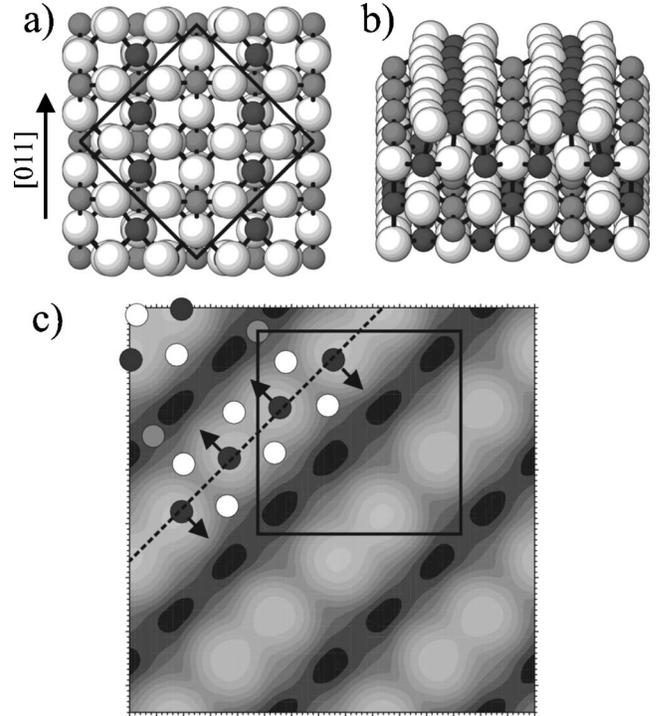


FIG. 6. Top (a) and side (b) view of the modified B termination predicted from the DFT calculations, (c) simulated STM image of the *modified B* termination. The positions of the surface oxygen, octahedral iron, and subsurface tetrahedral iron are marked by white, gray, and black circles, respectively. The black open square in (a) and (c) denotes the $(\sqrt{2} \times \sqrt{2})R45^\circ$ unit cell. The dashed line in (c) is a guide for the eyes to emphasize the displacements of surface Fe_B perpendicular to the $[011]$ direction.

nated by iron cations, and that the bright spots within the rows in Fig. 5 are most likely Fe_B ions, which is in agreement with other STM studies.^{13,15,16,19} However, the precise atomic structure of the $\text{Fe}_3\text{O}_4(100)$ surface remains unclear.

In order to resolve the structure and composition of the surface layer, DFT calculations for a variety of stoichiometric and nonstoichiometric models of Fe_3O_4 were performed. In the framework of *ab initio* atomistic thermodynamics, a surface phase diagram of $\text{Fe}_3\text{O}_4(100)$ as a function of the oxygen pressure was compiled.²⁴ The most striking result is that a modified bulk termination with a B layer showing a *wavelike* structure has the lowest energy over a broad range of oxygen pressures. Unlike the traditional view of a reconstruction as an ordering of surface defects, in this structure the $(\sqrt{2} \times \sqrt{2})R45^\circ$ reconstruction emerges as a result of a Jahn-Teller-distortion of the surface layer.

Figure 6 shows the top (a) and the side (b) views of the theoretically predicted energetically most favorable surface structure. A strong lateral relaxation of 0.30 \AA of the oxygen atoms with a missing Fe_A neighbor towards the Fe_B row invokes an alternating shift of Fe_B pairs by $\pm 0.09 \text{ \AA}$ perpendicular to the Fe_B row. Due to these lateral relaxations a *wavelike* pattern along the $[011]$ direction develops on the surface with neighboring B rows running in antiphase to each other.

