

# Fluctuating nanomechanical system in a high finesse optical microcavity

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**Abstract:** The idea of extending cavity quantum electrodynamics experiments to sub-wavelength sized nanomechanical systems has been recently proposed in the context of optical cavity cooling and optomechanics of deformable cavities. Here we present an experiment involving a single nanorod consisting of about  $10^9$  atoms precisely positioned into the confined mode of a miniature high finesse Fabry-Pérot microcavity. We show that the optical transmission of the cavity is affected not only by the static position of the nanorod but also by its vibrational fluctuation. The Brownian motion of the nanorod is resolved with a displacement sensitivity of  $200 \text{ fm}/\sqrt{\text{Hz}}$  at room temperature. Besides a broad range of sensing applications, cavity-induced manipulation of optomechanical nanosystems and back-action is anticipated.

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## 1. Introduction

Confining a laser field between two high reflectivity mirrors of a high-finesse cavity can increase the probability of a given cavity photon to be scattered by an atom traversing the confined photon mode [1]. This enhanced coupling between light and atoms is successfully employed in cavity quantum electrodynamics experiments and led to a very prolific research in quantum optics [2,3]. The idea of extending such experiments to sub-wavelength sized nanomechanical systems has been recently proposed [4] in the context of optical cavity cooling [5,6] and optomechanics of deformable cavities [7]. Generally, optomechanical systems combine an optical cavity and a (typically macro- or micron-scale) mechanical oscillator in a single device, leading to an increased coupling between them [8–15]. They have recently advanced into the fields of precision displacement measurement [16], investigation of mechanical systems close to their quantum-ground state [17], non-linear dynamics [18], or sensing applications [19]. In these systems, mechanical oscillators of various sizes are now being used: from a centimetre scale movable mirror [13] down to a 100 nm-sized beam integrated in a microwave strip line [20]. At the same time, nanomechanical systems are being optically controlled without the use of a cavity [21,22]. Here we investigate a system for cavity nano-optomechanics in the optical domain, where a 100 nm diameter vibrating nanorod is coupled to a high finesse optical micro-cavity of small mode volume.

## 2. Nanomechanical system

For our experiment, we choose carbon-based nanorods which combine a large mechanical stiffness, low mass and flexible device preparation. Each nanorod is grown by Electron-Beam

Deposition (EBD) [23] at the front extremity of a silicon microlever (Nanosensors PPT-CONTR: thickness = 2  $\mu\text{m}$ , width = 50  $\mu\text{m}$ , length = 450  $\mu\text{m}$ , resonance frequency = 13 kHz) used for atomic force microscopy (AFM). As shown in Fig. 1a the nanorod extends along the lever axis, as opposed to conventional AFM cantilever probes with the tip perpendicular to the lever plane. The shape of the nanorods is slightly conical (Fig. 1b) with lengths ranging from 3 to 5  $\mu\text{m}$  and mean diameters between 80 and 160 nm. First, we investigate the mechanical properties of the rods by ultrasonic actuation. To this end, the silicon lever hosting the nanorod is mounted on a high frequency piezo-transducer and placed in the chamber of a Scanning Electron Microscope (SEM) at a pressure of about  $10^{-6}$  mbar. With a frequency generator (RS SML02), the piezo-transducer is excited to actuate the oscillator. The mechanical response of the microlever-nanorod system is simultaneously investigated by monitoring the envelope of the resulting oscillation using the SEM video images [24]. Around 13 kHz, the first flexural mode of the host silicon lever is found in compliance with its specs, with a vibration amplitude of a few tens of microns at resonance. Other modes of the microlever are observed up to 300 kHz. At higher frequency, the lowest vibrational resonance of the nanorod itself is identified at  $f_1 = 1.9$  MHz (see inset of Fig. 1b at resonance). At a piezo excitation power of 20  $\mu\text{W}$  the full width at half maximum amounts to FWHM = 300 Hz, leading to a mechanical quality factor  $Q = 6500 \pm 2500$ , defined as  $Q = f/\text{FWHM}$ . When increasing the excitation power to 126  $\mu\text{W}$ , the resonance develops a hysteresis upon sweeping the drive frequency up and down, revealing a non-linear dynamical behavior [25].

