X-ray scattering in the vorticity direction and rheometry from confined fluids

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An X-ray flexure-based microgap rheometer (X-FMR) has been designed for combining rheology and in situ small-angle X-ray scattering from the vorticity plane. The gap distance can be varied continuously from 500 μm down to several μm, which provides the unique possibility to generate a strong confinement for many complex fluids. A singular advantage of this setup is the possibility to directly probe the vorticity direction of the flow field with a microfocus X-ray beam and to probe the structural response of the fluid to combined shear and confinement in the vorticity plane. The sliding-plate setup operates over a wide range of shear rates of $\dot{\gamma} = 10^{-3}$–$10^{3}$ s$^{-1}$ and strains in the range of $10^{-4}$–$10^{2}$. The flexure-based bearing maintains the plate parallelism within 10$^{-5}$ rad. The X-FMR requires very small sample volumes on the order of 10 μl. The applicability of the device is demonstrated here with limited examples of a nematic suspension of fd virus (rods), and a crystalline suspension containing stERICIY stabilized polystyrene-butyllacrylate latex particles. © 2014 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4881796]

I. INTRODUCTION

Studies that combine small-angle scattering and rheology have been extremely useful for elucidating the flow-induced structure of a variety of complex fluids under flow. X-rays in particular can be used to study the structure, both in situ and time-resolved, of a wide range of material classes. Hence, they have been applied to both stable and flocculated colloidal suspensions,1–5 surfactant systems,6–8 liquid crystalline polymers,9–12 flow enhanced crystallization in polymers,13–17 and polymer nanocomposites.18,19 The advent of highly collimated beams and intense X-ray sources, both using synchrotron radiation but also with modern lab sources, has made small angle (SAXS) and even ultra-small angle X-ray scattering (USAXS) techniques available which can be used to explore a wide range of length scales (q = 0.001–1 nm$^{-1}$) with an excellent resolving power, during flow and with very good time resolution. This makes it ideally suited to help elucidate the link between the non-linear rheological (mechanical) response of materials with the underlying changes in the microstructure. X-ray scattering allows one to probe either effects of the shape, orientation, and size of individual objects, as characterized by the form factor P(q), or effects of the spatial organization of objects, which is characterized by the structure factor S(q) in reciprocal space.

In the small or ultra small angle limit, a two-dimensional detector probes scattering vectors, q, that reside in a single plane of the flow field. Depending on the nature of the flow cell and the relative orientation of the orientation of the incident X-ray beam to the flow cell different components of the structure and form factors can be probed in that plane. Couette geometries have been most commonly used, first as standalone shearing devices,20,21 and more recently for combined rheology and scattering measurements.4,22 When the X-ray beam is sent along the centerline of the Couette cell, the form and structure factor are projected in the v - ω plane (see Fig. 1). For incident radiation travelling along the flow direction, P(q) and S(q) are projected into the ω - νv plane. However, measurements of P(q) and S(q) projected in the v - νv plane are more relevant for understanding the link with the shear and time-dependent viscosity, yet they are the most difficult to perform.

Different approaches for probing the flow-induced structural changes in the v - νv plane have been proposed. An obvious approach is to sent the radiation along the vorticity direction of the flow, as has been done for light scattering methods.23 However, flipping the direction of the X-ray beam around is not that easy and requires a dedicated detection line.24–27 An alternate solution, used in neutron scattering experiments by Wagner and co-workers,28,29 is to close the top of the Couette cell and turn it by 90°. However, the path length for the radiation to traverse is significant and absorption or multiple scattering effects impose limits on the applicability of this technique for SAXS studies. Careful design of the flow cell is also required to avoid the effects of 3D flow or instabilities such as shear banding in a relatively wide gap Couette.28,30 The most successful approach in investigating the structure in the v - νv plane using X-ray scattering has been obtained by Burghardt and co-workers using an annular cone device.31,32 Their setup uses a design first proposed by...
FIG. 1. Schematic drawing of a plane Couette shear flow field indicating the three primary directions: flow ($v$), velocity gradient ($\nabla v$), and vorticity ($\omega$) direction.