To interpret the atomically resolved STM images we performed STM simulations of the modified B termination pre-

dicted by DFT calculations. The simulated STM image in Fig. 6(c) is obtained in the constant current mode using the Tersoff-Hamann approximation.⁴² The charge density was integrated from E_F to $E_F+1.1$ eV, which corresponds to the voltage used in the STM measurements presented above. In Fig. 6(c) light protrusions mark the positions of the surface Fe_B . As indicated by the arrows, alternating pairs of such protrusions are shifted perpendicular to the [011] direction giving rise to a *wavelike* pattern. Although the *wavelike* structure is clearly visible in the side view of the DFT structure in Fig. 6(b) it is much less pronounced than in the experimentally obtained STM image in Fig. 5. This may be attributed to a softness of the surface with respect to vibrational modes.

Comparison of the measured and calculated work function (Φ) of the $\text{Fe}_3\text{O}_4(100)$ surface gives further support for the modified *B* termination. For this experiment epitaxial $\text{Fe}_3\text{O}_4(100)$ films were grown on a W(100) single crystal. The work function is determined from the cutoff of the photoelectron spectra. The work-function values of W(100) (4.63 ± 0.15 eV) and Fe(100) (4.07 ± 0.15 eV) were measured as reference and the latter agrees well the value for an Fe(100) whisker.⁴³ A work function of (5.20 ± 0.15) eV is measured for the $\text{Fe}_3\text{O}_4(100)$ surface. The DFT calculations show that the formation of the modified *B* termination is connected not only with an energy gain of approximately $20 \text{ meV}/\text{\AA}^2$ with respect to the ideal *B* termination, but also with a reduction of the work function of 0.46 eV from 5.78 eV for the bulk truncated to 5.32 eV for the modified configuration. A reduction of Φ indicates a decrease of the dipole moment induced on the surface, i.e., a decrease in polarity. We note that the measured $\Phi = 5.20 \pm 0.15$ eV and the calculated value of the modified *B* termination $\Phi = 5.32$ eV are in good agreement, thus giving another proof for the validity of the proposed structural model.

In the bulk, magnetite shows a half-metallic behavior with a band gap in the majority spin channel of approximately 1.5 eV and a 100% spin-polarization due to the t_{2g} states of Fe_B at E_F in the minority spin channel.⁸ As will be discussed, SP-PES measurements and DFT calculations indicate that the stabilization of the $\text{Fe}_3\text{O}_4(100)$ surface and the unusual lattice distortions are connected with strong changes in the electronic properties.

Figure 7 shows representative spin-polarized photoemission spectra together with total photoemission intensity of the $\text{Fe}_3\text{O}_4/\text{MgO}(100)$ system as a function of binding energy. The position of the Fermi energy corresponds to that of a polycrystalline Au foil in the electrical contact with the sample. The spectra were normalized to the current recorded from the last Au-coated mirror of the beamline. The photon energy was tuned in 2-eV steps over the range 40–60 eV, including the Fe $3p$ - $3d$ excitation threshold at 58 eV, where a strong resonance behavior was observed. In the region between 0 eV and 9 eV of binding energy, considerable changes in intensity as well as in spectral shape of the features derived from the O $2p$ and Fe $3d$ states can be observed as a function of the photon energy. Only weak dispersions (if any) of the photoemission features were observed along the Γ - X direction of the Fe_3O_4 Brillouin zone. In comparison to

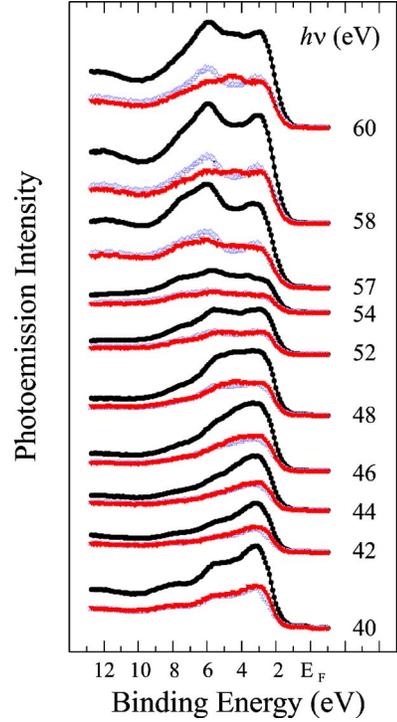


FIG. 7. (Color online) Spin-resolved valence band photoemission spectra (spin up: blue open up triangle; spin down: red solid down triangle) and total intensity (black solid circle) of a $\text{Fe}_3\text{O}_4(100)$ thin film on $\text{MgO}(100)$ as a function of binding energy recorded at RT in normal emission at 42–60 eV photon energy.