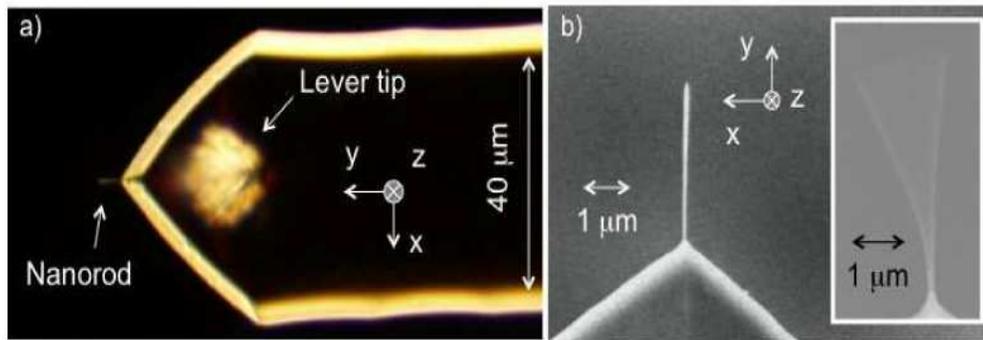


Fig. 1. Ultrasonic actuation of the nanorod flexural resonance. a) Micrograph of the AFM cantilever with a nanorod at its extremity. b) Main panel: SEM picture of an EBD nanorod grown at the end of an AFM lever. Inset: Piezo-actuated vibrational resonance of nanorod 1 flexural ground mode at 1.9 MHz imaged in the SEM.

In order to avoid excess EBD deposition during SEM inspection, which leads to a shift in resonance frequencies, and to allow characterization of the nanorod oscillators in ambient conditions, the above experiments are repeated at room pressure using a diffraction limited high magnification factor ( $\times 500$ ) optical microscope. The nanorods scatter light efficiently enough to make them easily visible under the microscope. Furthermore the convenience of this technique enables rapid cycling through the characterization of nanorods of successive fabrication batches and allows to determine realistic values of the quality factor at atmospheric pressure. Even though composition and material properties of EBD-grown carbon-based material are not well controlled, vibrational eigenfrequencies in the MHz range with high  $Q$  in air ( $10^2$  to  $10^3$ ) are consistently observed on a set of 14 nanorods (see Table 1).

**Table 1. Mechanical resonances of the nanorods**

	Nanorod	$f_1$ (MHz)	$Q_1$	$f_2$ (MHz)	$Q_2$	$f_3$ (MHz)	$Q_3$
Batch 1	nanorod 1	1.90 (-x)	6500 ± 2500 (vacuum)				
	nanorod 2	1.67 (-x)	170 ± 100				
	nanorod 3	1.88 (-x)	1900 ± 500				
Batch 2	nanorod 4	0.936 (-x)	460 ± 250	1.67 (-x)			
Batch 3	nanorod 5	0.363 (-x)	190 ± 90	0.601 (-x)	260 ± 100	1.172 (-z)	250 ± 100
	nanorod 6	0.474 (-z)	250 ± 100	0.784 (-z)	250 ± 150		
Batch 4	nanorod 7	1.706 (-z)	630 ± 300	4.375 (-z)	1200 ± 450		
	nanorod 8	1.072 (-z)	390 ± 150	1.497 (-z)	340 ± 200		
	nanorod 9	1.162 (-z)	360 ± 200				
	nanorod 10	1.138 (-z)	570 ± 250				
Batch 5	nanorod 11	1.300 (-z)	500 ± 200				
	nanorod 12	1.059 (-z)	415 ± 100	1.479 (-z)	525 ± 150	1.677 (-x)	930 ± 300
	nanorod 13	0.981 (-z)	630 ± 250	1.371 (-z)	480 ± 250		
	nanorod 14	1.691 (-z)	420 ± 200				

Mechanical resonances of the nanorods characterized at atmospheric pressure and room temperature by ultrasonic actuation using optical microscope imaging. Nanorod 1 was investigated under vacuum in the SEM. (-x) and (-z) indicate the direction of the nanorod vibration (see Fig. 1). Frequencies  $f_1$ ,  $f_2$  and  $f_3$  are the three lowest vibrational frequencies identified when performing the experiment.  $Q_1$ ,  $Q_2$  and  $Q_3$  denote the respective quality factors.