Wales and Janeschitz-Kriegl\textsuperscript{33} for rheo-optical experiments, consisting of an annular ring device in which the beam is sent horizontally across the gap of an annular cone or plate–plate. Similar setups have successfully been used for Neutron scattering.\textsuperscript{34} In the case of X-ray scattering, spatial and high temporal resolution can be obtained.\textsuperscript{19,35,36} However, due to the nature of the flow geometry it is difficult to obtain accurate rheological measurements, and changing the gap size is also not very easy because of the requirement for the beam to traverse an inclined geometry.

In the present work, we propose the use of a sliding plate rheometer with a specially designed geometry for studying the structure in the vorticity or $v - \nabla v$ plane. The instrument is based on the general concept of sliding plate rheometry\textsuperscript{37–39} and its extension to the flexure based microgap rheometer (FMR) developed by McKinley and co-workers.\textsuperscript{40–42} The excellent alignment and parallelism of the FMR also enable one to study confinement effects (when the length scales of the fluid and the gap interfere),\textsuperscript{43} absolute slip,\textsuperscript{44,45} and the investigation of $\mu l$ amounts of fluid samples.\textsuperscript{46–48} The flow field in a sliding plate setup is homogeneous and rheometric. This offers an advantage over other approaches to study confinement effects, such as microfluidic techniques where the flow fields are complex and non-homogeneous, making it difficult to analyze the complex material response in a flow field where strain and flow history vary.

In the following, we present in detail the design and operational space of a small, portable sliding plate rheometer based on the FMR for use in an X-ray setup. The unique applicability of this X-ray flexure-based microgap rheometer (X-FMR) for \textit{in situ} SAXS measurements in the vorticity direction under confined flow conditions is demonstrated with case studies of sterically stabilized latex dispersions and suspension of semi-rigid model virus particles (rods).

II. X-FMR SETUP

A. Aluminum frame with compound flexure systems

Figs. 2 and 3 show a schematic drawing and an image of the X-FMR. The main structural component is a monolithic monolithic aluminum frame (frame width for scale: 23.5 cm) incorporates thin (leaf) springs that carry via the upper (driven) and the lower (detecting) fixture the upper and lower surfaces of the shear cell. The geometric arrangement of the springs ensures precisely parallel motion of upper and lower fixtures. The upper (driven) fixture is displaced by a motor (red arrows). The small displacement of the lower (detection) fixture is proportional to the stress arising from the sheared sample. Non-contact distance sensors monitor the displacement of both fixtures. A vertical translation stage within the upper fixture sets the gap distance; it can be manually lifted for sample loading.
plate of aluminum of 2 cm thickness. Eight regions of the plate have been machined down to narrow leaves (indicated as “springs” in Figure 2), which act as springs and hinges at the same time. Four such leave springs (connecting, for example, the “driven fixture” in the upper part of Figure 2 to the outer frame) combine to one compound flexure system that allows linear, spring-loaded motion. In such a compound flexure, the corresponding outer pair of leaves mirrors the flexure of an inner pair of leaves; it is this symmetry that allows displacements of the moving part on the order of millimeter, while orthogonal deviations remain on the nanometer scale. The general operating principle of the compound flexure is this symmetry that allows displacement cycle. The motor is a DC servo-motor (LTA-HS, Newport, Irvine, CA) with a maximum velocity of $v_{\text{max}} = 5 \text{ mm/s}$ and a minimum incremental step of 100 nm. Assuming that 10 steps/s can be considered a continuous rate, the minimum velocity is $v_{\text{min}} = 1 \mu \text{m/s}$. Together with the gap distance $h$, this determines the available shear rates $\dot{\gamma} = v/h$. Since $h$ can be set in the range $10^{-3} - 10^{3} \text{ s}^{-1}$ can be applied. For rheological measurements in combination with the scattering experiments discussed in this paper, a lower limit for the gap of 10 $\mu$m arises from the minimum diameter to which the X-ray beam could be focused in order to avoid parasitic scattering off the shear cell walls. Furthermore, entrapped airborne dust particles may dominate the stress response below gaps of about 3 $\mu$m. The available deformations are determined by the minimum incremental motion $\Delta x$ of the motor via $\dot{\gamma}_{\text{min}} = \Delta x/h$, as well as the gap and maximum travel distance $x_{\text{max}}$ during a deformation cycle via $\dot{\gamma}_{\text{max}} = x_{\text{max}}/h$. With $\Delta x_{\text{min}} = 100 \text{ nm}$ and a maximum displacement of $x_{\text{max}} = 2 \text{ mm}$, this yields strains of $2 \times 10^{-4} - 2 \times 10^{2} (0.02\%-20000\%)$ that can be achieved for the above mentioned gap range.