the present study, well-pronounced band dispersions were reported on the $\text{Fe}_3\text{O}_4(111)$ ³⁰ and $\text{Fe}_3\text{O}_4(100)$ ⁴⁴ which can be due to the better angle resolution of $\pm 1^\circ$ compared to the acceptance angle of 6° used in the present study. In the valence band of $\text{Fe}_3\text{O}_4(100)$ several photoemission features can be clearly observed: a peak at 0.5 eV, a small shoulder at 2.2 eV, peaks at 3 eV and 5.9 eV, and a shoulder at 7.6 eV. The positions as well as the general trend of the resonant enhancement of these features is very similar to those already reported for the $\text{Fe}_3\text{O}_4(111)$ thin films.³⁰ However, a more detailed analysis of the origin of the photoemission features and their behavior is beyond the scope of the present paper and will be presented elsewhere.⁴⁴

Figure 8 shows the spin-resolved photoemission spectra together with the total photoemission intensity recorded in normal emission with $h\nu = 58$ eV (a), the same spectra in the region near E_F (b), and the resulting spin-polarization values (c) as a function of the binding energy relative to the Fermi level of the $\text{Fe}_3\text{O}_4(100)$ film. The value of $-(55 \pm 10)\%$ at E_F measured on the $\text{Fe}_3\text{O}_4(100)$ surface at RT is significantly lower than the one for the (111) surface (-80%)³⁶ as well as the -100% predicted by LSDA calculations.⁸ However, these band structure calculations were performed for the bulk material not taking into account surface structure effects, which can prevail in the ultraviolet photoemission spectroscopy measurements.

We have now calculated the surface electronic structure of $\text{Fe}_3\text{O}_4(100)$ terminated by a *wavelike B* layer and the spin-resolved density of states is shown in Fig. 9(b). The most

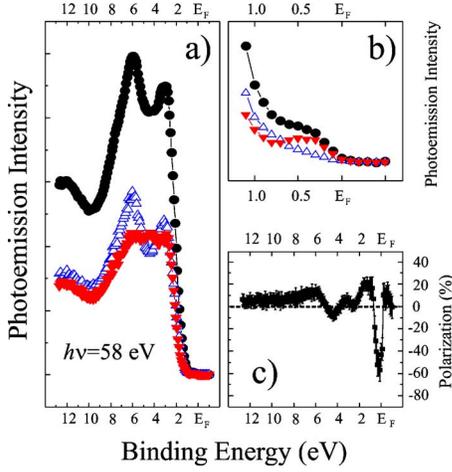


FIG. 8. (Color online) (a) Spin-resolved photoemission spectra (spin up: blue open up triangles; spin down: red solid down triangles; total photoemission intensity: black solid circles) of the $\text{Fe}_3\text{O}_4(100)/\text{MgO}(100)$ system recorded with $h\nu=58$ eV in normal emission, (b) the region near E_F , and (c) the spin polarization as function of binding energy of the $\text{Fe}_3\text{O}_4(100)/\text{MgO}(100)$ system.

striking feature of the spin-polarized bands (Fig. 9) are the states that appear in the band gap of the majority subband leading to a reduction of the spin polarization to about -40% at E_F and a loss of half-metallicity at the $\text{Fe}_3\text{O}_4(100)$ surface. The calculated spin-polarization value is somewhat lower than $-(55 \pm 10)\%$ obtained from the photoemission measurements. A possible reason for the difference between the calculated and the experimentally measured spin-polarization values may be the finite size of the slab used to model the $\text{Fe}_3\text{O}_4(100)$. As the states at the Fermi level are relevant for the transport properties of the material their character was analyzed in detail. The spatial distribution of the electronic states in the majority spin channel within the surface plane displayed in Fig. 9(a), shows that these are a hybridization of $d_{x^2-y^2}$ states of octahedral iron in the surface layer and p_x, p_y states of the surface oxygen without a subsurface tetrahedral iron neighbor.