### 3. Positioning the nanorod in the microcavity

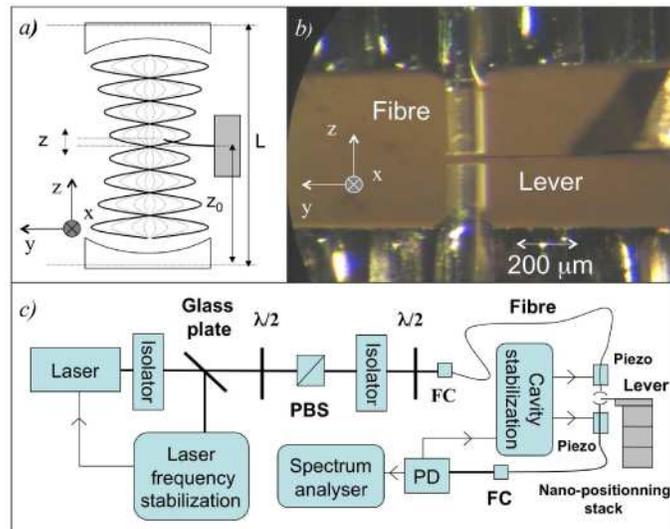


Fig. 2. Nanorod vibrating in the microcavity resonantly probed by a laser. a) Schematics of nanorod at position  $z_0$  in the microcavity and vibrating with an amplitude  $z$ . b) Optical micrograph of the host silicon lever plunged between the two fibre end-facets in order to position the nanorod in the cavity mode. c) Set-up schematics (PBS: polarizing beam splitter, FC: fibre coupler, PD: photodiode).

Following the mechanical characterization, the nanorod is positioned into the optical microcavity as depicted schematically in Fig. 2a. The micron-sized Fabry-Pérot cavity, optimized for a wavelength of 780 nm, consists of two Bragg-coated concave fibre end-facets formed by CO<sub>2</sub> laser machining [26]. It has a stable cavity mode of waist radius 3.4 µm and a

measured finesse of  $F = 5000$ . An external cavity laser diode is frequency-stabilized on a Rubidium atomic resonance and coupled into the input fibre (Fig. 2c), while collecting the cavity transmission and reflection on pre-amplified photodiodes. A half wave-plate placed before the input fibre is used to select one of the two linearly polarized ground modes of the cavity. The experiment is performed at ambient pressure and room temperature. To manipulate the nanorod in the cavity, the host silicon lever along with the piezo-actuator is glued on a thin copper holder and mounted in turn on a XYZ nano-positioning stack (Attocube ANP100), allowing precise positioning of the nanorod over a range of 5 mm in all three directions. The 2  $\mu\text{m}$  thin silicon lever allows inserting the nanorod into the 42  $\mu\text{m}$  gap between the two micromirrors, thus entering the optical mode region (see Fig. 2b). The optical set-up schematics are shown in Fig. 2c.