The spring constant of the flexure systems was designed so that a deflection of 1 mm requires a force of 7.9 N. The proximity sensors (SMT 9700-20 N, KAMAN Instrumentation, Colorado Springs, CO) have a range of 2 mm (full width) and accuracy of 15 nm. Therefore, forces in the range 0.95 mN–9.5 N can, in principle, be detected. However, it is advantageous for alignment purposes (see below) to limit the displacement of the detection fixture to about 50 $\mu$m, so that 0.12 mN–60 mN are measurable in practice. Using a shear cell with an area of 1 cm$^2$, shear stresses $\sigma$ in the range 1.2–600 Pa are detectable. An example of stress measurements with the X-FMR exploring the accessible range at a typical gap setting of $h = 20 \mu$m is given in Fig. 4(a) using Newtonian calibration oils of low and high viscosities of 1 Pa s and 60 Pa s, respectively.

The viscosities the X-FMR may measure are calculated by $\eta = \sigma/\dot{\gamma}$. Using the above mentioned stress and rate ranges gives a theoretical viscosity range of 1.2 mPa s–6 $\times 10^{3}$ Pa s. Evidently, the design parameters limit the sensitivity to moderately and highly viscous samples, but in this way the setup is immune to vibrational noise, which can be difficult to control in an X-ray beamline environment. Figure 4(b) gives examples of viscosities measured at stresses above the indicated minimum stress level of 1.2 Pa. One of these examples includes a latex suspension, composed of...
poly(butylacrylate-styrene) spheres of 112 nm diameter and an effective volume fraction of 0.51. Results on this sample will be discussed below, along with X-ray results on the same sample.

It should be noted that the stresses and viscosities reported in Fig. 4 are steady state values. Although the sliding plate rheometer is intrinsically limited in the deformation that can be applied during a single cycle in the saw-tooth deformation pattern, the small gap $h$ in combination with the relatively large travel distance $x$ allow for large deformations $\gamma_{\text{max}} = \lambda_{\text{max}}/h$. For all measurements reported in this paper it was confirmed that the evolving stresses reached steady state within each individual deformation cycle.

### B. Shear cell

Figure 5 shows a schematic drawing of the details of the sliding plate geometry and its assembly. The sample is sheared between two mirror substrate glasses (fused silica, flatness $\lambda/10 \approx 60$ nm, Part #048-0195, OptoSigma, Santa Ana, CA), which have been ground to the desired shape. The gap distance and relative orientation of the glass surfaces is monitored with three inductive distance sensors connected to the upper part, which sense the distance to the aluminum surface on the lower fixture. The sensors (SMT 9700-20 N, Kaman Instrumentation, Colorado Springs, CO) have a range of 500 $\mu$m and accuracy of 5 nm.

The glass components that make up the flow cell shown in Fig. 6. The upper glass is displaced horizontally with respect to the lower one, producing a homogenous linear shear flow field. The beam penetrates the sample in the vorticity direction, perpendicular to the $\mathbf{v} \cdot \nabla \mathbf{v}$ plane. The scattering pattern obtained is a projection of the product of structure and form factors in the $\mathbf{v} \cdot \nabla \mathbf{v}$ plane. To limit the beam path length, the cell has been designed to have a narrow part, which can be manufactured to thicknesses from several millimeters down to 0.5 mm. In the experiments presented here, the thickness was on the order of 2 mm, which is near the absorption length of our samples and thus optimizes the scattering signal strength. On the right side, the measurement geometry has a larger area of roughly $10 \times 10$ mm$^2$ to ensure sufficient area to have a measurable force signal. The dimensions of the measurement area can be changed to accommodate samples of different viscosities. Samples are typically loaded so that they cover the entire lower surface of the upper glass in all working positions. The sample-air interfaces penetrated by the beam are made vertical and reduced to the cell geometry