In order to gain an understanding of how the electronic structure changes from bulk to the surface as well as to resolve the influence of the surface reconstruction, we have plotted the projected DOS of a Fe_B in the bulk [Fig. 9(c)] as well as on the surface in the ideal [Fig. 9(d)] and modified B termination [Fig. 9(e)]. In the bulk the crystal field splitting of the e_g and t_{2g} states of the octahedrally coordinated iron amounts to 2.0 eV. In the majority spin channel both the e_g and t_{2g} are occupied while in the minority spin channel the e_g states are unoccupied and lie 2 eV above the Fermi level and E_F crosses the t_{2g} band. On the surface, due to the broken symmetry in the z direction, the degeneracy within the e_g doublet and t_{2g} triplet is lifted. In the majority spin channel $d_{x^2-y^2}(d_{z^2})$ states are shifted to higher (lower) energies and the former cross the Fermi level even in the ideal (unrelaxed) B termination [Fig. 9(d)].

The DFT results indicate that the origin of the *wavelike* ($\sqrt{2} \times \sqrt{2}$) $R45^\circ$ pattern observed in STM is a Jahn-Teller distortion. The main feature associated with the distortion of the

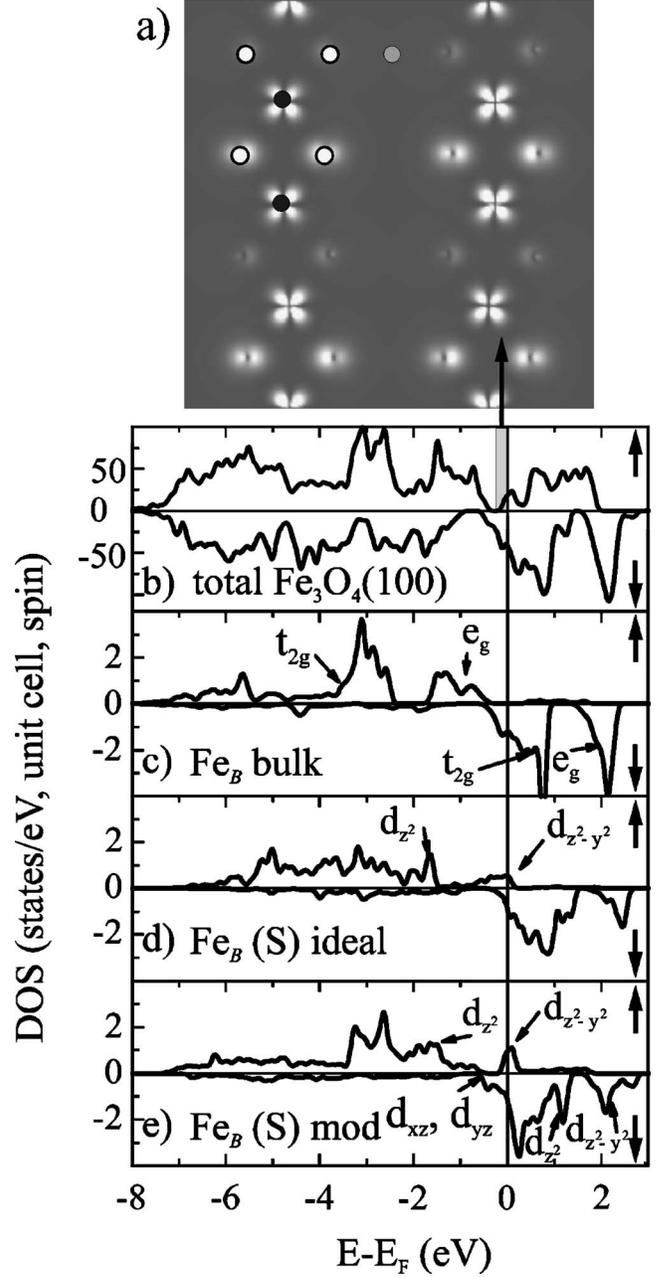


FIG. 9. (a) Charge density distribution of the surface states in the majority spin channel from -0.2 eV to E_F in the surface layer. The positions of the surface oxygen, octahedral iron, and subsurface tetrahedral iron are marked by white, gray, and black circles, respectively. Spin-resolved density of states of $\text{Fe}_3\text{O}_4(100)$ surface terminated by a modified B layer:²⁴ (b) total DOS; projected DOS of Fe_B in the bulk (c) as well as on the surface in the ideal (d) and modified (e) B layer.

surface atoms is the opening of a band gap in the majority spin channel between -0.4 eV and -0.1 eV [cf. Fig. 9(e)]. This leads to a shift through the Fermi level and partial deoccupation of $d_{x^2-y^2}$ states of the surface octahedral iron. The depletion of states in the majority spin channel is accompanied by occupation of states in the minority spin channel. This effect, referred to as *spin flip*, results in a substantial reduction of the magnetic moments of the surface Fe_B from

$3.6\mu_B$ for the B termination with bulk positions of the atoms to $3\mu_B$ for the modified B termination.