The position of the nanorod along the mode modifies the cavity transmission. For each position  $(x,y)$  of the nanorod in the plane transverse to the optical axis  $Z$  for a given  $z_0$ , the resonant transmission  $T(x,y)$  is measured. The 2D plot of  $T(x,y)$  is shown in Fig. 3a. Fig. 3b, for comparison, displays a simulation of  $T(x,y)$  using the expression derived in ref [4]  $T(x,y) = 1/(1 + (4F/\pi)\Sigma_1(x,y)\sin^2(kz_0))^2$  in the limit of  $\Sigma_1 \ll g$  and  $z_0 \neq 0$ . The scattering parameter  $\Sigma_1(x,y)$  (see [4]) is assumed to be proportional to the convolution of the cavity mode cross-section (Fig. 3c) with the cross-section of the nanorod attached to the end of the microlever (Fig. 3d). The comparison between Figs. 3a and 3b allows to determine the optimum  $(x,y)$  position of the nanorod in the cavity for subsequent measurements: this position is chosen in order for the nanorod to perturb the cavity mode, while making sure the silicon lever does not contribute much to the perturbation. To this end, we focus on situations where the nanorod is positioned to reduce the resonant transmission by a factor of 2 (see e.g. arrow in Figs. 3a and 3b, corresponding to the situation shown in Fig. 3e).

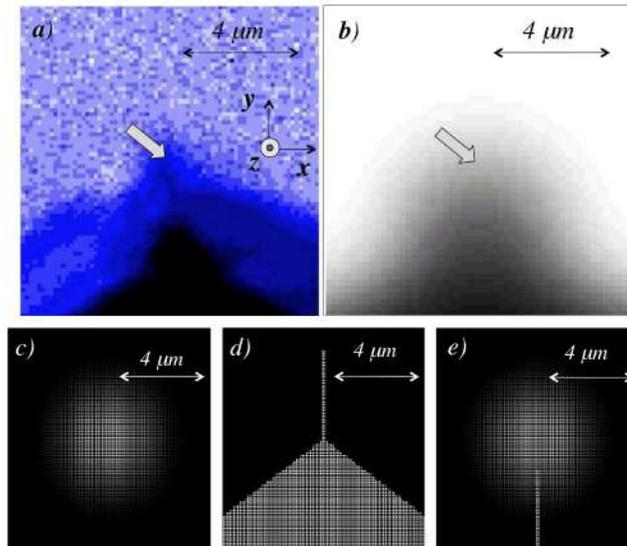


Fig. 3. In situ positioning of the nanorod in the cavity mode. a) Imaging of the nanorod in the cavity mode through the cavity transmission  $T(x,y)$ . The arrow indicates the operating point where the resonant transmission is reduced by a factor 2. b) Simulated cavity transmission  $T(x,y)$  (the pixels show the grid taken for computation). Arrow at the same position as in a). c) Cross-section of a Gaussian mode intensity distribution representing the cavity mode. d) Planar section of the nanorod placed at the end of the AFM lever. e) Nanorod position in the cavity mode, corresponding to the arrow in a) and b).

#### 4. Optical cavity read-out of nanomechanical motion

Positioning the nanorod according to Fig. 3e allows to image the standing wave intensity distribution in the resonant cavity by moving the nanorod base position  $z_0$  along  $Z$  while

keeping the x- and y-coordinate fixed (see Fig. 2a) and simultaneously recording the cavity transmission and reflection. The resulting graph is shown in Fig. 4a. It has the expected  $\lambda/2$  periodicity. To read out the position fluctuations of the nanorod in the noise spectrum of the transmission with the highest sensitivity, we select a position  $z_0$  of maximal gradient  $dT/dz_0$  (arrow in Fig. 4a) and lock the cavity on resonance with the laser. Data obtained with nanorod 6 (see Table 1) are shown in Figs. 4b and 4c. Fig. 4b displays a clear resonance around 13 kHz that is observed 20 dB above the noise floor and which reflects the thermal motion of the host silicon lever. Indeed, the lever vibrational motion translates to a motion of the nanorod base position  $z_0$  of small amplitude  $\ll \lambda/2$ , which modulates the cavity transmission in proportion to  $dT/dz_0$ . Using the value of  $dT/dz_0$ , the amplitude of the  $z_0$  motion can be inferred from the transmission noise spectrum (see Fig. 4b). An excellent fit is obtained using a harmonic oscillator model for the lever flexural thermal motion, which is described by the amplitude component  $z_{0,\omega}$  at angular frequency  $\omega = 2\pi f$  in a frequency window  $\delta f$