![FIG. 6. Shear cell geometry, following the X-ray beam path. The upper glass is displaced with respect to the lower one, producing a plane Couette flow (width of the lower glass block for scale: 2.0 cm). The beam penetrates the sample perpendicular to the $\mathbf{v} \cdot \nabla \mathbf{v}$ plane (or in the vorticity direction, see Fig. 1). On the left hand side, the cell has a narrow part of 2 mm thickness for optimal scattering results. On the right hand side, it has a larger area of roughly $10 \times 10$ mm$^2$ to ensure a sufficient strong stress signal. The sample is loaded so that it covers the entire lower surface of the upper glass in all operating positions. The sample-air interfaces penetrated by the beam are made vertical and reduced to the cell geometry by scraping and effects of surface tension.](image-url)
by scraping. As the gap sizes are smaller than the capillary length scale, this further aides in obtaining relatively smooth interfaces. With an area of about 1 cm², and at a gap distance of 100 μm (for example), a sample volume on the order of 10 μl suffices to fully fill the gap.

C. Alignment procedures and sample loading

The procedures used here are similar to those proposed by Baik et al. on a larger version of the FMR. First, the upper section of the shear cell assembly is loosened from the tilt stage and pressed onto the lower one by its own weight (approximately 300 g). This is a stable and well-defined position since by the center of mass of the upper part is designed to coincide with the areal center of the shear cell. At this zero-gap position, the readings of the three distance sensors are recorded and then the upper section of the shear cell is re-attached to the tilt stage. Parallelism can now be produced to within 10⁻⁵ rad at any gap distance, using the distance sensors and tilt stages.

In a next step, the misalignment of the plates with respect to the direction of travel of the upper driven fixture is minimized. This is achieved by moving the upper fixture at a gap between the surfaces of ~100 μm over distances on the order of mm, while observing the distance sensor readings. The lower assembly is then tilted until parallelism of the lower glass surface with the upper travelling stage is optimal. The upper plate is then re-adjusted parallel to the lower one. Deviations amount to few nm, thus obtaining parallelism better than 10⁻⁵ rad. Misalignment of the direction of travel of the lower fixture is negligible, since the typical stress-induced deformation within a single deformation cycle and at a constant rate for all shear experiments reported here. Shear experiments were repeated over several deformation cycles to assure reproducibility and to monitor the steadiness of the material functions to determine the onset of drying at the free sample surfaces or to detect other possible transient changes in the sample over several deformation cycles. For the water based systems investigated in this study, the presented data were, however, unaffected by sample drying and represent steady state values over several deformation cycles.

III. EXPERIMENT

The X-FMR has been tested on the microfocus SANS beamline ID10A of the European Synchrotron Radiation Facility (ESRF), Grenoble, France. The beam was focused down to a cross section of about 10 x 10 μm². The beam energy was set to 8.1 keV. The distance between the scattering center and the CCD camera was 1.2 m. The CCD chip had 1277 x 1152 pixel and dimensions of 28.7 x 25.9 mm². The CCD dimensions and position as well as the size of the utilized beam stop set the observable range of scattering vectors of 0.0065–0.045 Å⁻¹, corresponding to real space length scales of about 10–90 nm. The exposure time was 1 s and thus within a single deformation cycle and at a constant rate for all
distance between the side-by-side spaced rods can be concluded to be near 7 nm. Since the peak is near the vertical axis in the pattern, the side-by-side arrangement is in the flow direction, at least on average. In principle, further ordering in the long direction of the particles could introduce higher order peaks, and this would modulate the lobe feature into a multi-peak structure.

More importantly, the nematic $fd$-systems serve to demonstrate the usefulness of the X-FMR as we will focus on the tilt of the patterns with respect to the flow direction. When the flow direction is reversed, the direction of tilting is reversed. It can be concluded that the X-FMR allows one to successfully investigate the orientation of the structure projected in the $\mathbf{v} \cdot \nabla \mathbf{v}$ plane even at a gap of only $20 \mu m$ (about 25 times the rod length). It should be noted that other configurations for flow-scattering, where the radiation is sent along the flow direction or the velocity gradient direction do not enable one to determine the orientation angle.