As a result of the spin flip effect in the minority spin channel, d_{xz} and d_{yz} states are partially occupied and shifted to lower energies, while d_{xy} states of the surface iron remain unoccupied at 0.3 eV above E_F . The d_{z^2} states are pulled to lower energies at 1.1 eV above the Fermi level, almost touching the t_{2g} band and the splitting between d_{z^2} and $d_{x^2-y^2}$ states amounts to 1 eV. We note that the positions of the d orbitals differ from the qualitative picture proposed by Shvets *et al.*²¹ which suggests a small and large splitting of the orbitals of Fe^{2+} and Fe^{3+} , respectively. The authors of Ref. 21 conclude that only Fe^{2+} may be subject to a Jahn-Teller distortion. The model of Shvets *et al.*²¹ considers the extreme case where the sixth electron is completely localized at Fe^{2+} , while the DFT-GGA calculation renders an average occupation of 5.38 d electrons within the muffin tin for both Fe_B ions in the surface. Recently, Wright *et al.*⁴⁵ obtained evidence from x-ray and neutron powder diffraction that the charge disproportionation between octahedral B iron below the Verwey temperature is 2.4 and 2.6, which is only 20% of the ideal value for Fe^{2+} and Fe^{3+} . This suggests that assigning integer charges to the iron ions may not be appropriate. Still, the influence of strong electron correlation effects on the surface electronic structure remains to be investigated.⁴⁶

IV. CONCLUSION

The formation of the *wavelike* pattern along the [011] direction observed by STM is explained using DFT calculations as a Jahn-Teller distortion in the surface B layer.²⁴ STM simulations indicate that the contrast in the STM images arises from octahedral iron ions shifted pairwise perpendicular to the [011] direction. The work-function value of the $Fe_3O_4(100)$ surface extracted from the cutoff of the photoelectron spectra are consistent with those predicted on the basis of DFT calculations. Both the spin-resolved photoemission experiments and the DFT-GGA density of states give evidence for a *half-metal to metal* transition at the $Fe_3O_4(100)$ surface: the measured negative spin polarization of about $-(55\pm 10)\%$ at the Fermi level and the theoretical value of -40% are significantly lower than the -100% predicted by LSDA calculations for the bulk magnetite crystal as well as the $-(80\pm 5)\%$ obtained for the $Fe_3O_4(111)$ films. The electronic states in the majority spin-channel responsible for the reduction of spin polarization are dominated by $d_{x^2-y^2}$ states of surface Fe_B .

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