$$\frac{|z_{0,\omega}|^2}{\delta f} = \frac{k_B T}{K} \frac{\Gamma \omega_0^2}{(\omega_0^2 - \omega^2)^2 + (\omega\Gamma)^2} \quad (1)$$

where  $K$  is the lever spring constant,  $f_0$  its eigenfrequency and  $\Gamma$  its damping rate, such that  $Q = (2\pi/\sqrt{3})(f_0/\Gamma)$ . From the fit, we obtain  $K = 0.21$  N/m and  $f_0 = 13.17$  kHz, in agreement with the specifications of the lever, and  $Q = 14$ , a typical value for an AFM lever at room pressure. At higher frequencies, we observe three resonances: at  $f_1 = 473$  kHz (Fig. 4c, 5 dB above the noise floor), at  $f_2 = 784$  kHz (Fig. 4c inset, 3 dB above the noise floor) and a third at  $f_3 = 1.172$  MHz, which appears as a slight protrusion over the noise floor. They are the three eigenmodes of nanorod 6 already identified by piezo-actuation under the optical microscope (see Table 1). For calibration purposes, the experiment is repeated in the cavity under external piezo-actuation of the nanorod at the same position  $z_0$  (arrow in Fig. 4a). We measure the response of the cavity transmission to this externally driven vibrational excitation in the low amplitude limit ( $z \ll \lambda/2$ ). Under our experimental conditions, the cavity transmission responds linearly in  $dT/dz_0$  and in  $z$ . This calibration allows deducing the nanorod first flexural mode vibrational amplitude  $z$  (shown in Fig. 4c) from the noise measurement when the external driving is switched off. However, because of the limited resolution of the optical microscope, we estimated this calibration to be valid only within a factor 3. Using the harmonic oscillator model from Eq. (1), we fitted the resonance spectrum of the first thermally driven mode of nanorod 6 and obtained  $f_1 = 473.4$  kHz,  $K_{\text{rod}} = 1.35$  N/m and  $Q_1 = 215$ , in perfect agreement with the piezo-actuation experiments.

The data in Fig. 4c yield a detection sensitivity of  $200$  fm/ $\sqrt{\text{Hz}}$ , which is on par with values previously obtained for room temperature detection of nanoresonator displacement (e.g.  $300$  fm/ $\sqrt{\text{Hz}}$  in [27]). The demonstrated sensitivity is so far limited here by the spectrum analyzer noise level. The shot-noise of light crossing the cavity sets a lower bound to the amplitude of the vibrational fluctuation which can be read-out in the transmission. This bound is  $(1/(dP_i/dz)) \times (2h\nu P_i)^{1/2}$  where  $P_i$  is the transmitted optical power and  $h\nu$  the energy of an incident photon [28]. In the present experiment, this amounts to  $100$  fm/ $\sqrt{\text{Hz}}$ , very close to our observed sensitivity.