At a shear rate of $50 s^{-1}$, the tilting in this $fd$-PEG sample was so consistent that we could extract accurate values for the tilt angle under flow and confinement, as follows. In each image, the background was subtracted, and traces of the direct beam, parasitic scattering, and the beam stop were masked. Due to the small beam size the latter requires more attention as for bulk measurements with normal SAXS setups. To aid the intensity fitting procedure, the signal was subtracted by an average “isotropic background” value determined in the dark region inside the ring and away from the lobe. A robust procedure to obtain the instantaneous average orientation angle was obtained using the fit of a polar Gaussian intensity profile to each image

$$I(q, \phi) = I_{\text{max}} \exp \left[ - \frac{(q - q_{\text{max}})^2}{2 \sigma_q^2} - \frac{(\phi - \phi_{\text{max}})^2}{2 \sigma_\phi^2} \right],$$

where $q$ is the magnitude of the scattering vector, $\phi$ its azimuth, $I_{\text{max}}$ the maximum intensity, $q_{\text{max}}$ and $\phi_{\text{max}}$ the position of the maximum, and $\sigma_q$ and $\sigma_\phi$ the dimensions of the lobe. $q = 0$ corresponds to the beam center, determined by scattering from a hexagonal crystal (described in Sec. III B). $\phi = 0$ corresponds to the negative vertical. The angle $\phi_{\text{max}}$ obtained from the fitting is denoted the tilt angle (the subscript will be omitted in the following). A tilt angle of $\phi = 0$ refers to the nematic parallel to the flow direction. To quantify the correlation of the tilt angle to the shear rate, the tilt angle data were normalized by use of mean and standard deviation (rejecting not trustworthy data points at the beginning and end of the run), and the shear rate data were normalized to range from $-1$ to $1$. This normalized pair of data were then correlated, and if returning a value close to unity the tilt angle was concluded to follow the shear rate, and an average magnitude of tilt angle was calculated.

The shear rate and tilt angle data of the mentioned $fd$-PEG sample are shown in Fig. 8. The correlation evaluated to $0.973 \pm 0.004$ (statistical error), and the magnitude of the tilt angle was measured to be, on average, $10.6^{\circ} \pm 0.5^{\circ}$. The error of the latter is $\sigma/N^{-1/2}$, where $\sigma = 2.3^{\circ}$ is the standard deviation and $N = 19$ the number of included data points. Other sources of error, such as uncertainty in temporal synchronization and the influence of how many data points are excluded at beginning and end, were found to be negligible. Upon cessation of flow the orientation relaxed and became parallel to the confining walls. It can be concluded that the X-FMR setup is able to quantitatively measure structural evolution in tunable flow and confinement conditions.

B. Lattice distortions of a colloidal crystal

As a second test case, a suspension of sterically stabilized latex spheres was investigated. The colloidal particles are composed of a poly(butylacrylate-styrene) core and a shell of stabilizing surfactant. The sample used here is the same as the one labeled A1 in earlier studies. Steric repulsion dominates the particle interaction due to an adsorbed layer with a hydrodynamic thickness of 10 nm. Hence, suspensions of such particles approximate hard-sphere behavior. The particle form factor was determined previously by the scattering from a dilute suspension. The average core diameter is $111.2 \pm 0.8$ nm. The stock suspension had an

FIG. 7. Scattering patterns of $fd$-PEG virus, which approximates hard rods, suspended in 110 mM Tris buffer solution and at a concentration of 68 ng/ml. The bow-tie pattern indicates alignment of the rods, nearly parallel to the cell walls. The tilt of the preferred direction results from the shear flow; when the flow direction reverses, so does the tilt. This is visible only by probing the vorticity direction. Shear conditions: Gap distance: $20 \mu m$; shear rate: $\pm 10 s^{-1}$. Scale bar: 0.1 nm $^{-1}$.

FIG. 8. Simultaneous shear and scattering experiment for the $fd$ virus solution confined in a gap of $20 \mu m$. Shear rates and tilt angles (lines to guide the eye) as found by fitting polar Gaussians to the X-ray scattering images (see text for details). The tilt angle follows the shear rate, which alternates between $\pm 50 s^{-1}$. The preferred direction of rod orientation is, on average, deflected by $10.6^{\circ} \pm 0.5^{\circ}$.
effective hard-sphere volume fraction of 0.62 as determined from rheological measurements.\textsuperscript{60,61} The sample was diluted in water to an effective volume fraction of 0.51, which lies inside the solid-fluid coexistence region for hard spheres.\textsuperscript{56,62} However, due to possible solvent evaporation during the current experiment, this number again serves as a lower limit.

The rheological data (stress and viscosity) obtained at a gap setting of $h = 20 \, \mu m$ are given in Fig. 4. The sample exhibits the expected apparent yield stress behavior, with plastic flow at small Pe number.\textsuperscript{63} The range of shear rates and volume fraction correspond to the Strained Crystalline regime in the nomenclature of the Chen-Ackerson-Zukoski description. However, it is the first time such systems can be investigated in the $v - \nabla v$ plane. Typical scattering patterns observed at this gap setting during shear flow at a shear rate of 10 s\textsuperscript{-1} are shown in Fig. 9. The scattering patterns are composed of smeared lines, modulated by peaks which is consistent with a rhp packing of layers stacked parallel to the $v - \omega$ plane.\textsuperscript{63-65} but a detailed analysis of the scattering patterns lies beyond the scope of this work. The manner in which the close packed layers accommodate the flow is less clear. Stokesian dynamics simulation of non-Brownian, electrostatically stabilized particles have identified the mechanisms the close-packed layers undergo relative translation due to shear flow.\textsuperscript{66} The sequence of trajectories evolves from the zig-zag motion characteristic of the strained crystal to the rectilinear motion of the sliding layer mechanism. For the present case of the confined sterically stabilized systems, the measurements in the X-FMR enable one to investigate the mechanism by providing experimental access to the average deformation of the crystal lattice under shear flow. The discussion here is limited to the inner diffraction nodes because form factor effects attenuate the higher-order reflections. The first-order peaks are masked by the beam stop. In Fig. 9(a), the upper shearing surface moves in the positive x-direction (to the right). The black dots highlight the diffraction peak positions. In Fig. 9(b), the direction of shear is reversed. The original peak positions of Fig. 9(a) are again shown as black dots, to indicate the displacement of the peaks. The arrows (not to scale) emphasize the displacement of the peaks as the shearing direction is reversed: Peaks to the left of the vertical symmetry axis are displaced downward, while peaks to the right are displaced upward. The farther away the peaks are from the vertical symmetry axis, the larger this displacement. This displacement of peaks is precisely the behavior expected for lattice distortions that follow the flow field. One can show that given a lattice deformation $\Delta x/y \equiv \gamma_d$ in real space, a deformation of $\Delta q_x/q_x = -\gamma_d$ results in $q$-space. Therefore, a distortion in the crystal lattice is manifest in the scattering pattern as an equally strong distortion rotated by 90$^\circ$. Based on the shift of the peak positions and their separation from the vertical, we determined a magnitude of deformation of $\gamma_d = 0.034(6)$ as a consequence of the shear flow. Note that this crystal lattice distortion can only be observed by probing the vorticity direction of the flow field. The scattering data are consistent with real space observations and 2D-FFT’s by Stancik et al.\textsuperscript{67} on sheared 2D suspensions. The particles align into strings (in 2D) and planes (in 3D) of particles moving in the flow direction as this type of alignment allows slipping to occur with the least resistance. Contrary to the experiments on 2D suspensions by Stancik et al.\textsuperscript{67} a reorientation of the crystal lattice is not detected. This may be due to either the high volume fraction or the effect of confinement.

IV. CONCLUDING REMARKS

A novel approach for combined scattering and rheology using a sliding plate rheometer has been proposed. A unique capability of the setup is the possibility to send the unaltered horizontal X-ray directly along the vorticity direction to simultaneously monitor the effects of confinement and shear flow on a complex fluid.

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FIG. 9. Scattering patterns of a sterically stabilized poly(butylacrylate-styrene) latex sphere suspension under flow in a gap of 20 $\mu m$ at a shear rate of 10 s$^{-1}$, observed in the vorticity direction of the flow field. (a) Upper shearing surface moves in the positive x-direction (to the right). The black dots highlight the peak positions. (b) Upper shearing surface moves in the negative x-direction (to the left). The black dots mark the original peak positions in Fig. 9(a). The arrows (not to scale) emphasize the displacement of the peaks as the shearing direction is reversed. Scale bar: 0.03 nm$^{-1}$.