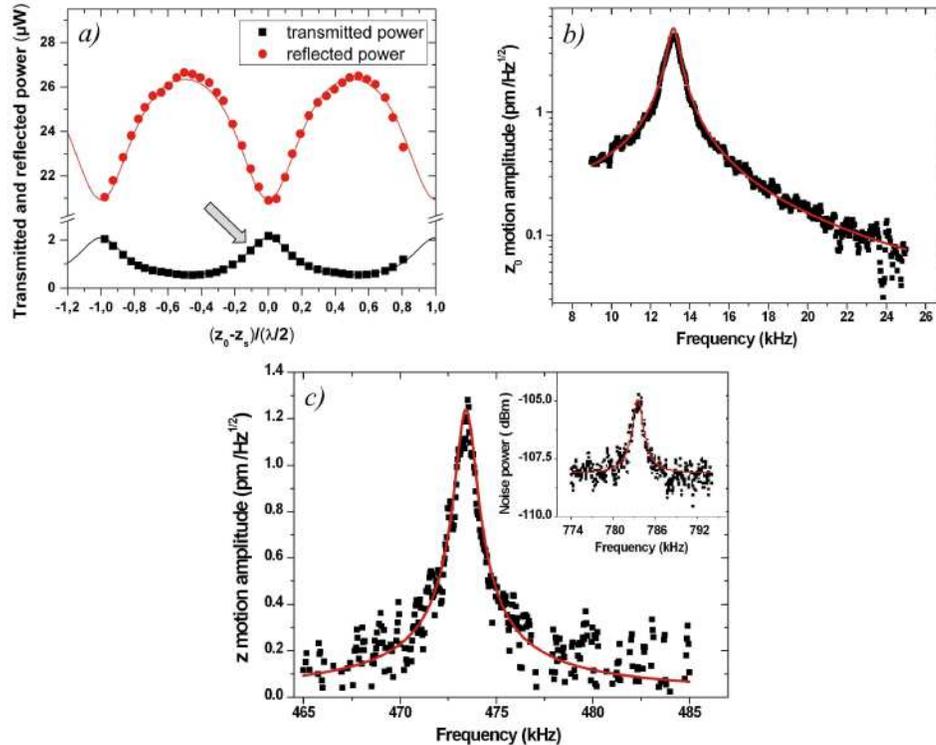


Fig. 4. Perturbation of the cavity transmission by the nanorod. a) Optical power transmitted and reflected by the cavity at resonance as a function of the nanorod base position  $z_0$  normalized to the laser wavelength  $\lambda$  ( $z_0$  being the average position of the lever, roughly in the middle of the cavity). The arrow indicates the operating point of maximum gradient  $dT/dz_0$ . b) Brownian motion amplitude spectrum and fit of the AFM lever extremity  $z_0$  holding the nanorod, from noise measurement taken at maximum gradient  $dT/dz_0$ . c) Brownian motion amplitude spectrum  $z$  for the first flexural resonance of nanorod 6. Inset: Transmission noise power spectrum around the frequency of the second flexural resonance of nanorod 6, for a resolution bandwidth of 300 Hz of the spectrum analyser.

This sensitivity can be further improved using a lower noise analyzer, a homodyne detection scheme and most importantly a better cavity. A similar fibre based microcavity of finesse 40 000 has already been demonstrated [26], which corresponds to an improvement of about one order of magnitude in comparison to the cavity used in this work. Increased finesse results in an increased value of  $dP/dx$  (see [4]). Additionally, we expect in a near future to increase the transmission by 100-fold in an improved version of our apparatus. This technical improvement is definitely suggested from test cavities with optimally tuned alignment. All these improvements should bring the shot-noise limited sensitivity down to the  $\text{fm}/\sqrt{\text{Hz}}$  level for the same nanorod and incident power, which is close to state of the art nanomechanical displacement sensitivity reported using a single electron transistor at mK temperature [17]. Eventually the detection sensitivity will depend on the strength of the light-nanoresonator interaction. For example, when bringing in a carbon nanotube, a resonant dipole interaction of the cavity field with an excitonic line of the tube could be advantageously explored.

## 5. Perspectives

Such high sensitivity would allow the vibrational spectroscopy by optical means of nanomechanical resonators of virtually any size or composition. It is also an asset for detecting their mechanical response to weak perturbations in sensing applications, like ultra-sensitive mass sensing [29,30]. Used as a nanosensor, the nano-optomechanical system under investigation could benefit both from high sensitivity to inertial mass accretion, thanks to

small mass of the nanorod and its high  $Q$  in air ( $10^2$  to  $10^3$ ), and from low-noise optical interferometry detection [27,31,32]. Even more, an improvement of the cavity finesse or a reduction of nanomechanical resonator mass would also place the system in a regime of strong optomechanical back-action [7,8]. With a single wall carbon nanotube positioned in the cavity presented here, we should observe optical self-cooling of the nanotube as well as its optically pumped self-oscillation [4,33]. Such optomechanical control combined with high optical sensitivity will eventually allow operating such nano-optomechanical sensors approaching the limit of Heisenberg fluctuations.

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